

1 **The Overlap Index as a means of evaluating early tau-PET signal reliability**

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33 **ABSTRACT**

34 In tau positron emission tomography (tau-PET), a reliable method to detect early tau  
35 accumulation in the brain is crucial. Noise, artifacts, and off-target uptake impede  
36 detection of subtle true positive ligand binding. We hypothesize that identifying voxels  
37 with stable activity over time can enhance detection of true positive tau. **Methods:** 339  
38 participants in the clinical spectrum ranging from clinically unimpaired to Alzheimer's  
39 Disease Dementia underwent  $\geq 2$  serial tau-PET scans with flortaucipir. The "overlap  
40 index" (OI) method was proposed to detect spatially identical, voxel-wise standardized  
41 uptake value ratio (SUVR) elevation when seen sequentially in serial tau-PET scans.  
42 The association of OI with tau accumulation, clinical diagnosis, and cognitive findings  
43 was evaluated. **Results:** OI showed good dynamic range in the low-SUVR window.  
44 Only OI was able to identify subgroups with increasing tau-PET signal in low SUVR  
45 meta-ROI groups. OI showed improved association with early clinical disease  
46 progression and cognitive scores versus meta-ROI SUVR measures. **Conclusion:** OI  
47 was more sensitive to tau signal elevation and longitudinal change than standard ROI  
48 measures, suggesting it is a more sensitive method for detecting early, subtle  
49 deposition of neurofibrillary tangles.

50

51 **Keywords**

52 AV-1451; Flortaucipir; Tau PET; Variability; early detection.

53

54 **INTRODUCTION**

55 Alzheimer's disease (AD) is a heterogeneous neurodegenerative disorder  
56 characterized by abnormal extracellular amyloid- $\beta$  (A $\beta$ ) plaques and intracellular tau  
57 neurofibrillary tangles (NFT)(1). The amyloid cascade hypothesis suggests A $\beta$  as the  
58 primary cause of tau NFT formation and ultimately neuronal loss(2). However, it has  
59 also been suggested that the aggregation of pathologic A $\beta$  and tau might be  
60 independent etiologies of AD pathology(3). Studies have found a clear association  
61 between AD severity and increased tau with positron emission tomography (PET)(4)  
62 and that tau-PET is a better predictor of AD dementia (ADD) than amyloid status(1,5).  
63 Tau is therefore an attractive target as a biomarker for ADD diagnosis and treatment  
64 outcome measure.

65  
66 Tau-PET uptake patterns have been associated with Braak NFT staging(6) and ADD  
67 severity(7,8). Tau-PET signal is associated with aging(4) and with reduced glucose  
68 metabolism(7) and can distinguish among clinical phenotypes(7). Longitudinal amyloid  
69 PET has been studied extensively, tracking participants for over a decade(9).  
70 Longitudinal tau-PET studies are in the initial stage of optimization(10-12). Global  
71 increases in tau accumulation have been reported, rather than the region-specific  
72 sequence that would be expected from the neuropathology literature(4,10). More  
73 longitudinal tau studies are needed to better understand AD pathogenesis.

74  
75 Longitudinal tau-PET reliability is limited by inter-scan variability. The  
76 standardized-uptake-value-ratio (SUVR) is the most common quantitative measure of

77 radiotracer uptake. SUVR annual change in longitudinal studies has been relatively  
78 small compared to group averages(10-12). Annual AV-1451 (Flortaucipir) tau-PET  
79 SUVR change in patients with amyloid-positivity and cognitive impairment was around  
80 0.05 SUVR(10-12), about 3% of the average cross-sectional SUVR (=1.64) for the  
81 group(4). The annual increase was similar to the test-retest variability of AV-1451 with  
82 48-hour to 4-week intervals (SUVR changes of up to 0.05)(13). Moreover, for cognitively  
83 unimpaired (CU) subjects with amyloid positivity, possibly the earliest stage of AD, the  
84 mean annual SUVR change has been estimated at 0.006(10).

85

86         It is therefore important to understand the nature of the variability in serial tau-  
87 PET scans when neuropathologically-related PET signal changes may be small.  
88 Variability is especially problematic in the early stages of tau pathology in which the rate  
89 of NFT accumulation is slow and thus difficult to discern relative to the range of random  
90 fluctuation noise in tau-PET imaging. To address this problem, we developed a  
91 measure of consistency across serial scans called the “overlap index” (OI) based on the  
92 hypothesis that random noise/artifacts are unlikely to be repeated over serial scans and  
93 voxels with stable signal over time more likely represent true NFT-related binding. We  
94 evaluated the ability of OI to measure early, subtle tau-PET signal change, compared to  
95 standard region-of-interest (ROI)-based measure, and evaluated for correlation with  
96 changes in clinical status.

97

## 98 MATERIALS AND METHODS

### 99 Participants

100 Eligible participants (n=339) selected from the Mayo Clinic Study of Aging or the  
101 Alzheimer's Disease Research Center had  $\geq 2$  serial flortaucipir tau-PET scans with  
102 MRI, corresponding to 850 tau-PET scans in total(Supplementary Table1)(10). Studies  
103 were approved by the Mayo Clinic and Olmsted Medical Center Institutional Review  
104 Boards. Written informed consent was obtained. Enrolled participants are determined to  
105 be clinically normal or cognitively impaired by a consensus panel consisting of study  
106 coordinators, neuropsychologists, and behavioral neurologists. Methods for defining  
107 CU, mild cognitive impairment (MCI), and dementia in both studies conform to  
108 standards in the field(14-16). To examine the generalizability of the OI, we also included  
109 the longitudinal tau-PET data (n=235,Supplementary Table2-3) from the Alzheimer's  
110 Disease Neuroimaging initiative (ADNI) database (adni.loni.usc.edu).

111

### 112 Neuroimaging Methods

113 Tau-PET imaging was performed with F18-flortaucipir and amyloid-PET with  
114 Pittsburgh compound B (PiB) as reported previously(17) (see Supplementary  
115 Methods(18-25)). Tau- and amyloid-PET SUVR were normalized to the median uptake  
116 in the cerebellar crus. The regional tau-PET SUVRs were calculated by measuring  
117 median uptake in each ROI, excluding any voxels segmented as cerebrospinal fluid. A  
118 meta-ROI for tau-PET included the amygdala, entorhinal cortex (ERC), fusiform,  
119 parahippocampal and inferior temporal and middle temporal gyri(10,24). The tau-PET  
120 meta-ROI SUVR was calculated as an average of the median SUVR in each region.

121 Global cortical amyloid-PET SUVR was computed as a voxel-number weighted average  
122 of median uptake across a set of ROIs including the prefrontal, orbitofrontal, parietal,  
123 temporal, anterior and posterior cingulate, and precuneus ROIs(24). An SUVR>1.29  
124 threshold denoted abnormal tau-PET scans(6). The threshold used to define abnormal  
125 PiB-PET was SUVR=1.42(24). Meta-ROI  $\Delta$ SUVR was calculated as an annualized  
126 difference between the baseline SUVR from the follow-up SUVR.

127

### 128 **Overlap Index Calculation**

129 OI represents the voxel-wise SUVR elevation consistently present on two serial  
130 scans(Fig.1). First, we selected the ROI(or meta-ROI) to be evaluated in the calculation.  
131 An intensity threshold (SUVR=1.4) -selected from preliminary experimental  
132 tests(Supplementary Fig.1)- was applied to each voxel in the ROI(s). Voxels that  
133 survived the intensity threshold were binarized (0/1) as masks ( $M_b$  and  $M_f$ ). Clusters  
134 with fewer than 20 contiguous voxels (18-connectivity criterion) were excluded. The  
135 spatial overlap between masks ( $N_{overlap}$ ) was calculated by counting the number of  
136 voxels with an intensity of 1 after multiplying the two masks. OI was calculated by  
137 dividing  $N_{overlap}$  by the number of voxels where the value is 1 in the  $M_b$  ( $N_b$ ).

$$138 \quad \text{Overlap index (OI)} = \frac{N_{overlap}}{N_b}$$

139 Values of 0 indicate no overlap between scans; conversely, values approaching 1  
140 indicate consistent elevation of voxels in the follow-up scan.

141

142 Unlike standard indices that calculate overlap (e.g., Dice coefficient or Jaccard  
143 index), OI is asymmetrically normalized with to the value in only the first scan. Hence,

144 OI quantifies the extent to which the high-intensity voxels of the first scan are spatially  
145 preserved in the second scan. Biologically, the increased topographic extent of tau  
146 uptake over time is usually expected. Therefore, we assumed that the index calculated  
147 by a standard symmetric measure (*i.e.*, denominator is a union of both scan) could be  
148 less sensitive to the detection of early tau where only a small amount of NFT would  
149 exist. An overlap size (OS) quantifying a ratio of the overlap area to the size of the total  
150 ROI(s), was also defined as following:

$$151 \quad \text{Overlap size (OS)} = \frac{N_{\text{overlap}}}{N_{\text{ROI}}}$$

152  $N_{\text{ROI}}$  is the number of voxels of ROI(s) included for the analysis. The OI and OS were  
153 calculated for each serial scan pair.

154

## 155 **Statistical Analysis**

156 To test for significant group differences in OI and SUVR, we ran non-parametric  
157 Kruskal-Wallis tests, followed by *post-hoc* Dunn's multiple comparison test. Non-  
158 parametric tests were applied as they do not require the data to be normally distributed.  
159 To address different stages of the typical Alzheimer's continuum, we separated the CU  
160 participants using the amyloid positivity: CU individuals with normal amyloid-PET (CUA-,  
161 *i.e.* not in the Alzheimer's continuum) and CU individuals with abnormal amyloid-PET  
162 (CUA+, *i.e.* early in the Alzheimer's continuum). Then, the clinical change seen in  
163 participants at the time points of the serial scans were grouped as CUA-toCUA-, CUA-  
164 toCUA+, CUA+toCUA+ CUtoMCI/AD, MCItoMCI, MCItoAD, and ADtoAD. For more  
165 details, please refer to the supplementary data.

166

167 **RESULTS**

168 **Association of OI with SUVR in Single ROI**

169 Scatter plots of voxel intensity within 3D space for a specific ROI demonstrate  
170 both low and high-OI examples(Fig.2). For low-OI(Fig.2A), inconsistent voxel signal  
171 elevation over serial scans can be seen even when median SUVR of the overall region  
172 is above the autopsy tau-PET threshold (SUVR=1.29). The median SUVR fluctuated  
173 above and below the threshold in these examples. Conversely, high-OI  
174 examples(Fig.2B) show consistent high-intensity voxels over serial scans, with voxels  
175 clusters gradually enlarging based on visual assessment even when the median SUVR  
176 did not numerically increase. Notably, the median SUVRs of Fig.2B was below  
177 threshold. More examples for high-OI can be found in Supplementary Fig.2.

178

179 Fig.3 shows the relationship between OI and baseline SUVR for representative  
180 ROIs. OI increased exponentially in the low SUVR range and approached 1.0 around  
181 SUVR=1.5 (vertical dotted line) for every region. In the SUVR<1.5 range, the SUVR and  
182 OI showed a significant linear relationship for all regions ( $p<0.005$ ). The regional  
183 distribution of OI and SUVR for both MCI and AD were calculated by anatomic region,  
184 ranked and displayed on a 3D-rendered plot(Supplementary Fig.3A-B), corroborating  
185 the statistically significant correlation of regional OI and SUVR ( $r=0.8489$ ,  
186 Supplementary Fig.3C).

187

## 188 **OI Can Characterize Tau Accumulators**

189           Meta-ROI also showed a strong linear correlation with baseline SUVR in the low  
190 SUVR range ( $R^2=0.3806$ ), reaching values near 1.0 around  $SUVR=1.5$ (Fig.4A). Most  
191 participants (79.65%) had a below-threshold SUVR ( $<1.5$ ) whereas OI was more evenly  
192 distributed(Fig.4A). OI provides a good dynamic range even in this low-SUVR window.  
193 This also held true for follow-up scans(Supplementary Fig.4). A relationship between OI  
194 and scan interval was tested. High OI values were found even for relatively long scan  
195 intervals ( $>2$  yrs) in cases where baseline SUVR was high. In contrast, OI was low  
196 regardless of the scan interval for low SUVR cases(Supplementary Fig.5). Multivariable  
197 linear regression showed that baseline SUVR better explained the OI than the  
198 interval(Supplementary table4).

199

200           Next, we investigated an association of meta-ROI OI and  $\Delta$ SUVR. If OI is  
201 sensitive to tau burden, the metric would show positive correlation with tau  
202 accumulation rate, as an increased extent of tau over time is biologically expected(10-  
203 12). Supplementary Fig.6A shows pairs of meta-SUVR from two sequential scans for  
204 each individual subject. Then, the total cohort was separated into low-OI ( $OI<0.5$ ) and  
205 high-OI ( $OI>0.5$ ) subgroups(Supplementary Fig.6B-C). Importantly, OI discriminates  
206 positive tau accumulation (slope $>0$ ) from stable tau. Statistically, a significant positive  
207 correlation between OI and  $\Delta$ SUVR was also demonstrated ( $R^2=0.1603$ ,  
208  $p<0.0001$ ;Fig.4B). This significance held true for baseline  $SUVR>1.5$ (Supplementary  
209 Fig.7A;  $R^2=0.1566$ , $p<0.0001$ ).

210

211 Comparison of baseline meta-SUVR value groups (SUVR<1.29,1.29<SUVR<1.5  
212 and SUVR>1.5) showed increased  $\Delta$ SUVR with increased baseline values ( $p=0.001$ );  
213 however, the comparison between SUVR<1.29 and 1.29<SUVR<1.5 did not reach  
214 significance (Fig.4C; $p=0.46$ ). A significant difference in  $\Delta$ SUVR was detected between  
215 low-OI and high-OI groups within the same SUVR range (Fig.4D; $p=0.01$  and  $p=0.006$   
216 for SUVR<1.29 and 1.29<SUVR<1.5, respectively). Notably, the average  $\Delta$ SUVR in the  
217 low-OI group was close to zero or even negative (mean=0.002 and -0.048 for  
218 SUVR<1.29 and 1.29<SUVR<1.5, respectively), whereas high-OI groups showed a  
219 positive tendency in  $\Delta$ SUVR (mean=0.025, 0.019 and 0.041 for SUVR<1.29,  
220 1.29<SUVR<1.5 and SUVR>1.5, respectively). There was no significant difference  
221 among high-OI groups at different SUVR levels. These results imply that the OI can  
222 distinguish tau accumulation within meta-SUVR subgroups that cannot be detected by  
223 SUVR alone. To test the reliability, we compared the meta-ROI OI from the first and  
224 second scans to the second and third scans, when three or more time points were  
225 available. The OI of 1-2 and the OI of 2-3 were highly correlated ( $r=0.8902$ ) meaning OI  
226 is consistent over time(Supplementary Fig.7B).

227

### 228 **Meta-ROI OI Relationship to Demographic Data**

229 A pairwise comparison with CUA-toCUA- as the control group demonstrated that  
230 OI can detect significant differences from the other subgroups including the smallest  
231 degree of clinical change, CUA-toCUA+(Fig.5). Baseline SUVR, baseline SUVR<sub>pvc</sub>, and  
232  $\Delta$ SUVR from meta-ROI also showed significant differences from the MCI groups,

233 however no significant difference was seen in comparison with the earlier disease  
234 progression groups such as CUA-toCUA+, CUA+toCUA+ and CUtoMCI/AD.

235  
236 The relationship of cognitive scores with meta-ROI OI and SUVR was also  
237 investigated. We found that the meta-ROI OI and meta-SUVR had a significant linear  
238 relationship with the cognitive scores (Supplementary Fig.8A-B; linear regression,  
239  $p < 0.005$ ). However, the cognitive scores associated more strongly with OI than the  
240 SUVR for the global, language, and visuospatial domain (for OI,  $R^2 = 0.2209$ ,  $0.2054$  and  
241  $0.1288$  for global, language, and visuospatial domain, respectively and for meta-SUVR,  
242  $R^2 = 0.1731$ ,  $0.1275$  and  $0.0667$  for global, language and visuospatial domain,  
243 respectively). For the memory and attention domain, both showed a similar result (for  
244 OI,  $R^2 = 0.1859$  and  $0.1337$  for memory and attention domain, respectively and for follow-  
245 up meta-SUVR,  $R^2 = 0.1810$  and  $0.1422$  for memory and attention domain, respectively)

246  
247 To evaluate the generalizability of OI metric, we tested OI in the ADNI dataset.  
248 This validated many of the results seen in the Mayo cohort. For meta-ROI, OI reached  
249 approached 1.0 around  $SUVR = 1.5$  (Fig.6A). In addition, meta-ROI OI-based grouping  
250 was able to discriminate the positive tau accumulator within the same SUVR  
251 range (Fig.6C;  $p < 0.001$  for  $SUVR < 1.29$  and  $p = 0.02$  for  $1.29 < SUVR < 1.5$ ) while meta-  
252 SUVR subgroups separated by baseline SUVR did not reach statistical significance  
253 (Fig.6B). In the disease progression assessment, the patterns were overall similar to  
254 those of the Mayo dataset, where CUA+toCUA+ and CUtoMCI/AD groups showed  
255 significant differences of OI compared to CUA-toCUA- ( $p < 0.001$  and  $p = 0.0476$  for

256 CUA+toCUA+ and CUtoMCI/AD, respectively;[Fig.6D](#)). However, fewer significant  
257 differences were found in SUVR measurements between groups([Fig.6D](#)).

258

## 259 **DISCUSSION**

260 In this study, we proposed OI as a means for early detection of tau-PET bindings  
261 by evaluating consistency of serial tau-PET scans and tested the ability of OI to identify  
262 subtle, but true positive tau binding in serial scans. Participants with high-OI had a  
263 larger serial SUVR change than participants with low-OI, a finding which notably was  
264 also seen with participants below the tau cut-off (SUVR<1.29). When compared to ROI-  
265 based SUVR measurements, OI alone had a significant association with early disease  
266 progression.

267

268 Although SUVR and OI showed a significant linear correlation, OI showed better  
269 dynamic range in the low-SUVR window. It may be that the typical ROI-based measures  
270 that calculate the median SUVR all voxels are less sensitive to the early development of  
271 NFT because the local tau-PET signal can be diluted in the process of obtaining the  
272 median of the entire ROI(s)([6](#)). In contrast to the ROI method, OI quantifies the spatial  
273 consistency only in those voxels with an elevated tau-PET signal. This characteristic of  
274 OI is independent of the size of the tau cluster, thus allowing better characterization of  
275 small areas of signal elevation in the low SUVR range in which NFT volume is relatively  
276 small. In this respect, OI can better detect early stages of tau pathology than the typical  
277 ROI-based measurements. In the high SUVR range, this provides less added value  
278 because consistency is high when tau is abundant([Supplementary Fig.9](#)). Because AD

279 is a chronic and progressive disease, early detection before devastating symptoms  
280 begin is critically important. Tau-PET is in general a promising biomarker, more closely  
281 associated with disease severity than other imaging biomarkers;(26) however, inter-  
282 scan random variability which does not represent true tau pathology presents a  
283 significant hurdle(13,27). A recent autopsy study reported that ROI methods be  
284 insufficient to detect subtle tau-PET signals in early tau deposition(6), probably  
285 reflecting diminished signal-to-noise when a small volume of true radiotracer binding is  
286 present(28). Our results suggest that OI may overcome this limitation and be  
287 complementary to typical ROI measures for interpreting the early tau-PET signal.

288

289 OI will likely be also useful in distinguishing true tau accumulation from random  
290 variability in longitudinal studies. Our results showed that OI can characterize the  
291 participants who will accumulate tau amongst those in the low-SUVR and mid-SUVR  
292 groups better than meta-ROI. As described earlier, as an increased extent of NFT over  
293 time is biologically expected(10-12), OI which is sensitive to subtle tau burden may  
294 better identify subjects with true accumulation that was hidden by ROI SUVR washout  
295 or random variability. Clearly, there is a wide standard deviation in the high meta-ROI  
296 group with some participants showing negative change. This phenomenon of negative  
297 change was also observed in previous longitudinal studies reporting some individuals  
298 with high baseline SUVR and negative SUVR changes(10-12). The reasons for these  
299 negative SUVR changes are not yet well understood. CSF phosphorylated tau level  
300 could decrease in the late AD(29) accounting for the negative change. Noise or partial  
301 volume effects due to tau aggregation-driven local atrophy may contribute(30,31).

302 Further optimization of OI methods to target the high meta-ROI group is an aim of our  
303 ongoing work.

304

305 OI was highest in the inferior, middle, and medial temporal lobes including the ERC, and  
306 amygdala, areas of elevated tau PET activity described in the literature(8,32). While  
307 nonspecific binding related to AV1451 is not well understood in longitudinal data, a  
308 possible limitation is that OI may be vulnerable to suprathreshold off-target binding  
309 when it consistently occurs in serial scans. For example, the hippocampal OI may be  
310 vulnerable to choroid plexus(Supplementary Fig.10). To minimize this, typical non-  
311 specific binding areas such as basal ganglia and choroid plexus are excluded from  
312 meta-ROI analysis. Four cases of non-specific binding in meninges were observed  
313 which only affected the OI measurement when meninges had repeated strong signal in  
314 the meta-ROI (Supplementary Fig.11). Future work is needed to characterize the effects  
315 of off target binding on the SUVR and OI.

316

317         The difference between OI and SUVR regarding cognitive findings is marginal.  
318 This is not unexpected given that our sample population is mixed and comprised of  
319 those without significant cognitive impairment (i.e., CU; ~50% of sample), MCI, or early  
320 AD (28% of sample) some of whom have little or no cognitive impairment. Our plans are  
321 to expand the OI analysis to larger groups of subjects with cognitive impairment to  
322 better define clinically utility.

323

324 The statistical significance between early preclinical groups (i.e., CUA-toCUA- vs.  
325 CUA-toCUA+) was only demonstrated in the Mayo cohort. Notably, the mean OI values  
326 of CUA-toCUA+ group were not different between the cohorts ( $p=0.9652$ , mean  
327  $OI=0.3573$  and  $0.3558$  for Mayo and ADNI, respectively), but the CUA-toCUA- group  
328 showed significantly different mean OI between the cohorts ( $p<0.001$ , mean  $OI=0.1832$   
329 and  $0.3125$  for Mayo and ADNI, respectively). One possible explanation is the relatively  
330 smaller number of samples in the CUA-toCUA- group from ADNI cohort (97 for Mayo  
331 vs. 26 for ADNI). However, the reason for high OI values in the early preclinical groups  
332 should be investigated with neuropathology studies in the future.

333

334 One limitation of this study is the assumption that voxels with artifactual or false-  
335 positive activity would be less likely to show spatial consistency over-time, an  
336 assumption that should be validated with post-mortem neuropathologic data of tau  
337 deposition. The SUVR is sensitive to perfusion changes; therefore, interscan  
338 comparison may be biased when perfusion differs between the two scans. Despite this  
339 limitation, OI performs better for early detection of tau-PET signal and disease  
340 progression than the ROI-based SUVR measure. Future investigation with simulation  
341 studies will be needed to assess the magnitude of the bias of perfusion on OI. The  
342 intensity threshold used in this study was determined observationally. The OI calculation  
343 is largely dependent on this threshold level and future work is warranted to determine  
344 the optimal threshold among different regions and even at voxel level. Although OI can  
345 augment sensitivity to early tau-PET uptake, acquiring two separate PET scans is a  
346 disadvantage. Using dynamic scans to derive OI from a single imaging session by

347 splitting the scan into two segments may address this limitation. Future investigation of  
348 this possible solution is needed, which will require careful optimization given the slow  
349 kinetics of the AV-1451 tracer.

350

## 351 **CONCLUSION**

352 By identifying voxels with consistent signal, the OI method could be helpful in  
353 measuring early tau-PET signal. This voxel-wise analysis can overcome the limitations  
354 of ROI-based measures which had reduced sensitivity to early detection of low levels of  
355 tau. The ability of OI to reliably detect true positive binding is likely to have the most  
356 impact in the lower SUVR window, reflecting the early stage of neurodegeneration and  
357 early tau NFT pathology prior to cognitive decline. Combining the OI method with other  
358 methods which minimize inter-scan variability (partial volume correction and optimized  
359 reference) may synergistically improve interpretations of longitudinal change in the tau-  
360 PET signal.

361

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364

365 **DISCLOSURE**

366           Mr. Senjem has owned stocks and/or options in the following medical-related  
367 companies: Align Technology, Inovio Biomedical, Johnson & Johnson, Mesa  
368 Laboratories, Nvidia, LHC Group, Natus Medical Incorporated, Varex Imaging  
369 Corporation, CRISPR Therapeutics, Gilead Sciences, Ionis Pharmaceuticals, and  
370 Medtronic. Dr. Gunter reports an abandoned provisional patent for face replacement in  
371 MR imaging unrelated to the current publication. Dr. Schwarz has given lectures  
372 sponsored by Karolinska Institute unrelated to the current publication. Dr. Knopman  
373 served on a data safety monitoring board for the DIAN study, serves on a data safety  
374 monitoring board for a Biogen tau therapeutic, and is a site investigator in the Biogen  
375 aducanumab trials, an investigator in clinical trials sponsored by Lilly Pharmaceuticals  
376 and USC, and a consultant for Samus Therapeutics, Third Rock, Roche and Alzeca  
377 Biosciences but receives no personal compensation. Dr. Jack serves on an  
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387

388 **KEY POINTS**

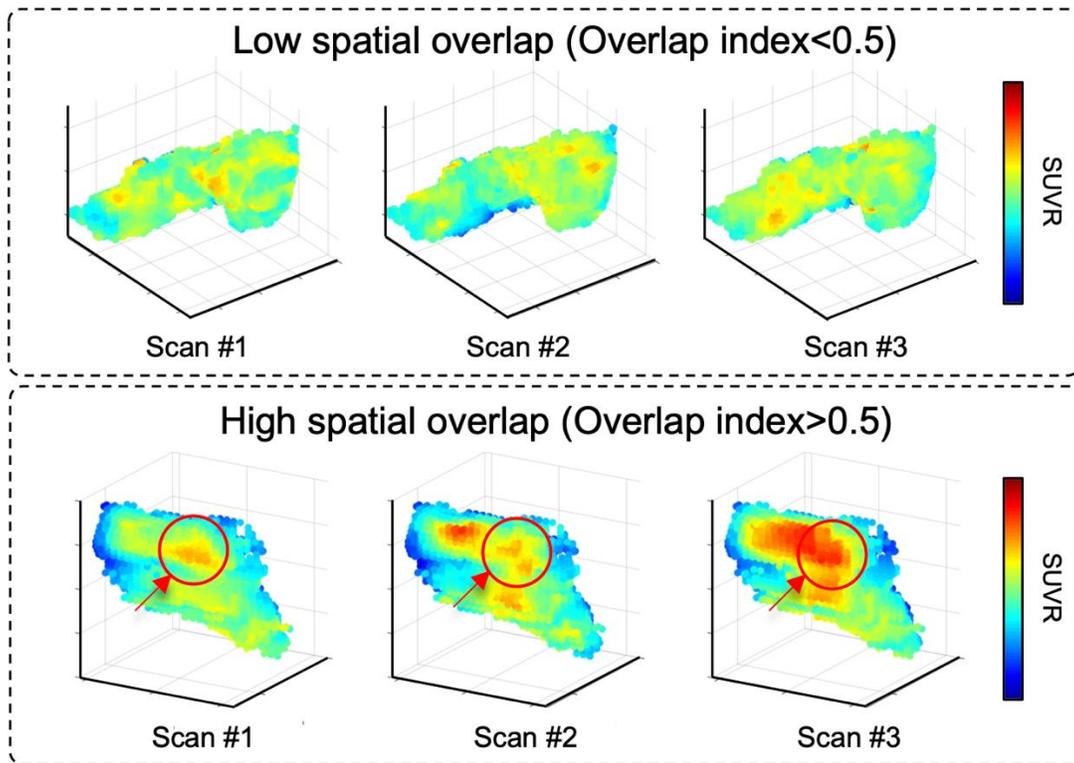
389 **Question:** Is identifying voxels with stable signal over time a more sensitive method for  
390 detecting early, subtle development of neurofibrillary tangles?

391 **Pertinent Findings:** Only OI was able to identify subgroups with increasing tau-PET  
392 signal in low SUVR meta-ROI groups. OI showed improved association with early  
393 disease progression and cognitive scores vs. meta-ROI SUVR measures.

394 **Implications for Patient Care:** Our findings demonstrate that the proposed method  
395 could be helpful in detecting tau signal elevation and longitudinal changes than standard  
396 ROI measures, suggesting it is less vulnerable to random variability and more sensitive  
397 to early, subtle ligand binding.

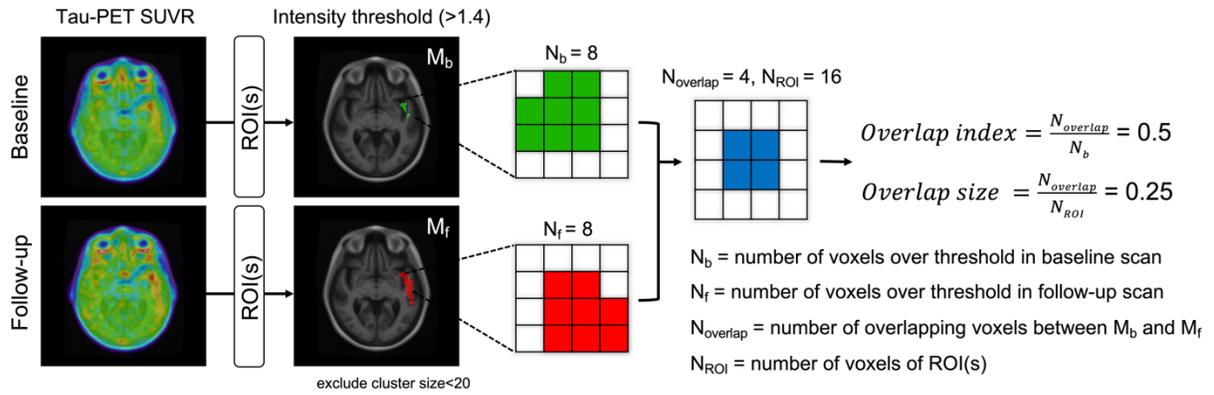
398

399 **Graphical abstract**



400

401



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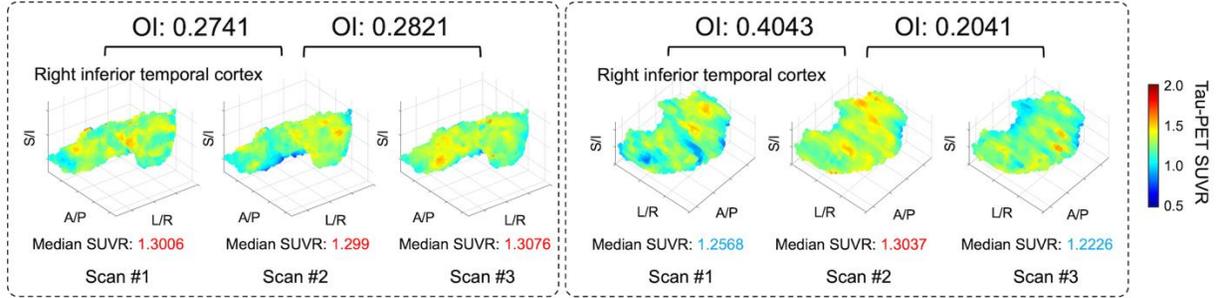
403

404 **Figure1. Overlap index (OI) calculation.** OI was designed to identify voxels with stable

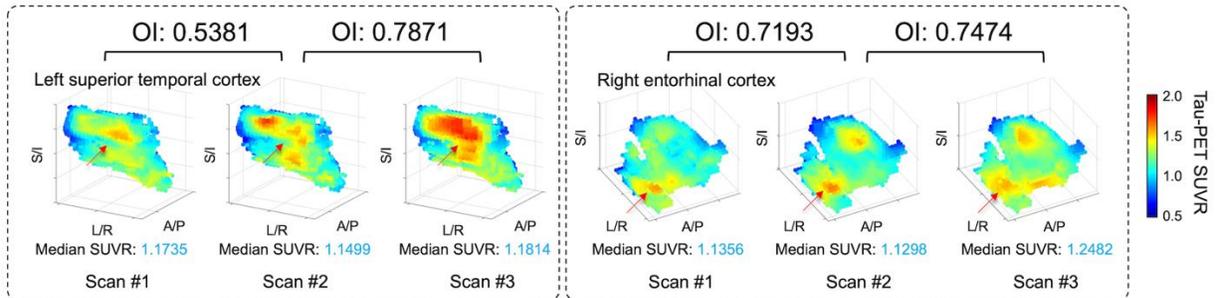
405 high activity over time using two consecutive tau-PET scans.

406

A. Low-OI (OI<0.5)



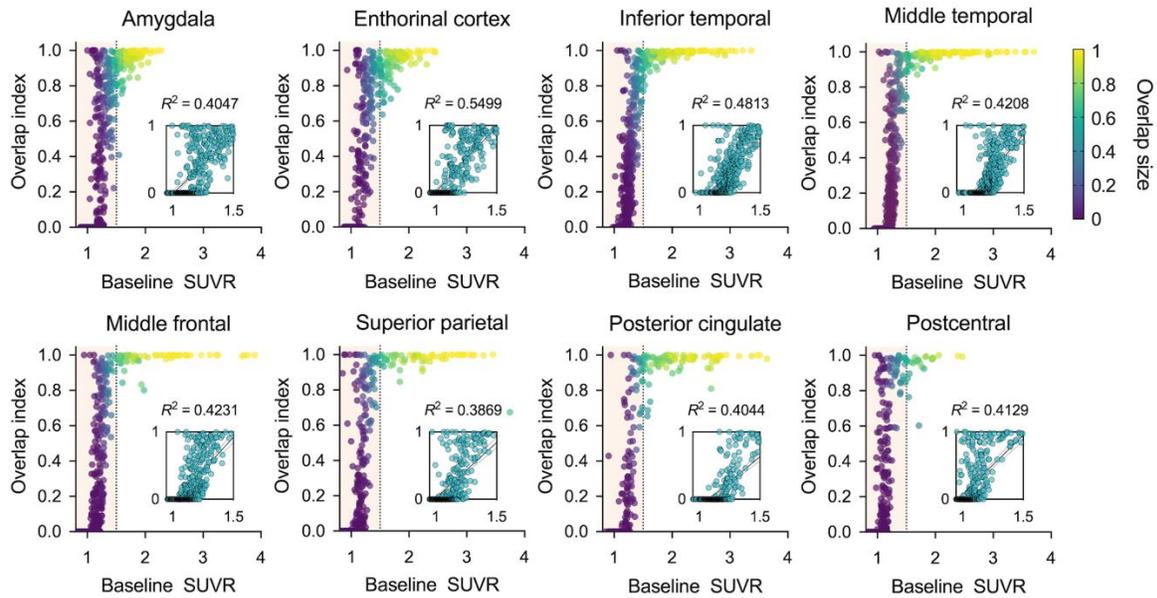
B. High-OI (OI>0.5)



407

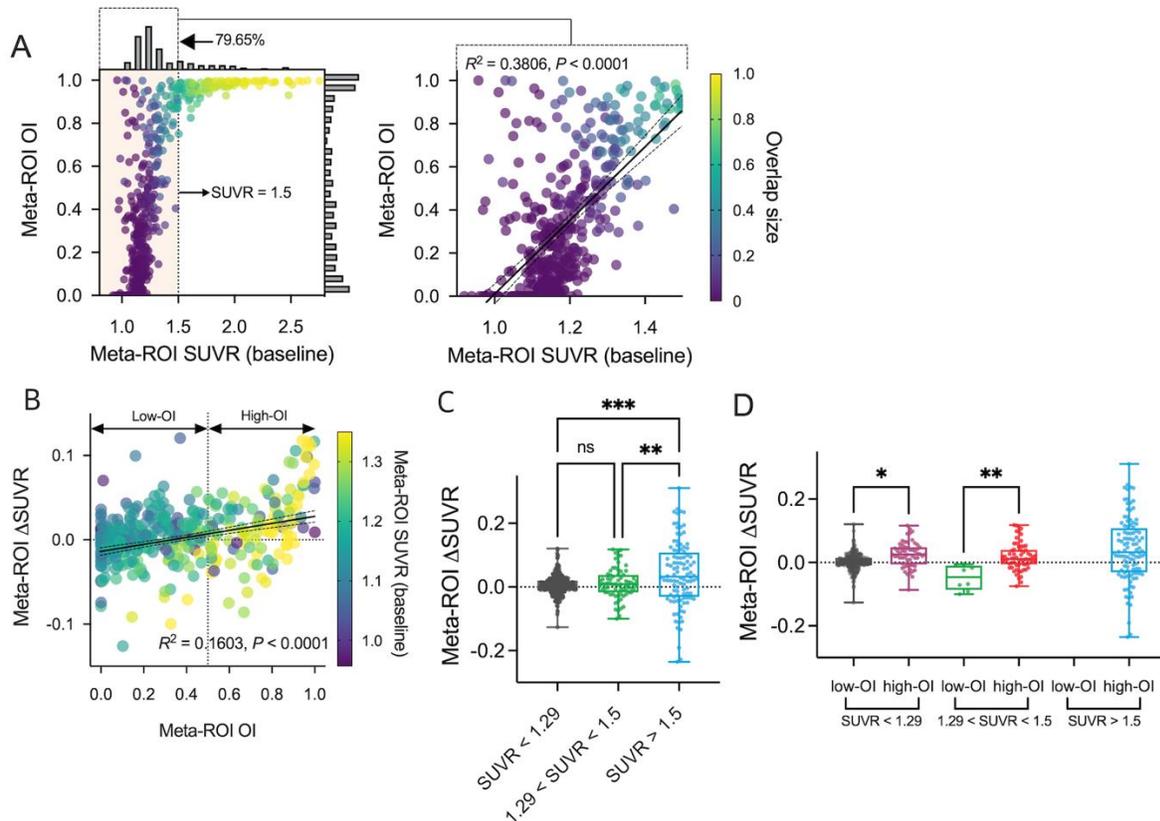
408 **Figure 2. Examples for low-OI and high-OI.** Three consecutive 3D scatter plots are  
 409 displayed in each box for four different examples, representing the tau-PET SUVR of  
 410 each voxel in each scan from an individual subject. (A) shows low-OI and (B) shows  
 411 high-OI cases. Below each rendering, the median SUVR represents a median value for  
 412 all voxels in each region. The colorbar indicates the intensity of each voxel. Font color of  
 413 median SUVR is red when >1.29 and blue when <1.29. Red arrows in B indicate the  
 414 regions showing spatial consistency. Various anatomic regions are plotted and labeled  
 415 in each panel.

416



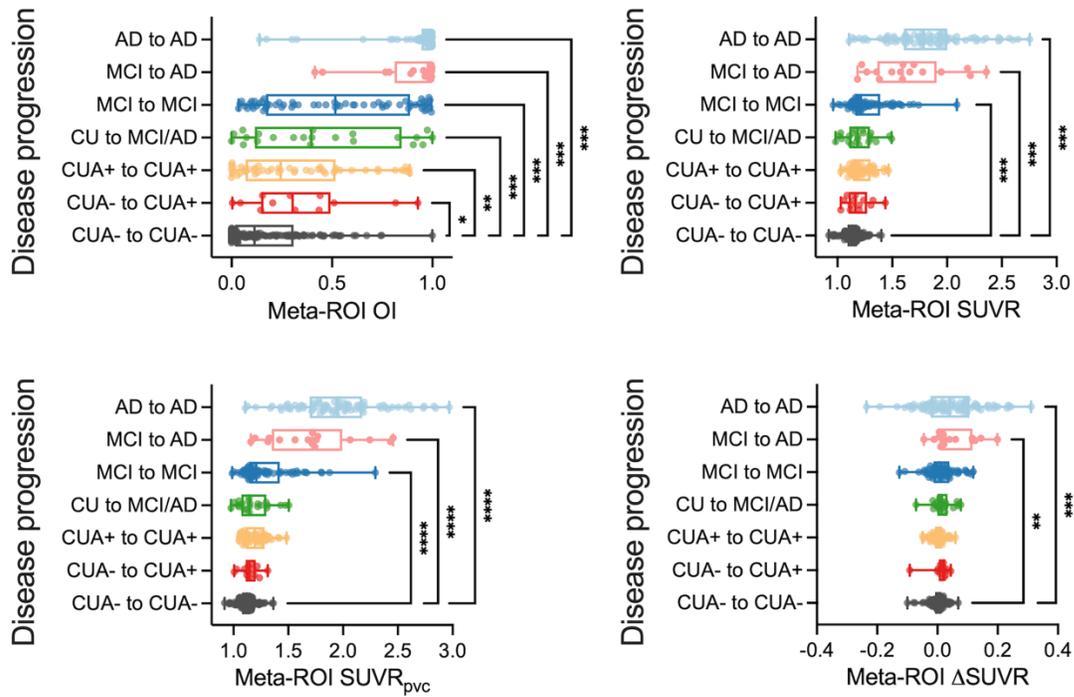
417  
 418 **Figure 3. Relationship between OI and baseline SUVR in single ROI.** Bilateral ROIs  
 419 were included in the calculations. A small panel inside the figure illustrates an enlarged  
 420 view of the lower SUVR range (from 0.9 to 1.5).

421



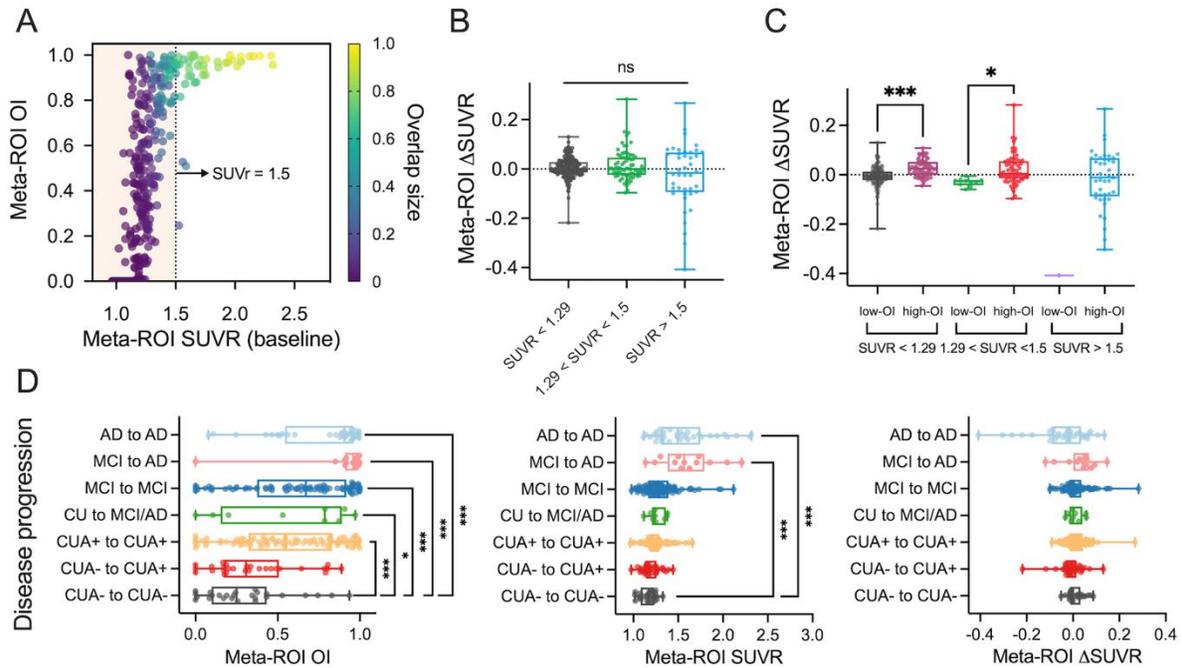
422  
 423 **Figure 4. Relationship between meta-ROI OI and meta-ROI SUVR.** (A) A scatterplot  
 424 (left) of baseline SUVR and OI for meta-ROI. Histograms are displayed along SUVR  
 425 and OI axis, respectively. The low SUVR range (<1.5) was magnified in a separate  
 426 scatterplot (right) with linear regression (solid black line) and 95% confidence band  
 427 (dotted black lines). (B) Scatterplot of meta-ROI OI and  $\Delta$ SUVR with regression. (C)  
 428 Comparison of  $\Delta$ SUVR between SUVR based subgroups. (D) The SUVR based  
 429 subgroups in C were further separated into low-OI and high-OI categories. \* $p < 0.05$ ,  
 430 \*\* $p < 0.05$ , \*\*\* $p < 0.005$ , *post-hoc* Dunn's tests.

431



432 **Figure 5. Association of overlap index with disease progression. (A) Tau-PET**  
 433 variables in different clinical groups. OI, baseline SUVR, baseline SUVR<sub>pvc</sub>, and ΔSUVR  
 434 from meta-ROI of CUA-toCUA- were compared with those of other groups. \*p<0.05,  
 435 \*\*p<0.05, \*\*\*p<0.005, *post-hoc* Dunn's tests.

437



438

439

**Figure 6. Result for ADNI cohort.** (A) Scatterplot of baseline SUVR and OI for meta-

440

ROI. (B) Comparison of  $\Delta$ SUVR between SUVR based subgroups. (C) The SUVR

441

based subgroups in B were further separated into low-OI and high-OI categories. (D)

442

OI, baseline SUVR, and  $\Delta$ SUVR from meta-ROI of CUA-toCUA- were compared with

443

those of other groups. \* $p < 0.05$ , \*\* $p < 0.05$ , \*\*\* $p < 0.005$ , *post hoc* Dunn's tests.

444

445 **References**

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553

## 1 **Supplemental Data**

### 2 **Neuroimaging methods**

3 T1-weighted MRI was acquired using 3T scanners manufactured by General  
4 Electric (GE) and Siemens using a 3D Sagittal Magnetization-Prepared Rapid  
5 Acquisition Gradient Recalled Echo (MPRAGE) sequence (number of scans=544 and  
6 306 for GE and Siemens, respectively). During the analysis, two scans were excluded  
7 because MRI data was unusable due to motion. Tau-PET and amyloid PET scans were  
8 acquired using the PET/CT scanner by GE and Siemens operating in 3D mode (number  
9 of scans=817 and 33, for GE and Siemens, respectively for tau-PET; number of  
10 scans=782 and 31, for GE and Siemens, respectively for amyloid-PET). To harmonize  
11 the inter-scan difference, for PET scanners, different filters were applied to each during  
12 reconstruction in order to harmonize resolution according to the method of Joshi et al  
13 (1). For MRI scanners, we have previously shown that the effects on PET quantification  
14 are negligible (2). A CT scan was obtained for attenuation correction. For tau-PET, an  
15 intravenous bolus injection of ~370 MBq (range 333–407 MBq) F18-flortaucipir was  
16 administered, and PET/CT imaging was performed with a 20-minute PET acquisition of  
17 four 5-min dynamic frames, 80-100 minutes after injection. Amyloid PET imaging was  
18 performed using Pittsburgh compound B (PiB) and consisted of four 5-min dynamic  
19 frames, 40–60 min after injection of 628 MBq (range 385–723 MBq) of 11C-PiB. The  
20 mean and standard deviation of specific activity for the entire period that the images  
21 were acquired was 2.58 ( $\pm 0.32$ ) Ci/ $\mu\text{mol}$  and 3.44 ( $\pm 0.78$ ) Ci/ $\mu\text{mol}$  for PiB and AV1451,  
22 respectively. An iterative reconstruction algorithm was applied. Emission data were  
23 reconstructed into a 256 $\times$ 256 matrix with a 30-cm field of view (in-plane pixel size = 1.0

24 mm). Standard corrections for attenuation, scatter, random coincidences and decay  
25 were applied as well as a 5 mm Gaussian post-reconstruction filter. The images from  
26 the four dynamic frames were averaged to create a single static image.

27

28         The static tau-PET image volumes of each participant were rigidly co-registered  
29 to the corresponding T1-weighted MRI using 6-degree-of-freedom registration  
30 (“spm\_coreg”) in SPM5. The automated anatomic labeling (AAL) atlas (3) was  
31 normalized to the custom template (4) using the unified segmentation method in SPM5  
32 giving a set of labels corresponding to the custom template space. SPM5 unified  
33 segmentation (5) with a custom elderly template generated from 200 AD and 200  
34 controls and tissue priors (4) was used to segment the MRI into GM, WM, CSF, and to  
35 warp the atlas labels from template space to subject space. Within each subject, SPM5  
36 co-registration was performed on the longitudinal series of MRI images to align to the  
37 mean across all images, thus forming a new mean image, and repeated until  
38 convergence (6). SUVR images were normalized to the uptake in the cerebellar crus  
39 (7). For each timepoint, the tau-PET images were resampled into the space of the mean  
40 MPRAGE. The regional SUVRs were calculated by measuring median uptake in each  
41 ROI, excluding any voxels segmented as cerebrospinal fluid. A meta-ROI for tau-PET  
42 included the amygdala, entorhinal cortex (ERC), fusiform, parahippocampal and inferior  
43 temporal and middle temporal gyri (8,9). The tau-PET meta-ROI SUVR was calculated  
44 as an average of the median SUVR in each region. We did not use a voxel-number  
45 weighted average for the meta-ROI SUVR calculation because the weighted average  
46 might penalize small ROI values such as for the entorhinal cortex or amygdala,

47 anatomic regions of known early NFT accumulation. Global cortical amyloid PET SUVR  
48 was computed as a voxel-number weighted average of median SUVR in each meta-ROI  
49 region including the prefrontal, orbitofrontal, parietal, temporal, anterior and posterior  
50 cingulate, and precuneus ROIs (9). The threshold used to define abnormal PiB PET  
51 was SUVR=1.42 (9). All analysis was performed using non-partial volume corrected  
52 (PVC) PET images. For comparison with non-PVC images, tau-PET with PVC was  
53 evaluated. For the PVC, each PET image voxel was divided by the value in the tissue  
54 mask to generate a PVC image (10) and an unsmoothed binary MRI grey matter mask  
55 applied to yield a grey matter sharpened PET image.

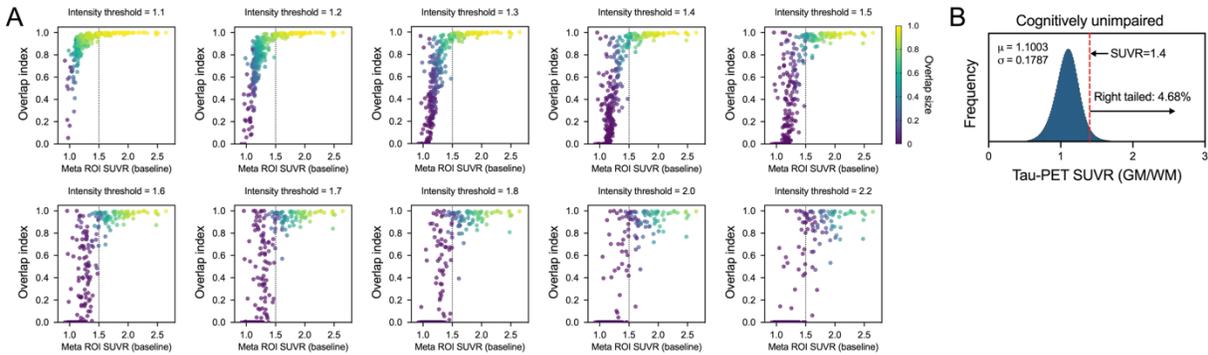
## 56 57 **Statistical tests**

58 The association of regional OI and regional SUVR from the total cohort was  
59 assessed with Pearson's correlation to evaluate the topographical relationship of the  
60 two measurements. An association of OI with SUVR in the lower SUVR range (<1.5)  
61 was tested using linear regression. Meta-ROI  $\Delta$ SUVR for each individual was calculated  
62 by subtracting the baseline SUVR from the follow-up SUVR and dividing by the time  
63 difference in years. To investigate the association of OI with meta-ROI  $\Delta$ SUVR, the total  
64 cohort was separated into three sub-groups (SUVR<1.29, 1.29<SUVR<1.5 and  
65 SUVR>1.5) of baseline meta-ROI SUVR, further separated into low-OI (OI<0.5) and  
66 high-OI (OI>0.5) group based on meta-ROI OI value. The difference of meta-ROI  
67  $\Delta$ SUVR between groups was tested by *post-hoc* Dunn's multiple comparison test after  
68 non-parametric Kruskal-Wallis tests. To address different stages of the typical  
69 Alzheimer's continuum, we separated the CU participants using the amyloid positivity:  
70 CU individuals with normal amyloid PET (CUA-, i.e. not in the Alzheimer's continuum)

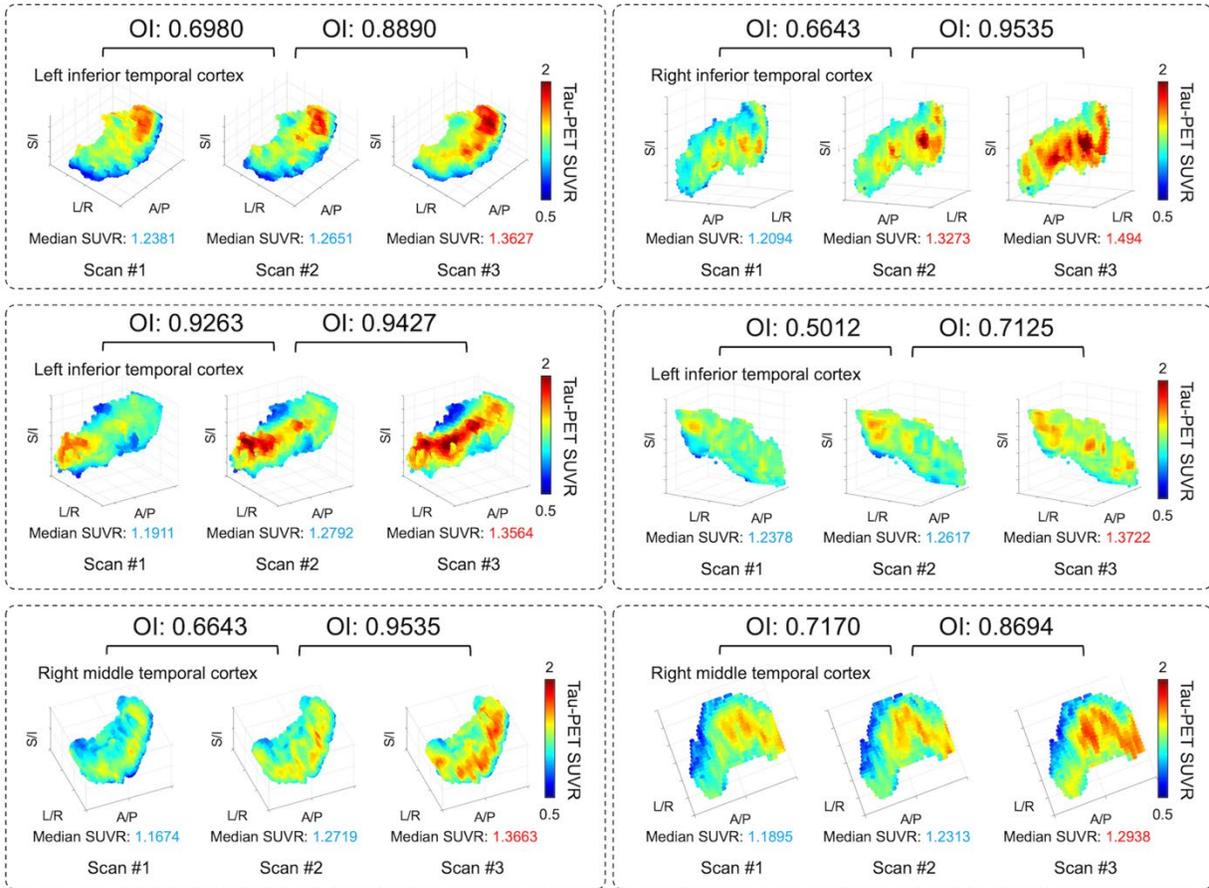
71 and CU individuals with abnormal amyloid PET (CUA+, i.e. early in the Alzheimer's  
72 continuum). Then, the clinical change seen in participants at the time points of the serial  
73 scans were grouped as CUA-toCUA-, CUA-toCUA+, CUA+toCUA+ CUtoMCI/AD,  
74 MCItoMCI, MCItoAD, and ADtoAD. Subjects for which clinical diagnosis was not  
75 available were excluded from the diagnostic group analysis. The associations with  
76 diagnostic change groups were assessed by *post-hoc* Dunn's multiple comparison test  
77 after non-parametric Kruskal-Wallis tests. Analysis was performed using Matlab (version  
78 9.4) and GraphPad Prism (version 9.0.0).

79

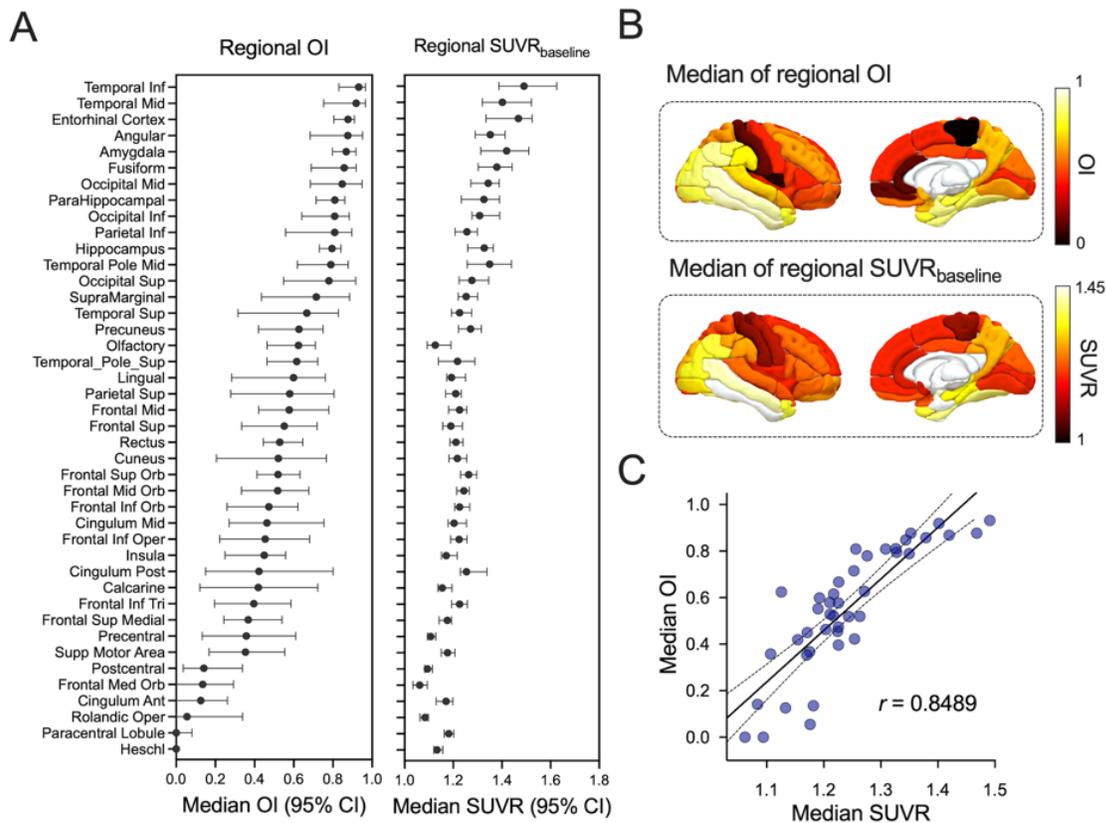
80 **Supplementary Figures**  
81



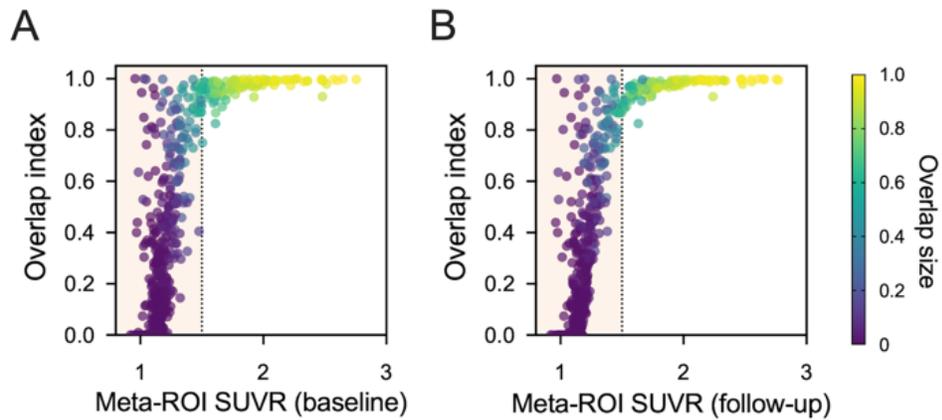
83 **Supplementary Figure1. Intensity threshold comparison.** (A) In order to determine  
84 the intensity threshold, experimental tests were performed for various threshold levels  
85 (from 1.1 to 2.2). We found that OI was easily saturated if the OI threshold was low  
86 because too many voxels were included in the mask. In contrast, if a more stringent  
87 threshold was applied, fewer voxels survived and the OI calculation became unstable.  
88 For these higher intensity thresholds, identifying abnormal regions is not typically a  
89 diagnostic dilemma and standard ROI analysis is sufficient. The threshold level used for  
90 the main analysis (SUVR=1.4) was determined observationally. (B) A histogram of  
91 voxel-wise SUVR values for all the gray and white matter in the brain over a cognitively  
92 unimpaired group was derived. The arbitrarily determined threshold (SUVR=1.4)  
93 corresponds to a right-tailed 4.68% (1.67xSD) meaning that the voxels with SUVR >1.4  
94 are fairly rare in the brain of CU participants, serving as a reasonable threshold for the  
95 purposes of OI calculation.  
96



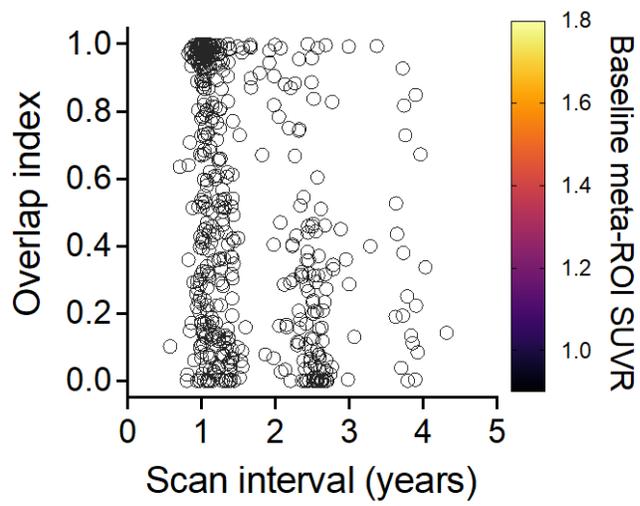
98 **Supplementary Figure 2. Examples of high-OI cases.** Three consecutive 3D scatter  
 99 plots in each dotted box represent tau-PET SUVR of each voxel in each scan from an  
 100 individual subject with high OI (>0.5) and low median SUVR at the first scan (<1.29).  
 101



104 **Supplementary Figure3. Topographical pattern of overlap index.** (A) For each  
 105 specific brain region, the median of regional OI and regional SUVR from CI cohort was  
 106 displayed with 95% confidence intervals. The brain regions were sorted high to low in  
 107 the median of regional OI. Bilateral hemispheres were used together for OI and SUVR  
 108 calculation. (B) Median of regional OI and SUVR illustrated in 3D rendering plot. (C)  
 109 The scatter plot illustrates an association between median SUVR and median OI.  $r$   
 110 indicates the Pearson's correlation coefficient. The black solid line and dotted lines  
 111 represent a regression line and its 95% confidence band, respectively.

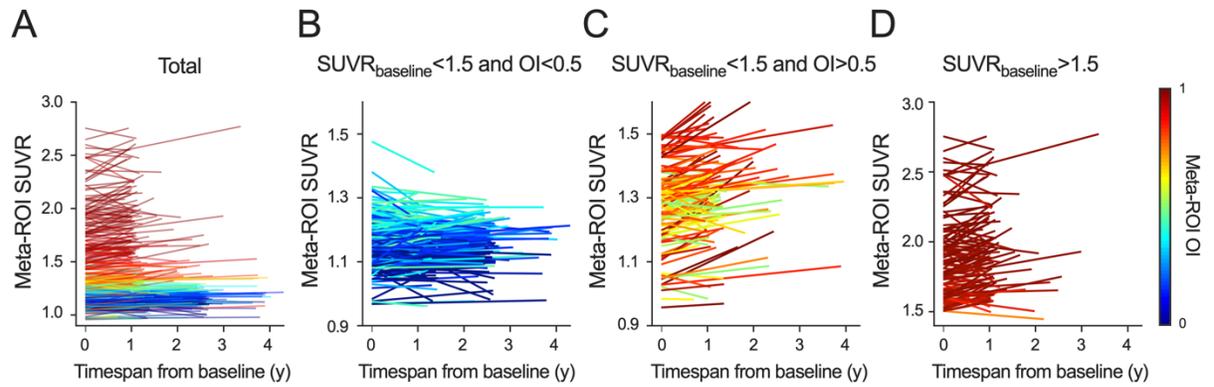


114 **Supplementary Figure 4. Association of OI with baseline and follow-up SUVR.** (A)  
115 The scatterplot illustrates the association between baseline SUVR and OI for meta-ROI.  
116 The dot's color indicates the overlap size. (B) The scatterplot illustrates the association  
117 between follow-up SUVR and OI from meta-ROI. The dot's color indicates the overlap  
118 size.  
119

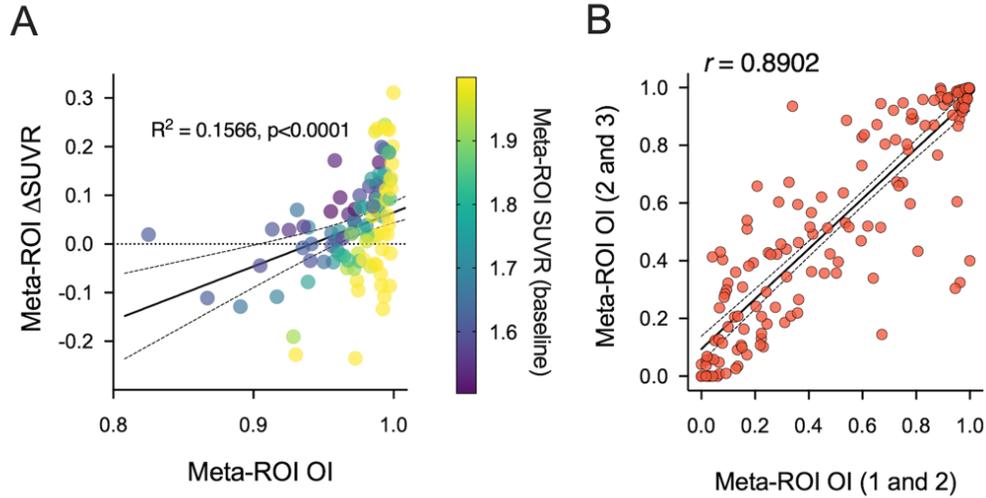


121 **Supplementary Figure 5. Association of OI with inter-scan interval.**

122



124 **Supplementary Figure 6.** (A) Spaghetti plot of SUVR trajectory from baseline to next  
 125 follow-up showing meta-ROI SUVR for all individuals. The line color was coded by each  
 126 individual OI. (B) Spaghetti plot of SUVR trajectory showing meta-ROI SUVR for  
 127  $SUVR < 1.5$  and  $OI < 0.5$ . (C) Spaghetti plot of SUVR trajectory showing meta-ROI SUVR  
 128 for  $SUVR < 1.5$  and  $OI > 0.5$ . (D) Spaghetti plot of SUVR trajectory showing meta-ROI  
 129 SUVR for  $SUVR > 1.5$ .  
 130



133

134 **Supplementary Figure 7.** (A) Association between meta-ROI OI and meta-ROI ΔSUVR

135 where baseline SUVR > 1.5. The black solid line and dotted lines represent a regression

136 line and its 95% confidence band, respectively. (B) Consistency of the OI metric. The

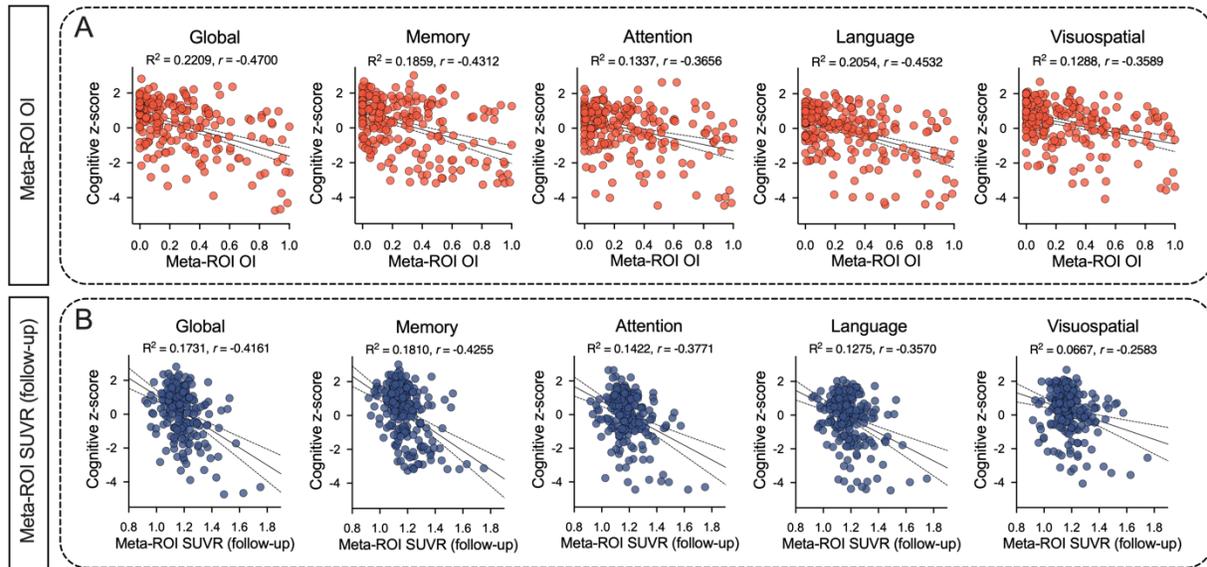
137 meta-ROI OI from the first and second scans and that from the second and third scans

138 in the cohort who had three or more time points were compared.  $r$  indicates the

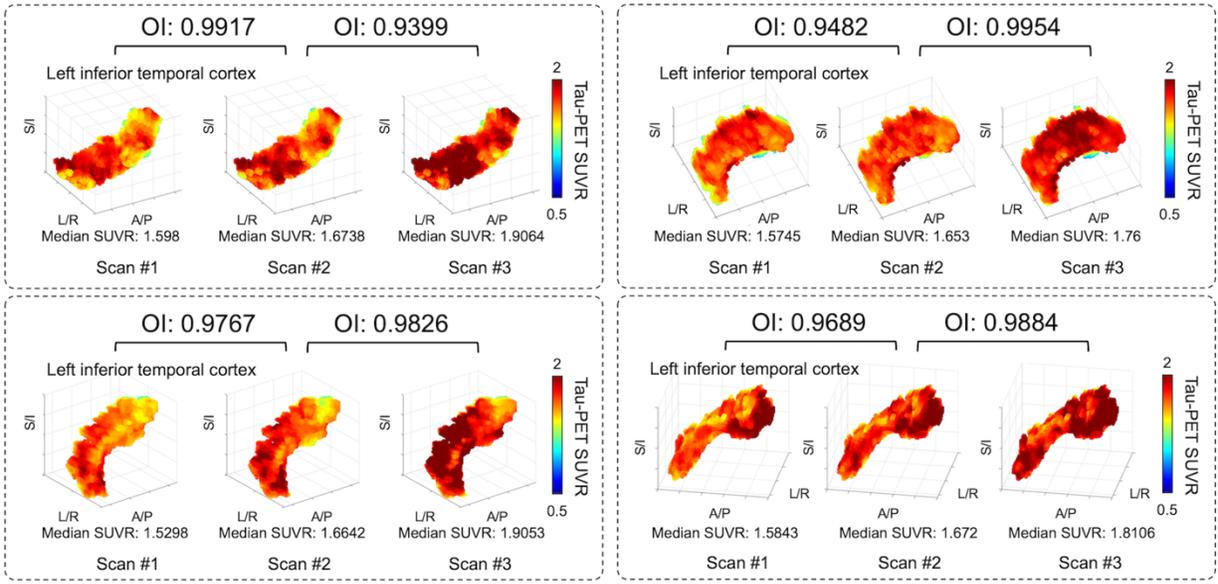
139 Pearson's correlation coefficient.

140

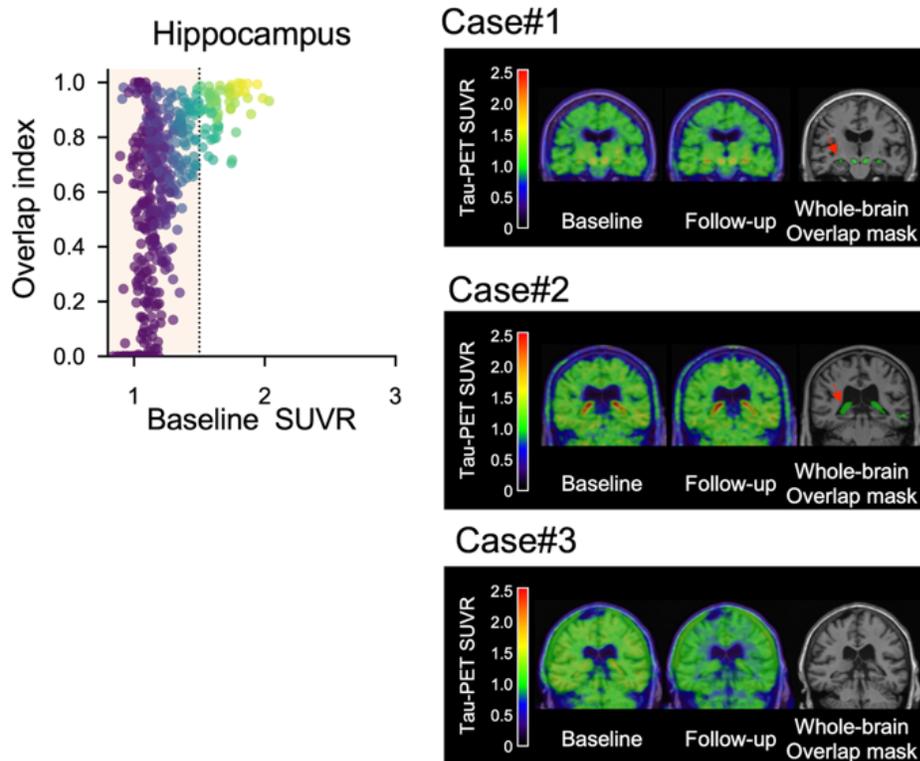
141



144 **Supplementary Figure 8. Association of overlap index with cognitive scores.** Four  
 145 cognitive domains (memory, attention, language and visuospatial) and global scores  
 146 (average of all domains) were tested. Only participants who had cognitive scores were  
 147 included in this analysis (Supplementary Table1). (A) Relationship between meta-ROI  
 148 OI and cognitive scores. The black solid line and dotted lines represent a regression line  
 149 and its 95% confidence interval, respectively.  $r$  shows Pearson's correlation coefficient.  
 150 (B) Relationship between meta-ROI  $\Delta$ SUVr and cognitive scores. The black solid line  
 151 and dotted lines represent a regression line and its 95% confidence interval,  
 152 respectively.  $r$  shows Pearson's correlation coefficient.  
 153



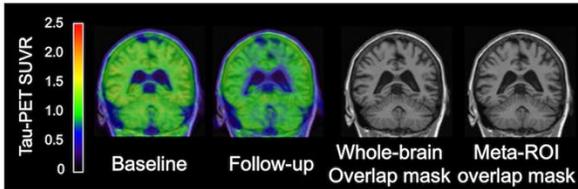
155 **Supplementary Figure 9. Examples of high SUVR cases.** Three consecutive 3D  
 156 scatter plots in each dotted box represent the tau-PET SUVR of each voxel in each  
 157 scan from an individual subject. OI becomes saturated (close to 1) in the high SUVR  
 158 range because serial scans with abundant tau signals tend to be consistent.  
 159



161 **Supplementary Figure 10. Choroid plexus bindings.** High OI was frequently  
 162 observed in the lower baseline SUVR range in hippocampus. The coronal slices show  
 163 the baseline tau-PET, follow-up tau-PET, and their overlap mask between high-intensity  
 164 voxels (SUVR>1.4) for three representative cases. The red arrows indicate the choroid  
 165 plexus overlap between baseline and follow-up scans.  
 166

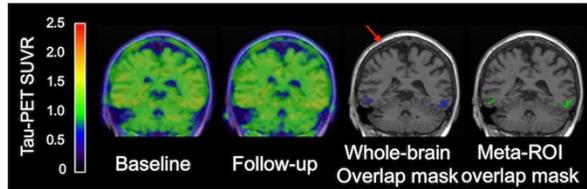
### Case#1

Baseline clinical diagnosis: CU  
Meta-ROI SUVR – baseline: 1.2046, follow-up: 1.0286  
Meta-ROI OI: 0



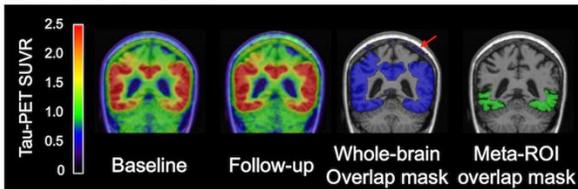
### Case#2

Baseline clinical diagnosis: MCI  
Meta-ROI SUVR – baseline: 1.2847, follow-up: 1.2663  
Meta-ROI OI: 0.5179



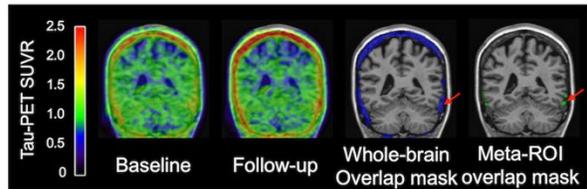
### Case#3

Baseline clinical diagnosis: AD  
Meta-ROI SUVR – baseline: 1.7420, follow-up: 1.8856  
Meta-ROI OI: 0.9843



### Case#4

Baseline clinical diagnosis: FTD  
Meta-ROI SUVR – baseline: 1.0579, follow-up: 1.0208  
Meta-ROI OI: 0.8018



168 **Supplementary Figure 11. Meninges binding.** The coronal slices show the baseline  
169 tau-PET, follow-up tau-PET, overlap mask of whole brain and overlap mask within the  
170 meta-ROI for four representative cases. The red arrows indicate the meninges overlap  
171 between baseline and follow-up scans.  
172

173 **Supplementary Table 1. Participant demographics.**

Baseline Characteristics	Summary
Number of participants (total)	339
Total tau-PET scans, n (%)	
2	189 (55.75)
3	129 (38.05)
>4	21 (6.19)
Time between consecutive scan, years*	
Median (IQR)	1.24 (1.04, 2.32)
Min, max	0.58, 4.32
Age at baseline PET, years	
Median (IQR)	68 (62, 76)
Min, max	33 95
Education, years {1}	
Mean (SD)	15.39 (2.66)
Male sex, n (%)	195 (57.52%)
PiB SUVR at baseline {16}	
Median (IQR)	1.72 (1.34 2.14)
Min, max	1.16 3.38
Diagnosis at baseline, n (%) {1}	
Cognitively Unimpaired	172 (50.74)
Mild Cognitive Impairment	62 (18.29)
Alzheimer's Dementia	47 (13.86)
Lewy Body Dementia	9 (2.65)
REM sleep Behavior Disorder	7 (2.06)
Frontotemporal Dementia	9 (2.65)
Posterior Cortical Atrophy	8 (2.36)
Logopenic Progressive Aphasia	2 (0.59)
Progressive Supranuclear Palsy	1 (0.29)
Progressive Fluent Aphasia/semantic aphasia	4 (1.18)
Progressive associative agnosia/prosopagnosia	1 (0.29)
Unknown	17 (5.01)
APOE ε4 carrier, n (%) {3}	128 (38.10)
Short Test of Mental Status score at baseline, median (IQR) {15}	35 (31 37)
Cognitive z scores at baseline, median (IQR)	
Global {174}	0.6906 (-0.3220 1.1513)
Memory {159}	0.6084 (-0.4529 1.3066)
Attention {165}	0.3680 (-0.4391 0.9368)
Language {159}	0.3230 (-0.4653 0.8395)
Visuospatial {170}	0.5789 (-0.0615 1.2111)

174 \* Based on all scans for all individuals.

175 {} Brackets in the characteristics column indicate the number of participants missing this  
 176 particular variable.

177 **Supplementary Table 2. ADNI participant demographics.**

Baseline Characteristics	Summary
Number of participants (total)	235
Total tau-PET scans, n (%)	
2	158 (67.23)
3	67 (28.51)
>4	10 (4.26)
Time between consecutive scan, years*	
Median (IQR)	1.03 (0.98, 1.25)
Min, max	0.58, 2.92
Age at baseline PET, years	
Median (IQR)	74 (69, 79)
Min, max	56 90
Education, years	
Mean (SD)	16.32 (2.51)
Male sex, n (%)	112 (47.66%)
AV45 SUVR at baseline {75}	
Median (IQR)	1.17 (1.03 1.36)
Min, max	0.81 1.72
Diagnosis at baseline, n (%) {1}	
Cognitively Unimpaired	127 (54.04)
Mild Cognitive Impairment	78 (33.19)
Alzheimer's Dementia	30 (12.77)
APOE ε4 carrier, n (%) {6}	128 (48.47)

178

179 \* Based on all scans for all individuals.

180 {} Brackets in the characteristics column indicate the number of participants missing this

181 particular variable.

**Supplementary Table 3. Image IDs for ADNI cohort.**

MRI ImageID											
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AV1451\_ImageID

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**Supplementary Table 4. Multivariate regression analysis.** Each independent variable was standardized (i.e., centering and scaling) for the analysis.

<b>Variables</b>	<b>Coefficient (95% Confidence interval)</b>	<b>P value</b>
Scan interval	-0.04512 (-0.06856 to -0.02168)	0.0002
Baseline SUVR	0.2506 (0.2271 to 0.2740)	<0.0001
Intercept	0.4881 (0.4657 to 0.5105)	<0.0001

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