

1 **Detection of early esophageal neoplastic Barrett lesions with quantified**
2 **fluorescence molecular endoscopy using cetuximab-800CW**

3
4 *Short running title: Detecting esophageal neoplasia with FME*

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34 **ABSTRACT**

35 Esophageal adenocarcinoma (EAC) causes 6 % of cancer-related deaths worldwide. Near-
36 infrared fluorescence molecular endoscopy (NIR-FME) uses a tracer that targets overexpressed
37 proteins. In this study we aim to investigate the feasibility of an epidermal growth factor receptor
38 (EGFR) targeted tracer, cetuximab-800CW, to improve detection of early-stage EAC. **Methods:**
39 We validated EGFR expression in 73 esophageal tissue sections. Subsequently, we topically
40 administered cetuximab-800CW and performed high-definition white-light endoscopy (HD-
41 WLE), narrow band imaging (NBI) and NIR-FME in fifteen patients with Barrett’s esophagus
42 (BE). Intrinsic fluorescence values were quantified using multi-diameter single fiber reflectance
43 (MDSFR) and single-fiber fluorescence (SFF) spectroscopy. Back-table imaging,
44 histopathological examination and EGFR immunohistochemistry on biopsies collected during
45 NIR-FME procedures were performed and compared to *in vivo* imaging results. **Results:**
46 Immunohistochemical pre-analysis showed high EGFR expression in 67% of dysplastic tissue
47 sections. NIR-FME visualized all 12 HD-WLE visible lesions and 5 HD-WLE invisible
48 dysplastic lesions, with increased fluorescence signal in visible dysplastic BE lesions compared
49 to non-dysplastic BE as shown by MDSFR/SFF, reflecting a target-to-background ratio (TBR) of
50 1.5. Invisible dysplastic lesions also showed increased fluorescence with a TBR of 1.67.
51 Immunohistochemistry analysis showed EGFR overexpression in 16 out of 17 (94%) dysplastic
52 BE lesions, which all showed fluorescence signal. **Conclusion:** This study has shown that NIR-
53 FME using cetuximab-800CW can improve detection of dysplastic lesions missed by HD-WLE
54 and NBI.

55 **INTRODUCTION**

56 Esophageal cancer is responsible for approximately 6% of cancer related deaths worldwide, with
57 studies predicting a rise in the incidence of esophageal adenocarcinoma (EAC) (1). Late stage
58 detection leads to a five-year survival rate of 15 – 20% (2).

59 Surveillance of Barrett’s esophagus (BE) is performed by high-definition white-light
60 endoscopy (HD-WLE) and narrow band imaging (NBI) combined with random biopsies
61 following the Seattle protocol to detect early EAC lesions (3). A study performing a follow-up
62 endoscopy procedure one year after the primary endoscopy detected 24% more EAC lesions (4).
63 This indicates a high miss-rate by HD-WLE and NBI in combination with random biopsies
64 during endoscopic surveillance (4,5).

65 In the quest for improving the detection of early-stage EAC, near-infrared fluorescence
66 molecular endoscopy (NIR-FME) has recently shown potential to improve performance over the
67 current endoscopic standard (6). A phase I trial conducted here at the University Medical Center
68 Groningen (UMCG) employed the tracer bevacizumab-800CW, targeting vascular endothelial
69 growth factor A (VEGF-A), and showed ~33% improvement of early lesion detection compared
70 to conventional HD-WLE and NBI (7).

71 NIR-FME can provide additional guidance in histopathological assessment and has shown
72 to reduce sampling error (8,9). This technique in combination with the tracer cetuximab-800CW,
73 targeting epidermal growth factor receptor (EGFR), has been described to provide additional real-
74 time information assisting intraoperative decision-making aiding tumor delineation (10).
75 Recently, multiplexed imaging was successfully introduced where two fluorescently labelled
76 tracers targeting EGFR and human epidermal growth factor receptor 2 (HER2) were evaluated
77 for the detection of EAC (11).

78 In the quest for improving the detection of early-stage EAC, we validated EGFR
79 expression in Barrett lesions and aimed to investigate the feasibility of NIR-FME with
80 cetuximab-800CW, an EGFR targeted tracer, to improve detection of early-stage EAC in Barrett
81 patients compared to HD-WLE and NBI.

82

83 **MATERIALS AND METHODS**

84 This phase I feasibility study with cetuximab-800CW is embedded in an ongoing
85 intervention study performed at the UMCG (NCT03877601). All included patients are priorly
86 diagnosed with low-grade dysplasia (LGD), high-grade dysplasia (HGD), or early-stage EAC at a
87 regional hospital and referred to the UMCG, which is the BE expert center for the northern
88 Netherlands. Included patients underwent HD-WLE combined with a NIR-FME procedure using
89 the topical administration of cetuximab-800CW (12).

90

91 Inclusion and Exclusion Criteria

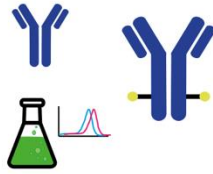
92 For the immunohistochemistry pre-analysis we have included esophageal endoscopic
93 mucosal resection (EMR) specimens of 25 patients. Following all pre-analysis study procedures,
94 we selected and included fifteen patients eligible for cetuximab-800CW administration. These
95 patients were priorly diagnosed with LGD, HGD or early-stage EAC and scheduled for an
96 endoscopic procedure. Patients received both oral and written information regarding study
97 procedures and the tracer cetuximab-800CW. Patients < 18 years old, allergic to
98 immunoglobulins, pregnant or breastfeeding were excluded. Additionally, patients who received
99 prior cetuximab treatment, radiation therapy, chemotherapy, immunotherapy, or surgery for
100 esophageal cancer were excluded. All patients interested in participating in either the *ex vivo* pre-
101 analysis or the *in vivo* procedure with administration of cetuximab-800CW prior to endoscopy
102 had to give informed consent within two weeks but not earlier than 48 hours after receiving
103 information. The study design of the current study is shown in figure 1.

Target validation



Pre-analysis
EGFR expression
25 patients
73 EMR specimen

Tracer development



GMP synthesis
cetuximab +
IRDye800CW =
cetuximab-800CW

In vivo validation



Quantified fluorescence
molecular endoscopy
15 BE patients with dysplasia

Ex vivo validation



Ex vivo fluorescence
Histopathological analysis
EGFR expression

104

105 *FIGURE 1: Overview of the study design.*

106

107 *Ex Vivo* Pre-analysis EGFR Expression

108 *Ex vivo* pre-analysis was performed by two independent researchers, RYG and LEvH, to
109 investigate EGFR expression. EMR specimens were formalin fixed for 24 hours and specimens
110 were histologically sliced into 4 μm tissue slices ($n = 73$), which were then stained for
111 hematoxylin and eosin, P53 and EGFR. The slices were scanned by Hamamatsu NanoZoomer
112 (Hamamatsu Photonics, Japan) and viewed with NDP.view2. H-scores were independently and
113 blindly calculated by RYG and LEvH to quantify the EGFR staining intensity.

114

115 Synthesis of Cetuximab-800CW

116 Production of cetuximab-800CW (peak excitation/emission at 778/795 nm) was
117 performed in the cleanroom facility of the Clinical Pharmacy and Pharmacology department of
118 the UMCG (12).

119

120 Fluorescence Molecular Endoscopy combined with Spectroscopy

121 Real-time *in vivo* NIR-FME with cetuximab-800CW was achieved by coupling a
122 fiberscope (Schölly Fiberoptic GmbH, Denzlingen, Germany) to the SurgVision Explorer
123 Endoscope (SVEE, SurgVision BV., Groningen, The Netherlands), which is based on a system
124 previously developed by our group (13).

125 Multi-diameter single fiber reflectance (MDSFR) and single-fiber fluorescence (SFF)
126 spectroscopy, developed by the University Medical Center Rotterdam, Erasmus MC, was
127 employed as a reference for the NIR-FME measurements (14,15). The process leading to the
128 quantification of tracer's intrinsic fluorescence was previously described (14,15). Both NIR-FME
129 and MDSFR/SFF were performed through the working channel of standard endoscope.

130

131 Procedure

132 HD-WLE and NBI were performed for general evaluation of the Barrett segment and
133 suspected lesions. Acetyl cysteine 0.1 % was used for mucus reduction during the procedure.
134 Following a five-minute incubation of the topically administered cetuximab-800CW, the
135 esophagus was rinsed with water to remove abundant, unbound tracer. We administered 1 ml of
136 0.1 mg/ml cetuximab-800CW per 1 cm of Barrett segment. NIR-FME was performed to examine
137 the esophagus and investigate whether all HD-WLE suspected lesions could be detected and
138 whether additional lesions, missed by HD-WLE/NBI, could be identified. We calculated the
139 target-to-background ratio (TBR), the ratio between the mean NIR-FME image pixel intensities
140 from the region of interest (ROI, e.g., lesion of fluorescence foci) and the non-dysplastic Barrett's
141 esophagus (NDBE), determined as the background. The mean value of each ROI was calculated
142 for those pixels within the upper 70% of the corresponding histogram.

143 To assess the quality of the data acquired with the FME system, we calculated the signal-
144 to-background noise ratio (SNR) in dB scale and contrast-to-noise ratio (CNR) for every frame
145 containing visible or invisible lesions (16). The reliability of the data was then assessed through
146 the Rose criterion for CNR and the 95% confidence level of a measurement for the SNR, which
147 requires $CNR > 3$ and $SNR > 6$ dB for a lesion to be distinguishable from the background (17).

148 Subsequently, HD-WLE guided, spectroscopy was performed to measure the intrinsic
149 fluorescence of cetuximab-800CW from the NIR-FME identified suspected and/or invisible
150 lesions. All measurements were done in triplicate and the mean values were used for the
151 quantification of the cetuximab-800CW fluorescence, serving as control measurements for the
152 validation of NIR-FME findings (18).

153

154 *Ex Vivo* Analysis

155 Tissue biopsies were collected from non-suspected Barrett tissue, lesions, and invisible
156 lesions during *in vivo* NIR-FME procedures. They were then formalin fixed and paraffin
157 embedded (FFPE). From these specimens, 10 μm tissue sections were deparaffinized and imaged
158 with the Odyssey CLx flatbed scanner (LI-COR Biosciences, Lincoln, Nebraska, USA), while 4
159 μm thick sections were stained with hematoxylin and eosin and P53 and subsequently
160 histopathologically analyzed by the pathologists GK-U and AK. Immunohistochemistry on
161 EGFR staining was performed on additional 4 μm tissue sections, after which they were scanned
162 by Hamamatsu NanoZoomer (Hamamatsu Photonics, Japan) and digitally analyzed using
163 NDP.view2. H-scores were calculated to quantify the staining intensity of EGFR by the two
164 researchers RYG and LEvH. A total of 32 formalin fixed tissue sections stained with EGFR were
165 analyzed.

166

167 Statistical Analysis

168 Analyses and graph layout were implemented using GraphPad Prism (version 8.4.2,
169 GraphPad Software Inc, San Diego, California, USA). Normality tests were performed on all
170 data. Descriptive statistics were performed to calculate mean and standard deviation of the H-
171 scores and Pearson correlation was used to assess the interobserver agreement of manual H-
172 scoring by the two independent researchers. H-scores, TBRs, *in* and *ex vivo* spectroscopy data
173 were analyzed by one-way ANOVA (ANalysis Of VAriance). *P* values < 0.05 were considered
174 statistically significant. All data are displayed as mean ± standard deviation.

175

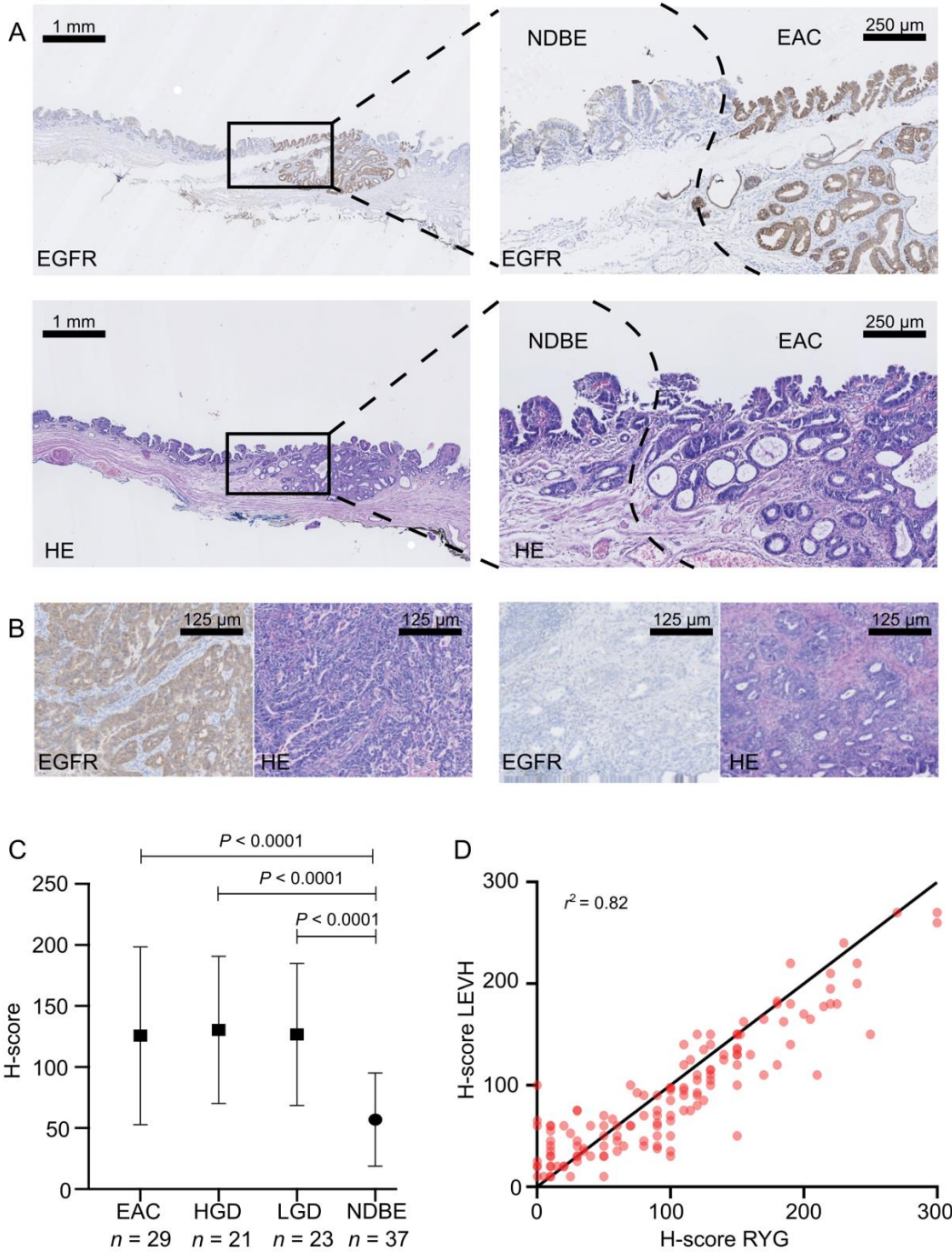
176 Ethical Considerations

177 Approval of this study was obtained from the Medical Ethics Committee at the UMCG
178 (METc Number 2018/701).

179 **RESULTS**

180 *Ex Vivo* EGFR Expression Analysis

181 In total 73 FFPE tissue slices were analyzed for EGFR expression levels and
182 histopathology. Pathologists AK and GK-U selected areas containing NDBE, LGD, HGD, and
183 EAC. H-score quantification showed that most of the dysplastic BE (DBE) tissue (LGD, HGD
184 and EAC) scored intermediate and high membranous staining ($n = 49, 67\%$) (figure 2). However,
185 24 DBE tissue areas were negatively/low scored (33%). Subsequently, the H-score for EGFR of
186 NDBE tissue was negative/low in 33 tissue areas (89%). The calculated mean H-score for NDBE
187 was 57 ± 38 and significantly lower than LGD 127 ± 58 ($P < 0.0001$), HGD 130 ± 60 ($P <$
188 0.0001) and EAC 126 ± 73 ($P < 0.0001$). The fraction of variance between the two researchers
189 was calculated with the Pearson correlation coefficient $r = 0.9056$ (figure 2).



190
 191 **FIGURE 2:** A: Immunohistochemistry results of EGFR staining, brown staining (top) and hematoxylin and eosin
 192 staining, purple staining (bottom) with the left images at low magnification (5x) and the right images at high
 193 magnification (20x) with pathological delineation of EAC and NDBE. B: Histopathological tissue slices at high
 194 magnification (40x) display high staining of EAC on the left and no staining of EAC on the right, showing variable
 195 EGFR expression. C: H-scoring was performed by two independent researchers of which the means and standard

196 deviation are displayed for EAC, HGD, LGD and NDBE. D: Scoring consistency between two independent
197 researchers was determined with the Pearson correlation coefficient.

198 Patient Characteristics

199 Fifteen patients, two females and thirteen males were included in the trial. All included
200 patients received cetuximab-800CW during the procedure and none of the patients experienced
201 any (serious) adverse events. An overview of patient characteristics is displayed in table 1.

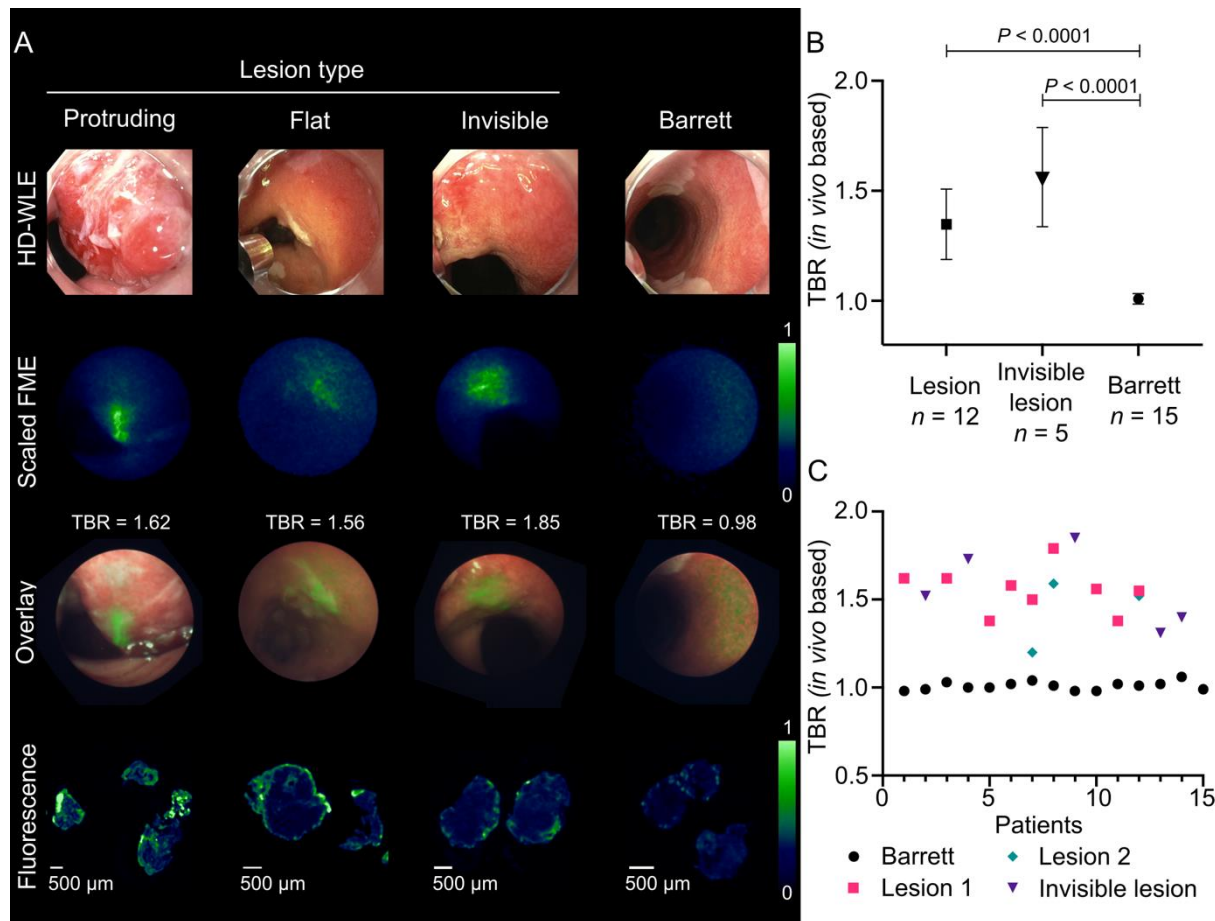
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203 Near-infrared Fluorescence Molecular Endoscopy

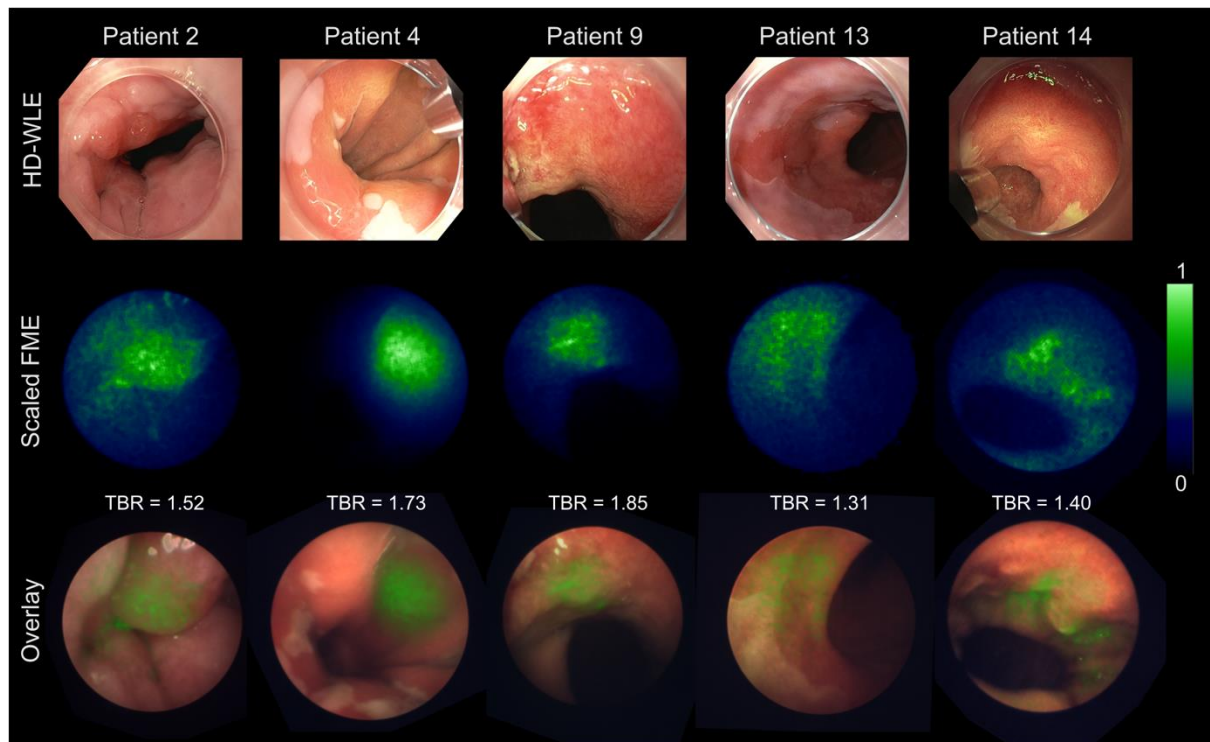
204 All 9 lesions detected by the referring endoscopist at the regional hospitals were detected
205 by our BE expert endoscopist WBN. Furthermore, our BE expert endoscopist additionally
206 detected 3 flat lesions by HD-WLE that were not described by the referring endoscopist. All 12
207 HD-WLE visible lesions were visualized by the NIR-FME camera showing increased
208 fluorescence intensity. Histopathological assessment conducted by a BE expert pathologist
209 showed dysplasia in all visible and invisible lesions. We observed clear *ex vivo* fluorescence
210 signal on the epithelial side of all biopsies in dysplastic lesions.

211 The TBRs of the complete delineated visible lesions resulted in a mean of 1.3 ± 0.2 ($P <$
212 0.0001), while the invisible lesions presented a higher mean TBR of 1.6 ± 0.2 ($P < 0.0001$). We
213 could not detect a lesion using either HD-WLE or the NIR-FME system in one patient referred
214 with LGD and additional random biopsies according to the Seattle protocol did not detect
215 dysplasia either. Distribution of mean TBR values per tissue and per patient are shown in figure
216 3. Data quality assessment showed an average SNR of 21.79 ± 1.65 dB and an average CNR of
217 4.54 ± 1.57 , both being above the corresponding critical values for discrimination between lesion
218 and background, as defined in table 2.

219 In five patients, NIR-FME detected areas which did not show morphological changes
 220 suspicious for dysplasia by HD-WLE or NBI. These areas showed dysplasia on histology and
 221 thus counted as invisible lesions by standard imaging technology (figure 4).



222
 223 *FIGURE 3: A. Different lesion and tissue types visualized with different imaging techniques. From top to bottom are*
 224 *shown the HD-WLE images, the corresponding frames acquired with the NIR-FME system in fluorescence channel,*
 225 *the overlay of color and fluorescence data acquired with NIR-FME and ex vivo fluorescence images acquired with*
 226 *the Odyssey CLx flatbed scanner. The fluorescence images were linearly normalized to the common global maximum*
 227 *(1) and minimum (0) values to enable visual comparison of the signal strength between the different lesion types.*
 228 *Graphs B and C show the calculated TBRs combined and in every single patient separately, respectively.*

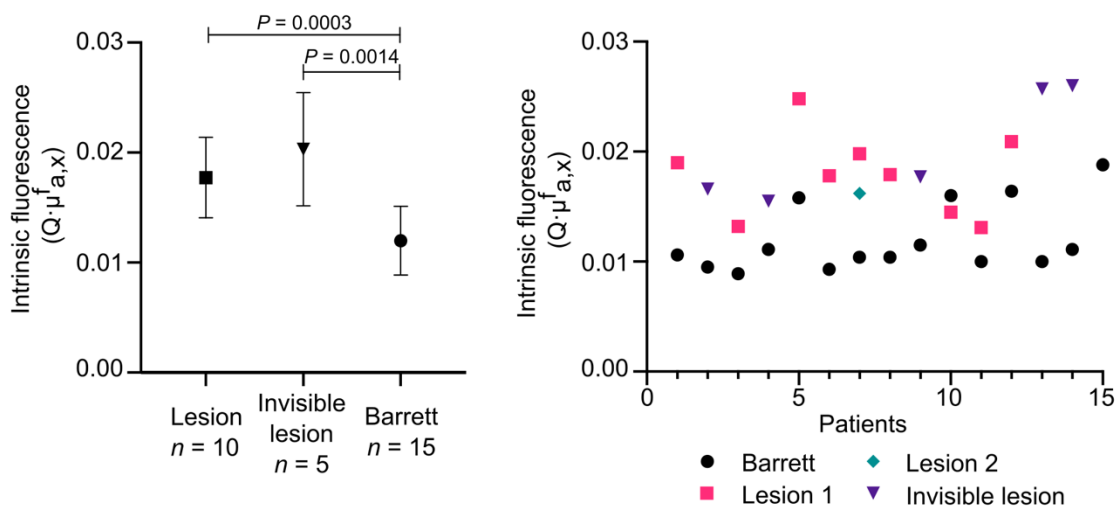


229
 230 *FIGURE 4: HD-WLE invisible dysplastic lesions detected by NIR-FME. From top to bottom are shown the*
 231 *HD-WLE images, the corresponding NIR-FME fluorescence images of the HD-WLE invisible lesions and the*
 232 *overlay of the NIR-FME color and fluorescence data from five different patients. All fluorescence images were*
 233 *normalized in regards to their individual maximum (1) and minimum (0) values to enable visual assessment of*
 234 *the fluorescence localization.*

235
 236 *In Vivo* MDSFR/SFF Spectroscopy

237 MDSFR/SFF spectroscopy measurements were performed to quantify intrinsic
 238 fluorescence values of the tracer *in vivo* by correcting for optical properties of the tissue.
 239 Measurements of NDBE were completed in all patients, with a mean tracer's intrinsic
 240 fluorescence of $0.012 \pm 0.003 Q \cdot \mu_{a,x}^f$. The mean value for visible lesions ($n = 10$) was calculated
 241 from 30 measurements which resulted in a higher mean of $0.018 \pm 0.004 Q \cdot \mu_{a,x}^f$ when compared to
 242 NDBE ($P = 0.0014$), with a spectroscopy TBR of 1.5. These findings are comparable to the *in vivo*
 243 analysis of the raw fluorescence images. *In vivo* spectroscopy measurements were not feasible in two

244 lesions. In one of the lesions, it was impossible to perform reliable measurements because of the
 245 angle of spectroscopy fiber towards the lesion. In the other lesion, the spectroscopy measurements
 246 failed because we had unstable contact between the lesion and the fiber. Invisible lesions ($n = 5$)
 247 showed a higher mean of $0.020 \pm 0.005 Q \cdot \mu_{a,x}^f$ when compared to NDBE ($P = 0.0003$). This results
 248 in a calculated spectroscopy TBR of 1.67, confirming the data from the *in vivo* raw fluorescence
 249 image analysis of HD-WLE invisible lesions. *In vivo* spectroscopy results are shown in figure 5.

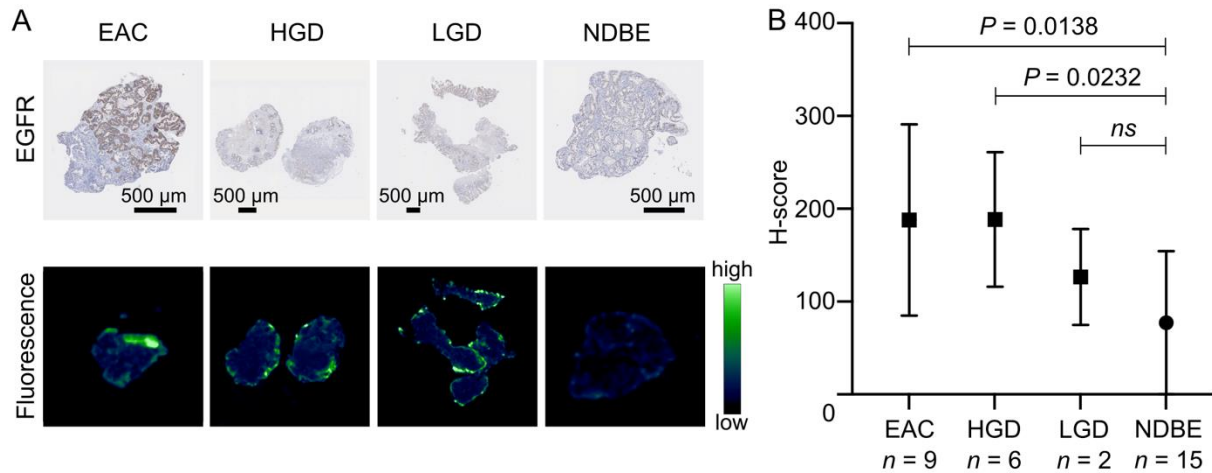


250
 251 **FIGURE 5:** *In vivo* spectroscopy results. On the left, *in vivo* spectroscopy differences between HD-WLE visible
 252 lesions, HD-WLE invisible lesions and NDBE are shown. On the right, *in vivo* spectroscopy fluorescence values for
 253 NDBE, HD-WLE visible lesions and HD-WLE invisible lesions within each patient are shown.

254
 255 **Ex Vivo EGFR Expression**

256 All 17 dysplastic esophageal lesions showed moderate to strong *ex vivo* fluorescence
 257 signal. LGD was found in 2 tissue slices, HGD in 6 tissue slices and EAC in 9 tissue slices.
 258 NDBE was found in 15 tissue slices collected from endoscopically non-suspected Barrett tissue.
 259 Examples of EGFR expression levels in the samples are shown in figure 6. H-score quantification
 260 showed that 94% of DBE tissue (LGD, HGD and EAC) collected from visible and invisible

261 lesions scored intermediate or high epithelial EGFR staining. NDBE tissue showed *ex vivo*
262 negative fluorescence signal and lower EGFR expression H-score results compared to HGD and
263 EAC tissue.



264
265 *FIGURE 6: EGFR expression and ex vivo fluorescence in different tissue types. The top row shows tissue slices with*
266 *EGFR staining. The bottom row shows corresponding deparaffinized tissue slices scanned with the Odyssey CLx*
267 *flatbed scanner showing fluorescence at the luminal side of the tissue where the tracer was sprayed. The graph*
268 *displays the calculated H-score of EGFR staining.*

269 **DISCUSSION**

270 Early detection of DBE and early-stage EAC can prevent the progression towards locally
271 advanced EAC and thereby improve morbidity and mortality rates significantly. In the current
272 study, we investigated EGFR expression in DBE and early-stage EAC tissue. Furthermore, we
273 tested the safety and feasibility of cetuximab-800CW *in vivo* to improve (pre)malignant
274 esophageal lesion detection with NIR-FME in BE. Our immunohistochemistry pre-analysis
275 showed intermediate to high EGFR expression within 67% of the dysplastic areas. NIR-FME
276 with cetuximab-800CW detected all visible dysplastic lesions and additionally revealed 5
277 dysplastic lesions missed using HD-WLE/NBI. The specificity of the results was confirmed by
278 two independent BE expert pathologists and 16 out of the 17 dysplastic lesions (94%) showed
279 intermediate or high EGFR expression levels. This signifies the ability of cetuximab-800CW to
280 visualize dysplastic areas in BE, even if morphological abnormalities cannot be detected by HD-
281 WLE/NBI.

282 Results from our previous *in vivo* feasibility study with the tracer bevacizumab-800CW
283 showed that NIR-FME could improve early lesion detection significantly (7). Another published
284 phase I proof-of-concept study demonstrated the feasibility of using an EGFR targeted tracer in
285 combination with a tracer targeting HER2 for the detection of early EAC lesions by using dual
286 spectral endoscopic imaging (11). However, EGFR or HER2 expression analysis was not
287 performed and *in vivo* imaging results were not quantified (11). The follow-up clinical trial,
288 showed an *in vivo* TBR of 1.5 using an EGFR targeted tracer in 31 patients, although additional
289 lesions were not detected (19). In our phase I clinical trial, we found that the EGFR targeted
290 tracer, cetuximab-800CW, detected all known dysplastic lesions and, more importantly, detected
291 5 invisible dysplastic lesions confirmed by histopathology, which also showed to be EGFR
292 positive. Quantified NIR-FME improves early lesion detection by 29% compared to the current

293 clinical standard using HD-WLE/NBI endoscopy. We quantified EGFR expression in an
294 extensive pre-analysis in esophageal EMR specimens and subsequently in all esophageal biopsies
295 taken during the NIR-FME procedure. Moreover, we confirmed our *in vivo* NIR-FME findings
296 with unbiased spectroscopy measurements.

297 Our *ex vivo* analysis regarding the biopsies showed a relatively high EGFR expression
298 within dysplastic esophageal tissue. One reason for these high EGFR expression levels compared
299 to literature might be our relatively small patient sample size from the phase 1 trial in which we
300 analyzed EGFR expression. All 17 NIR-FME identified lesions, HD-WLE visible and invisible
301 lesions, showed *in vivo* fluorescence after incubation with cetuximab-800CW, suggesting that
302 when lesions are EGFR positive, they can be detected by cetuximab-800CW. However, one
303 lesion did not show clear EGFR expression in the *ex vivo* analysis which might be caused by
304 sampling error during biopsy.

305 Fluorescence molecular imaging can be further developed and improved by addressing
306 several study limitations. We solely included referred BE patients with a suspected lesion.
307 Consequently, our cohort mainly consists of patients with EAC, resulting in a distorted
308 representation of the overall BE population. Research has shown that endoscopists at regional,
309 non-BE expert centers, detect significantly fewer EAC lesions compared to endoscopists at a BE
310 expert center (20). This means that we most likely detected more suspected lesions using HD-
311 WLE compared to referring centers, which could indicate that this novel red flag imaging
312 technique is of even greater value for regional, non-BE expert, centers. It would be of great
313 interest to include non-BE experts in a follow-up study to evaluate the level of impact of this
314 technique. We manually calculated the TBRs from *in vivo* images by comparing the fluorescence
315 signal of the region for the area of interest to the unspecific fluorescence signal of a region for
316 NDBE. A reason for these relatively low TBRs could be the heterogenous distribution of the

317 topically administrated tracer. Another limitation is that we were not able to visualize the tracer
318 on a microscopic level. The obtained biopsies were directly formalin fixed after the endoscopic
319 procedure. Our previous study with bevacizumab-800CW demonstrated that the tracer is almost
320 entirely washed away during the paraffin embedding resulting in a loss of fluorescence signal
321 (13). However, in the best possible manner, *ex vivo* images made with the Odyssey fluorescence
322 flatbed scanner, showed a clear signal only in the luminal side of the tissue. Finally, we were not
323 able to take real time spectroscopy measurements. All measurements were calculated and
324 analyzed after completion of all the study procedures. Since we needed the endoscopic working
325 channel for both fluorescence molecular endoscope and spectroscopy fibers, we could not
326 measure the intrinsic fluorescence and search for the most intense fluorescent spot
327 simultaneously. This could explain why we did not measure a higher fluorescence signal in the
328 lesion compared to the background in one of the included patients.

329 The last few years, several new imaging techniques have been developed to improve early
330 EAC lesion detection in Barrett patients. Amongst them are computer aided diagnosis (CAD)
331 algorithms (21), which could be used as a second assessor. CAD already performs better at EAC
332 detection than general endoscopists with HD-WLE images alone, showing a sensitivity of 93%
333 versus 72% and a specificity of 83% versus 74% (22). We envision that HD-WLE and FME
334 assisted by CAD can further improve detection rates of early EAC lesions with the aim to make
335 the Seattle protocol redundant and improve patient outcome.

336 In conclusion, we validated that EGFR is overexpressed in (pre)malignant esophageal
337 tissue, the latter does not impede the use of an EGFR targeted tracer in combination with NIR-
338 FME. We demonstrated *in vivo* that this novel red flag imaging technique in combination with
339 cetuximab-800CW, has potential to improve early lesion detection in Barrett patients. We expect

340 that a dual spectral imaging study using an EGFR targeted tracer in combination with a VEGF-A
341 targeted tracer can further improve detection of early (pre)malignant lesions in these patients.

342

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347 801347).

348

349 **CONTRIBUTIONS**

350 *Guarantor of the article:* Wouter B. Nagengast

351 *Specific author contributions:* All authors were involved in conceptualization and study design.

352 WBN, DG and VN were responsible for funding acquisition and resources. Pathologists GK-U

353 and AK blindly analyzed the hematoxylin and eosin coupes for dysplasia. RYG and LEvH

354 independently performed H-scoring data analysis and visualization. RYG, LEvH, WTRH, AMW

355 and WBN performed all *in vivo* study procedures. RYG and LEvH performed all *ex vivo*

356 procedures subsequently. DJR performed the spectroscopy analysis. AT and DG contributed to

357 the interpretation and designed software to analyze the imaging results. RYG and LEvH wrote

358 the first draft of the manuscript. WTRH, AM, AT, DG, VN and WBN contributed to results

359 interpretation and critically reviewed the manuscript.

360 All authors approved the final version of the manuscript, including the authorship list.

361

362 **STATEMENT OF DISCLOSURE**

363 The authors have declared that no competing interests exists.

364

365 **KEYWORDS**

366 Barrett's esophagus, cetuximab, epidermal growth factor receptor, esophageal adenocarcinoma,
367 fluorescence molecular imaging

368

369 **ABBREVIATIONS**

370 BE: Barrett's esophagus, DBE: dysplastic Barrett's esophagus; CAD: computer aided diagnosis;
371 CNR: contrast-to-noise ratio; EAC: esophageal adenocarcinoma; EGFR: epidermal growth factor
372 receptor; EMR: endoscopic mucosal resection; FFPE: formalin-fixed and paraffin-embedded;
373 FME: fluorescence molecular endoscopy; HER2: human epidermal growth factor receptor 2;
374 HGD: high-grade dysplasia; LGD: low-grade dysplasia; MDSFR: multi-diameter single-fiber
375 reflectance; NBI: narrow-band imaging; NDBE: non-dysplastic Barrett's esophagus; NIR: near-
376 infrared; ROI: region-of-interest; SNR: signal-to-background noise ratio; SFF: single-fiber
377 fluorescence; TBR: target-to-background ratio; UMCG: University Medical Center Groningen;
378 VEGF-A: Vascular endothelial growth factor A.

379

380 **KEY POINTS**

381 Does NIR-FME in combination with cetuximab-800CW, an EGFR targeted tracer, improve
382 detection of early-stage EAC.

383 This study adds an extensive *ex vivo* pre-analysis of EGFR expression in esophageal dysplastic
384 and non-dysplastic tissue. *In vivo*, we additionally detected 5 HD-WLE invisible lesions and we
385 further quantified *in vivo* fluorescence results with spectroscopy and validated these results *ex*
386 *vivo* with EGFR expression levels.

387 Dual spectral NIR-FME including an EGFR targeted tracer will further improve detection of
388 (pre)malignant lesions in the esophagus.

389

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451 **TABLES**

452 *TABLE 1: Patient characteristics. Five invisible HD-WLE dysplastic lesions were detected using FME.*

	Histology				Total (n = 15 patients)
	NDBE	LGD	HGD	EAC	
Sex, male, n (%)	1 (100)	2 (100)	5 (100)	5 (71,4)	13 (86,7)
Age, mean	74.5	67.0	64.0	64.2	66
BMI, mean	28.00	27.10	27.05	27.46	27.43
Lesions identified by referring endoscopist	0	0	1	8	9 (7 patients)
Lesions identified with HD-WLE at BE expert center	0	0	3	9	12 (9 patients)
Additional NIR-FME lesions	0	2	3	0	5 (5 patients)

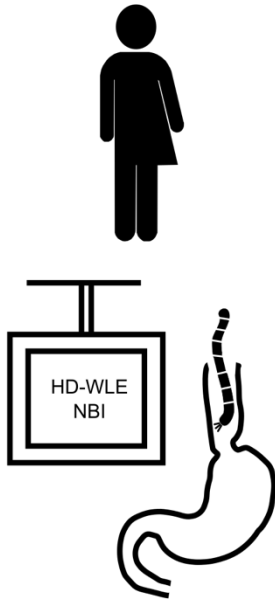
453 *NDBE = non-dysplastic Barrett's esophagus, LGD = low-grade dysplasia, HGD = high-grade dysplasia, EAC =*
 454 *esophageal adenocarcinoma, BMI = body mass index, HD-WLE = high-definition white-light endoscopy, BE =*
 455 *Barrett's esophagus, NIR-FME = near-infrared fluorescence molecular endoscopy*

456
 457
 458 *TABLE 2: Metrics with corresponding formulas and reference values for the image quality assessment*

Metric	Formula	Reference value
SNR	$20 \cdot \log_{10} \frac{S}{RMSN}$	6 dB
CNR	$\frac{ S - N }{RMSN}$	1

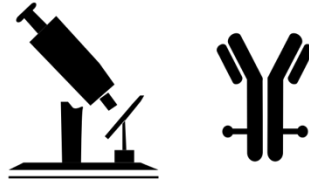
459 *SNR = signal-to-background noise ratio, S = mean intensity signal, RMSN = root mean square noise calculated as a*
 460 *standard deviation from the background area, dB = decibel, CNR = contrast-to-noise ratio, N = noise calculated as*
 461 *a mean background signal*

Clinical standard

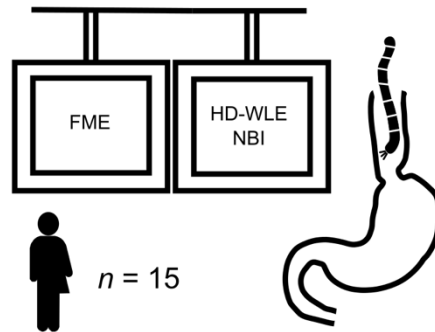


+/- 24% dysplastic lesions undetected

Fluorescence molecular endoscopy with cetuximab-800CW



EGFR validation + tracer development



$n = 15$

All dysplastic lesions detected by FME
Five invisible lesions detected
Cetuximab-800CW was safe and well tolerated