

1 **The emergence of somatostatin antagonist-based theranostics: Paving the road toward**
2 **another success?**

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16 **Financial Disclosure:** This work was supported by the Intramural Research Program of the
17 National Institutes of Health, *Eunice Kennedy Shriver* National Institute of Child Health and
18 Human Development.

19 **Disclaimer:** Nothing to disclose

20 **Word count title page/manuscript/references/figure legend:** 91/1236/415/154

21 **Number of figures/tables:** 1/0

22 **Running title:** Somatostatin based theranostics

23 **Key words:** Somatostatin, agonist, antagonist, PET/CT, neuroendocrine tumors, theranostics
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31 The value of *in vivo* peptide receptor targeting for imaging and treating oncologic patients
32 is well accepted and implemented in clinical practice. A prime example is somatostatin receptor
33 (SSTR)-targeted peptide receptor radionuclide therapy (PRRT), which relies on an ‘image and
34 treat’ approach (theranostics), a rapidly evolving clinical concept in patients with neuroendocrine
35 tumors (NETs).

36 SSTR-agonists are internalized following high affinity ligand-receptor binding and have
37 historically been used for *in vivo* SSTR receptor targeting. This mechanism is considered an
38 essential step in the *in vivo* receptor targeting using SSTR-agonists (Figure 1). The evolving
39 PET/CT technology and the optimization of radiopharmaceutical chelation for effective
40 somatostatin analog development opened the door to [⁶⁸Ga]Ga-DOTA(0)-Tyr(3)-octreotate
41 ([⁶⁸Ga]Ga-DOTATATE) PET/CT. In 2016, [⁶⁸Ga]Ga-DOTATATE received the FDA approval for
42 SSTR imaging, followed by [⁶⁸Ga]Ga-DOTATOC and [⁶⁴Cu]Cu-DOTATATE in 2019 and 2020,
43 respectively. SSTR-based PRRT was explored by Phase-3 NETTER-1 trial, a first time-in-humans
44 prospective multicenter randomized clinical trial comparing [¹⁷⁷Lu]Lu-DOTATATE (4 cycles, 7.4
45 GBq/cycle) to high-dose octreotide in 229 patients with progressive low-grade midgut NETs.

46 The NETTER-1 trial significantly improved progression-free survival (PFS) with
47 [¹⁷⁷Lu]Lu-DOTATATE, with a hazard ratio (HR) of 0.18 (95%CI: 0.11–0.29, p<0.0001) (1).
48 However, five years after the last patient randomization, there was no statistically significant
49 difference in the median overall survival (OS) between the [¹⁷⁷Lu]Lu-DOTATATE arm (48
50 months; 95%CI: 37.4–55.2) and the control arm (36.3 months, 95%CI: 25.9–51.7) despite a
51 clinically significant improvement of the quality of life and PFS in [¹⁷⁷Lu]Lu-DOTATATE arm
52 (1). Concerning the treatment safety, only 3/111 patients (3%) of [¹⁷⁷Lu]Lu-DOTATATE arm
53 showed treatment-related severe adverse events during long-term follow-up, and two patients (2%)
54 developed myelodysplastic syndrome, one of whom died 33-months after randomization. No new
55 cases of myelodysplastic syndrome or acute myeloid leukemia were reported during long-term
56 follow-up. At present, the NETTER-2 trial is ongoing to determine whether [¹⁷⁷Lu]Lu-
57 DOTATATE prolongs PFS in grade-2/3 gastroenteropancreatic NETs as first-line treatment in
58 combination with long-acting octreotide (NCT03972488). A recent meta-analysis including more
59 than 1,200 patients treated by [¹⁷⁷Lu]Lu-DOTATATE (1-8 cycles, 3.7-10 GBq/cycle), revealed a
60 disease control rate [proportion of complete response (CR), partial response (PR), minor response
61 (MR), and stable disease] of 74.1% (95%CI: 67.8%–80%) and a disease response rate (proportion

62 of CR, PR, and MR) of 29.1% (95% CI: 20.2%–38.9%) (2). This evidence contributed to the
63 inclusion of [¹⁷⁷Lu]Lu-DOTATATE in the therapeutic algorithms proposed by leading
64 international societies as an effective and safe treatment option for NETs. Recently, a novel SSTR-
65 agonist radioligand, [⁶⁴Cu]⁶⁴Cu-SARTATE, was compared to [⁶⁸Ga]Ga-DOTATATE showing
66 higher uptake and retention resulting in high-contrast diagnostic images upwards of 24-hours (3).
67 [⁶⁷Cu]Cu-SARTATE, the therapeutic counterpart of [⁶⁴Cu]Cu-SARTATE is currently being
68 evaluated (NCT04023331).

69 Over the years, novel data has emerged for SSTR-antagonists. The application of SSTR-
70 antagonists was initially discouraged due to lack of internalization. Despite these initial
71 considerations, it was later found that a higher percentage of SSTR antagonists were bound
72 compared to agonists in animal and human models. This can be mainly attributed to the functional
73 interaction of SSTR antagonists with a larger variety of SSTR conformations, allowing binding
74 both activated and inactivated SSTRs (4,5) (Figure 1). Slow dissociation of antagonist-receptor
75 binding and minimal internalization are also thought to play a role in tumor detection. Further,
76 SSTR-antagonists are more chemically stable and hydrophobic than SSTR-agonists, with a
77 consequent longer duration of action and stabilization in a lipid-rich environment (4).

78 From a theranostic point of view, the high target-to-background ratio and the prolonged *in*
79 *vivo* tumor binding obtained with radiolabeled SSTR-antagonist have been of paramount
80 importance in promoting the use of SSTR-antagonists over SSTR-agonists. Compared to [⁶⁸Ga]Ga-
81 DOTATATE in NETs, both [⁶⁸Ga]Ga-NODAGA-LM3 and [⁶⁸Ga]Ga-DOTA-LM3 demonstrated
82 a significantly higher detection of liver metastases (202 vs 235, p=0.01 and 196 vs 261, p=0.02,
83 respectively) and overall lesions (339 vs 395, p=0.002 and 372 vs 447, p=0.02, respectively) with
84 higher tumor-to-liver ratio of matched lesions in both arms (p=0.00). There was no significant
85 difference in detection of primary tumors (17 vs 19, p=0.16 and 13 vs 15, p=0.16, respectively),
86 lymph node metastases (24 vs 27, p=0.18 and 29 vs 32, p=0.18, respectively), bone metastases (31
87 vs 46, p=0.11 and 126 vs 126, p=1.00, respectively), or other lesions (65 vs 68, p=0.32 and 8 vs
88 13, p=0.10, respectively) (6). In another comparative study, [⁶⁸Ga]Ga-DOTA-JR11 detected more
89 liver (552 vs 365, p=0.001) but fewer bone (158 vs 388, p=0.02) metastases than ⁶⁸Ga-
90 DOTATATE, but with comparable primary tumor detection (20 vs 24, p=0.50) and overall
91 detection rate (835 vs 875, p=0.15), and with equal lymph node (43 vs 43), pleural (51 vs 51), and
92 peritoneal (2 vs 2) metastases (7). Similarly, in 12 gastroenteropancreatic NETs patients, [⁶⁸Ga]Ga-

93 NODAGA-JR11 demonstrated a significantly higher overall sensitivity (94% with 50 µg and 88%
94 with 15 µg of [⁶⁸Ga]Ga-NODAGA-JR11 compared to [⁶⁸Ga]Ga-DOTATOC (15 µg, 59.2%,
95 p<0.001 for both doses of [⁶⁸Ga]Ga-NODAGA-JR11) (8).

96 Radioligand SSTR-antagonists have been documented to bind a higher percentage of
97 SSTRs than agonists (Figure 1), which increases targeting even for tumors with low SSTR
98 expression (4,5). This would be clinically important in high-grade NETs, poorly-differentiated
99 neuroendocrine carcinoma, and certain non-NETs (breast carcinomas, renal cell carcinomas, and
100 non-Hodgkin lymphomas) (9). For these reasons, there has been increasing interest in SSTR-
101 antagonists. In humans, two theranostic pairs of JR11 (i.e.: [⁶⁸Ga]Ga-DOTA-JR11/[¹⁷⁷Lu]Lu-
102 DOTA-JR11, [⁶⁸Ga]Ga-NODAGA-JR11/[¹⁷⁷Lu]Lu-DOTA-JR11) have already been investigated
103 (10,11). However, the safety profile of SSTR-antagonists for PRRT requires further consideration
104 and optimization. Severe hematotoxicity was observed compared to SSTR-agonists at doses
105 equivalent/greater to red marrow. In a recent phase-I clinical trial (12), 4/4 patients who received
106 two cycles of [¹⁷⁷Lu]Lu-satoreotide-tetraxetan (also known as [¹⁷⁷Lu]Lu-DOTA-JR11) and an
107 estimated bone marrow dose ≥ 1.44 Gy developed G4 thrombocytopenia (and G3/4 neutropenia)
108 and 57% developed G4 myelosuppression but none of the patient with ≤ 1.08 Gy bone marrow
109 dose experienced G4 thrombocytopenia or neutropenia. Therefore, the therapeutic protocol was
110 revised to lower the bone marrow dose from 1.5 to 1 Gy and subsequently, halve the dose in cycle
111 two. However, the hypothesis that the activity concentration in the red marrow is comparable to
112 that in blood (11) could be probably reconsidered, as SSTR antagonists may have specific binding
113 in the red marrow, also supporting a dedicated dosimetry based on post-therapeutic SPECT/CT
114 imaging.

115 [⁶⁸Ga]Ga-DOTA/NODAGA-LM3 and [¹⁷⁷Lu]Lu-DOTA-LM3 represent another attractive
116 SSTR-antagonist based theranostic pair with high tumor binding and preliminary favorable
117 dosimetry (13). Furthermore, radiolabeling of SSTR-antagonists with α -emitters would provide a
118 joint benefit from the biological characteristics of the antagonists and the physical properties of the
119 α -emitters, with potential therapeutic advantages even in patients refractory to treatment with β -
120 emitter-labeled somatostatin analogs.

121 In conclusion, published literature strongly suggests that SSTR-antagonists are
122 characterized by no cellular internalization but a strong binding capacity to SSTR receptors,
123 suggesting a higher efficacy than SSTR-agonists that undergo cellular internalization and have

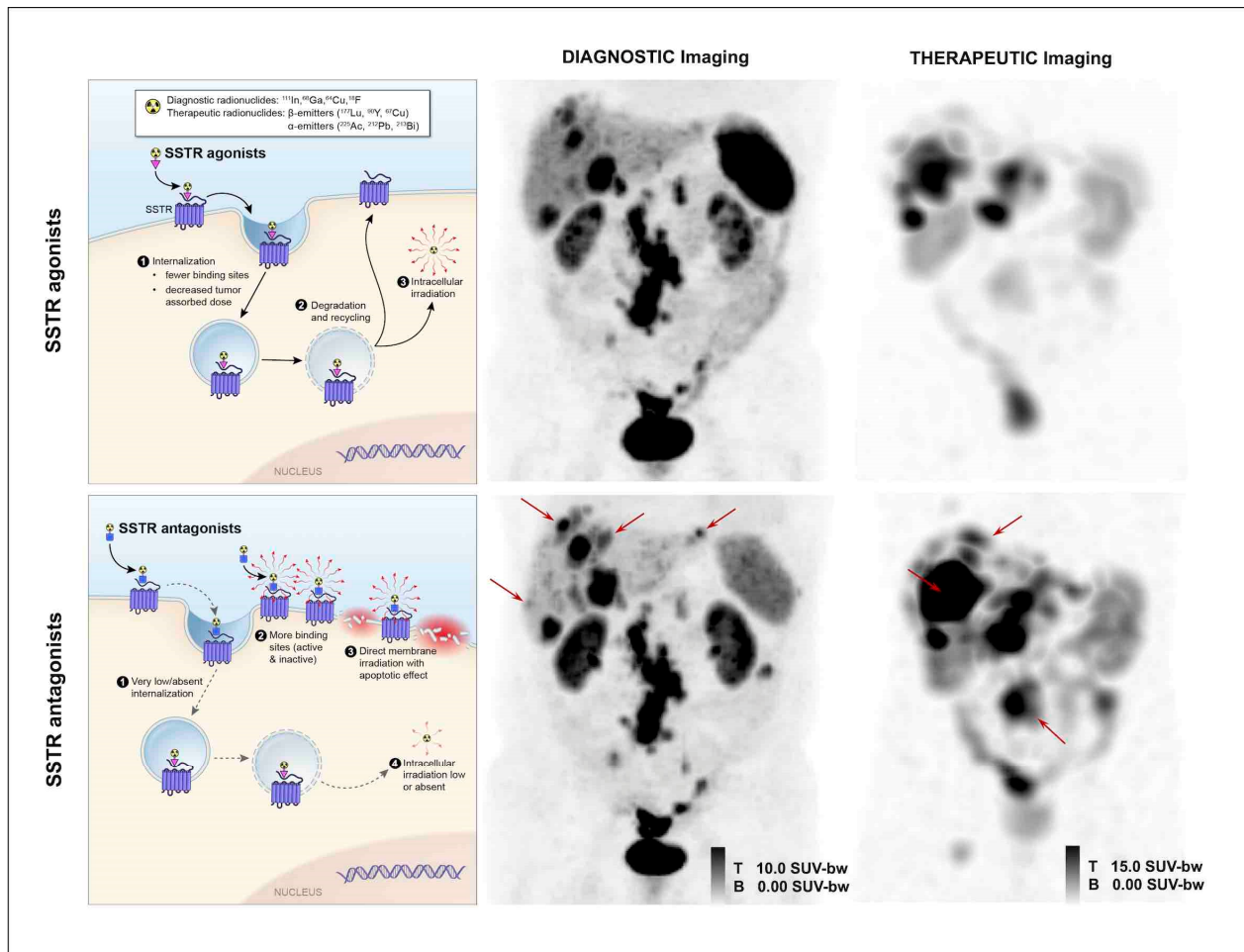
124 weaker SSTR binding. These unique characteristics of SSTR-antagonists are now shifting clinical
125 focus towards the use of radiolabeled SSTR-antagonists to improve diagnostic sensitivity (with
126 some concerns at the bone level (7)) and therapeutic efficacy of SSTR-based PRRT. While SSTR-
127 antagonists have been optimized at the diagnostic level, therapeutic applications must be further
128 investigated. Decreasing administered activities, encouraging dosimetry, and increasing duration
129 between PRRT cycles in order to limit hematotoxicity while preserving therapeutic efficacy should
130 be further researched. Patients with multiple liver metastases and those with poorly differentiated
131 NETs could be suitable candidates for promising new clinical investigations. Thus, SSTR-
132 antagonists currently represent a novel paradigm in theranostics that will undoubtedly revolutionize
133 diagnostic and therapeutic management of NETs. We hope these discoveries will ultimately
134 improve the clinical outcomes of patients with these rare tumors.

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 181 **FIGURE 1.** *Left column:* mechanism of action of radiolabeled SSTR-agonists and antagonists for
 182 theranostics application. SSTR-agonists are internalized after binding to the SSTR2 with
 183 consequent accumulation of radioactivity in the cell. On the contrary, the SSTR-antagonists bind
 184 more effectively to receptors on the cell membrane with almost absent internalization and direct
 185 membrane damage. *Middle column:* Head-to-head comparison between PET images (anterior MIP,
 186 SUVmax range: 0-10) of ^{68}Ga Ga-DOTATOC (SSTR-agonist) and ^{68}Ga Ga-NODAGA-JR11
 187 (SSTR-antagonist) in a patient with low-grade NET, showing more lesions (arrows, particularly in
 188 the liver) for ^{68}Ga Ga-NODAGA-JR11 compared to ^{68}Ga Ga-DOTATOC. *Right column:* direct
 189 comparison of post-treatment SPECT images (anterior MIP, SUVmax range: 0-15) after ^{177}Lu Lu-
 190 DOTATOC (cycle-1) and ^{177}Lu Lu-DOTA-JR11 (cycle-2) performed within a ten weeks interval.
 191 Tumor activity concentration at 24h p.i. is ~30% higher with the antagonist (arrows) than with the
 192 agonist even though the administered activity of Lu-177 is ~50% less for the antagonist compared
 193 to the agonist (3.9 GBq vs 7.4 GBq).