

1 **A guideline for clinicians performing clinical studies with fluorescence imaging**

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36 **ABSTRACT**

37 Fluorescence imaging is an emerging imaging technique that has shown many benefits for clinical
38 care. Currently, the field is in rapid clinical translation, and an unprecedented number of clinical
39 trials are performed. Clinicians are inundated with numerous opportunities and combinations of
40 different imaging modalities. To streamline this process, a multidisciplinary approach is needed
41 with drug discovery, software and systems engineering, and translational medicine. Here, we
42 discuss the main constituents of a uniform fluorescence imaging protocol to match the clinical
43 need and ensure consistent study designs and reliable data collection in clinical trials. In an era in
44 which the potential of fluorescence imaging has become evident, consistent conduct of studies,
45 data analysis, and data interpretation are essential for implementation into standard of care.

46

41 Noteworthy

- 42 • A fluorescence imaging protocol results from multiple constituents, such as clinical
43 indication, applied fluorescence imaging camera system, target moiety, signalling
44 compound, standardized image acquisition, data processing and image interpretation.
45 (Page 4)
- 46 • Benchmarking camera systems is required for inter-comparable data since results are
47 greatly affected by characteristics such as camera detection sensitivity, depth sensitivity,
48 field illumination homogeneity, exposure time, resolution and dynamic range. (Page 6)
- 49 • Imaging procedures must be standardized regarding tracer administration, working
50 distance, incident angle and ambient light. (Page 11)
- 51 • Clinical acceptance of fluorescence imaging requires standardized and reproducible
52 clinical data based on an imaging approach that relies on the cornerstones of science;
53 standardization and reproducibility. (Page 12)
- 54 • The discriminatory power of a tracer for a certain indication should be reported using the
55 contrast-to-noise ratio and images should be presented using perceptually uniform
56 scientific-derived colour maps. (Page 13)

57

58 **INTRODUCTION**

59 Wide-field fluorescence imaging (FI) is a rapidly evolving imaging technique. By probing optical
60 contrast, FI visualizes biochemical or (patho-)physiological processes that human vision cannot
61 detect(1). In medicine, and specifically in surgery, the potential of FI has been shown for non-
62 targeted indications such as assessment of tissue perfusion, retinal vasculature and sentinel
63 lymph node mapping(2, 3, 4, 5). Efforts to improve specificity of the signal have led to the
64 development of targeted FI for the detection of (pre)malignant lesions and locoregional
65 metastases (e.g., lymph node or peritoneal metastases), delineation of tumour margins,
66 evaluation or prediction of treatment response and more recently, the visualization of critical
67 anatomical structures, such as nerves(6, 7, 8, 9). Although the field has grown exponentially in FI
68 camera system performance and fluorescent tracers, broad implementation into standard of care
69 has not yet been established(10, 11, 12)

70 Currently, the first phase II and III trials are being reported – overviews of currently ongoing
71 clinical trials have been presented recently (11, 13) and the first Food and Drug Administration
72 breakthrough therapy designation has been assigned for use in breast cancer surgery(14). As
73 such, the number of clinicians having access to FI camera systems (e.g., surgical robot-assisted
74 systems with incorporated FI) is also rapidly increasing. Choosing the appropriate imaging
75 approach for a clinical problem is based on the strengths and weaknesses of the available FI
76 imaging systems and fluorescent tracers. This requires a basic understanding of the underlying
77 physics of FI and the chemistry of the fluorescent tracers used.

78 Swift implementation of FI into standard of care requires a multidisciplinary approach that
79 is especially important when conducting a clinical study with FI. We strongly advise clinicians to
80 partner with FI experts (e.g., engineers, physicists, chemists) in early phases of trial design.
81 Choices of the fluorescent tracer and FI camera system must be made carefully. Perhaps most
82 importantly; it requires the users to be cognizant of both the drug- and device- limitations for clinical
83 use. The protocol should result from multiple constituents, such as clinical indication, applied FI

84 camera system, target moiety, signalling compound, standardized imaging acquisition, data
85 processing and finally, image interpretation. An inadequate imaging approach leads to a flawed
86 clinical trial or individual imaging procedure but, more importantly, comes with unnecessary patient
87 risk and societal burden. These risks include elongated anaesthesia and operation time,
88 unnecessary healthcare costs and the exposure to novel compounds without a fully elucidated
89 pharmacological profile.

90 Clinical FI studies should be based on a scientifically substantiated imaging approach that
91 relies on the cornerstones of science; standardization and reproducibility. This paper aims to
92 provide a guideline for clinicians who want to perform wide-field FI trials that lead to clinical
93 implementation or for translational research and development.

94

95 **DEFINE THE CLINICAL INDICATION AND IDENTIFY THE APPROPRIATE TARGET**

96 The driving motivator for a new trial is a clinician with a clinical challenge and the desire to test a
97 new (optical) imaging approach, potentially leading to the birth of a new relevant application. In
98 contrast to radiographic imaging techniques, FI can be seamlessly integrated into standard of
99 care. It directly relates to the surgeon's vision and uses portable and relatively low-cost
100 instrumentation, non-ionizing radiation and real-time feedback(15). Yet, the clinician needs to think
101 of the clinical value and practical issues. For example, an urgent surgical procedure requires
102 fluorescent tracers that accumulate rapidly at the target site.

103 When such practical issues have been addressed, a more refined imaging approach can
104 be developed (Fig. 1). FI imaging in the visible spectrum (e.g., fluorescein, methylene blue) is
105 often not sufficient due to its low penetration depth resulting from strong photon absorption in this
106 spectrum. Most clinical indications require the assessment of sub-surface structures (i.e., >1 mm)
107 where the absorption and scattering of light are the main limiters of penetration depth. The user
108 should be aware of the tissue of interest's optical properties (i.e., scattering and absorption) and
109 its impact on light propagation(16). Tissue types exhibit specific optical properties; for example,

110 more absorption occurs in a highly vascularized liver than in muscle tissue. Improved penetration
111 depth can be obtained by imaging in the near-infrared (NIR) window (i.e., 750-1700 nm). This
112 spectral region benefits from reduced scattering and lowest absorption by tissue chromophores
113 (e.g., haemoglobin, water). A critical note here is that the signal is heavily surface-weighted due
114 to light attenuation in tissue (i.e., absorption and scattering), and that the spatial resolution
115 decreases with depth due to scattering (Fig. 2) (17).

116 When the user is aware of the tissue of interest's optical properties, the biochemical
117 phenomenon or (patho)physiological process should be concretized. All possible targets, including
118 biomarkers and phenomena/processes, should be examined to determine which is most suitable
119 for localization or evaluation of the target tissue. For example, one can image breast cancer
120 through visualizing nonspecific intra tumoral phenomena (e.g., enhanced permeability and
121 retention effect), a specific cell membrane-bound receptor, or a pathophysiological phenomenon
122 in the tumour microenvironment. Methods for target selection have been reported previously(18,
123 19). Briefly, the potential target should be prevailing in the target tissue compared to directly
124 adjacent tissue, benefitting high binding sensitivity and specificity as well as improving the
125 contrast. Target expression is commonly determined by immunohistochemistry. However, it is
126 increasingly questioned whether this is representative of the complete tumour due to tumour
127 heterogeneity and variations in target expression over time. Data-driven methods based on
128 genomic alterations are studied to identify and prioritize relevant targets for clinical trials(20). In
129 addition, many targets (e.g., cell membrane receptors) are present in a microscopically
130 heterogeneous pattern. For solid tumours that require wide local excision, the latter does not per
131 se impede guiding the surgeon in tumour resection since the margin is of primary interest(21, 22,
132 23). Contrary, in debulking surgery procedures (e.g., glioblastoma surgery) homogenous contrast
133 is of clinical importance since microscopic residues should be identified in order to excise all
134 tumour tissue(24, 25).

135

136 **SELECT THE APPROPRIATE IMAGING MODALITY**

137 When selecting FI camera systems for a clinical trial, the systems' form factor must fit in the
138 expected clinical setting. For instance, tumour visualization in oral cancer can be performed using
139 an open system, but perfusion assessment during minimally invasive surgery requires a
140 laparoscopic system. Next, the user should be aware of its performance characteristics to obtain
141 the desired imaging data, as these parameters greatly affect results(10). There are numerous
142 parameters to consider, but one should focus on those that directly influence imaging data, such
143 as the camera detection sensitivity to the desired tracer, depth sensitivity, field illumination
144 homogeneity, spatial and temporal resolution, and dynamic range. These minimum requirements
145 of these parameters should be finetuned for a specific imaging study, preferably in cooperation
146 with an engineer and a physicist.

147 **The camera detection sensitivity** describes the ability of a FI camera system to detect a certain
148 concentration of a specific contrast (i.e., fluorescent dye and corresponding emission wavelength).
149 This should be determined for every combination of a FI camera system and fluorescent tracer
150 since the systems' foremost influential characteristic is the sensitivity to the fluorescent tracer's
151 emission peak. Commercially available FI camera systems are equipped with very specific narrow
152 band optical filters. A mismatch between the optical filters and the fluorescent tracer results in a
153 low fluorescence intensity and could lead to an erroneous conclusion that a fluorescent tracer
154 (micro)dose does not accumulate in the region of interest since the contrast-to-noise ratio (CNR)
155 is low (Fig. 3, panel B).

156 **Depth sensitivity** is the ability to measure fluorescence signal at a certain depth. This is largely
157 dependent on the type of light (i.e., coherent or non-coherent) and the wavelength-specific
158 penetration depth of the excitation light. Ideally, devices should evolve to account for this
159 automatically, yet, the user should be aware for each clinical application of interest(26). For margin
160 assessment the imaging depth may vary among different tumours, since the definition of an
161 adequate margin is different. Head and neck cancer requires a tumour-free margin of at least 5

162 mm, whereas for breast cancer this is at least 1 mm. Although the penetration depth of light
163 increases with longer wavelengths (i.e., NIR versus visible spectrum), this does automatically
164 translate to increased measurement depth. When deeper tissues are imaged due to increased
165 scattering, the discrimination between target and surrounding tissue is impaired due to decreasing
166 CNR with imaging depth (i.e., low depth sensitivity) (Fig 2).

167 **Field homogeneity** describes how uniform the region of interest is illuminated. Inhomogeneous
168 field illumination can lead to over- or underestimation of the fluorescent signal throughout the field
169 of view. Perfect field homogeneity is rarely achieved in practice, and only a few FI camera systems
170 have implemented algorithms to improve field homogeneity. Most systems, especially endoscopic
171 ones, have highly inhomogeneous light fields that lead to steep intensity fall-off towards the edge
172 of the field. The user should validate the field homogeneity prior to every imaging procedure using
173 a calibration phantom. An inhomogeneous field illumination is not an insurmountable problem, as
174 long as the user is aware and knows how to interpret and correct for it(27).

175 **Resolution** of a FI camera system is characterized by spatial and temporal resolution. The spatial
176 resolution dictates the modalities' ability to differentiate between the smallest fluorescent sources.
177 The spatial resolution should at least be half of the smallest feature that has to be detected, as
178 described by the Nyquist theorem. The temporal resolution dictates the modalities' ability to detect
179 changes in signal over time. This is of importance when a dynamic phenomenon is of interest,
180 such as organ perfusion (e.g., semi-quantitative indocyanine green)(28).

181 **The dynamic range** greatly influences the ability to measure fluorescence signal. The dynamic
182 range (i.e., the detector's quantum efficacy) is the measure for the highest and lowest amount of
183 measurable light for a set exposure time. A camera system with a low dynamic range can either
184 measure very high or very low signals depending on exposure time. However, the camera cannot
185 do so both at the same time. Hence, a camera with a high dynamic range can measure both very
186 bright (i.e., high quantum yield) and very dim (i.e., low quantum yield) fluorescence signals (Fig.
187 3, panel A).

188

189 **BENCHMARKING OF FLUORESCENCE IMAGING CAMERA SYSTEMS**

190 To compare different FI camera systems, universal standards are required for benchmarking their
191 performance, as is common in the other medical imaging modalities(29). As such, solid tissue-
192 mimicking phantoms have been developed to characterize the different FI imaging systems
193 quantitatively. Wells filled with different concentrations of nanoparticles (i.e., quantum-dots) are
194 used to measure i) camera detection sensitivity versus optical properties, ii) depth sensitivity, iii)
195 dynamic range, iv) field homogeneity, and v) spatial resolution(27). We advise that users acquire
196 a FI camera system with high camera detection sensitivity in combination with a high dynamic
197 range. Also, as described above, the camera wavelength specificity and emission light sources
198 should match the excitation and emission spectra of the fluorescent tracer (Fig. 3, panel B)(26,
199 30, 31).

200 Performing phantom measurements before each imaging procedure inform on system
201 stability over time and provides users better insight into the performance capabilities. A
202 standardized image of FI phantom should be taken under strict imaging acquisition parameters
203 (i.e., camera distance, incidence angle, ambient light) and processed according to a strict protocol.
204 (27, 30, 31). Automated log files should be constructed according to a standardized format and
205 recorded for review purposes, safeguarding a quality management system for FI in clinical use.
206 Ideally, these log files are archived with the patient data and imaging results, allowing for
207 calibration in later analysis of batch data, similar to the metadata archived in DICOM images taken
208 with radiologic imaging systems. We propose a quality management system to enable
209 comparative multicentre clinical trials and implementation in general practice, enabling uniformity.

210 Additionally, FI camera systems should have the option to export raw data without
211 interference of (undesired) image post-processing to obtain (semi-)quantitative data rather than
212 qualitative images. However, some commercial intraoperative imaging devices often opt for an

213 underlay for the surgeon's orientation purposes, which impedes the possibility of
214 quantification(10).

215

216 **FLUORESCENCE CONTRAST**

217 Fluorescence contrast can be either endogenous (i.e., autofluorescence of intrinsic tissue
218 compounds) or exogenous (i.e., administered fluorescent tracer)(32). Although the use of
219 endogenous contrast has some advantages, such as inherent non-toxicity and absence of
220 regulatory issues, we focus on the use of exogenous contrast as this has been shown to increase
221 specificity and detection sensitivity(33). The main criteria for selecting a fluorescent tracer include
222 efficient fluorescence light output (i.e., quantum yield), biodistribution and pharmacokinetic
223 characteristics, signal enhancement strategies (i.e., "always-on" versus "activatable" or "smart")
224 and regulatory approval(11). Lastly, the clinician must be aware of regulatory issues that can result
225 in tremendous costs when designing and using new fluorescent tracers, such as intellectual
226 property, animal tox studies, availability of compounds in a good-manufacturing practice facility
227 and regulatory approval(34, 35).

228 Generally, exogenous fluorescent tracers can be divided into targeted and non-targeted
229 tracers. Non-targeted tracers do not bind to biomarkers for disease-specificity but accumulate
230 passively into the tissue through metabolism or nonspecific uptake (e.g., enhanced permeability
231 and retention effect in tumours). A well-known non-targeted fluorescent tracer is indocyanine
232 green, which has Food and Drug Administration approval for tissue perfusion assessment, sentinel
233 lymph node mapping and biliary duct visualization. As fluorescent dyes itself are not tumour-
234 specific, efforts to improve specificity have led to the development of targeted fluorescent tracers
235 that bind to receptors or biomarkers(36). Particularly in interventional oncology (e.g., surgery,
236 gastroenterology), phase I studies have shown its potential for margin assessment and
237 characterization of lesions. Recently, breakthrough therapy designations have been assigned by

238 the Food and Drug Administration (i.e., Pegloprastide, a ratiometric fluorescent probe for breast-
239 conserving surgery)(14).

240 The clinical indication should be leading when deciding between a targeted or non-targeted
241 approach. The targeted approach is generally more complex and thus not always preferred. A
242 non-targeted tracer could suffice for sentinel lymph node mapping as this generates contrast
243 between the lymph nodes and the adjacent tissue. Contrarily, tumour delineation requires a
244 targeted tracer with higher tumour-specificity. Even though targeted tracers are used, one should
245 realize that the signal is not proportional to the concentration of the target, but is confounded by
246 nonspecific sources of contrast. This nonspecific accumulation of fluorescent tracer is intrinsically
247 determined by its receptor affinity but is also affected by physiological phenomena, such as
248 vascularity, vascular permeability, interstitial pressure and lymphatic drainage(37). Paired-imaging
249 methods are currently studied to correct for the nonspecific tracer accumulation by co-
250 administering an untargeted control agent with similar pharmacokinetics(38, 39). A wide range of
251 fluorescent tracers is currently studied in clinical trials, including small molecules, peptides,
252 proteins and nanoparticles, as described elsewhere(36).

253 Current developments to improve fluorescence contrast include the use of “activatable” or
254 “smart” fluorescent tracers that only fluoresce after interaction with or binding to the target(40, 41).
255 Rather than visualizing one fluorescent tracer in a single lesion, multispectral imaging (i.e.,
256 imaging fluorescent probes at different or multiple wavelengths) could simultaneously visualize
257 multiple fluorescent tracers that report on different targets within the same patient. The advantages
258 include the delivery of a more homogeneous signal, increased sensitivity, and the ability to obtain
259 anatomical-molecular information(42). For example, one might strive to both perform molecular
260 imaging of the tumour and identify critical structures (e.g., nerves), both contributing to an optimal
261 surgical outcome, both requiring a specific tracer with different fluorescent excitation and emission
262 wavelengths. Technical challenges include accurately separating signals and correcting for
263 differences in fluorescent dyes (i.e., efficiency of fluorescence signal generation, wavelength-

264 dependent tissue optical properties), as described elsewhere(43). The clinical introduction, safety
265 and applicability of multispectral FI remains to be investigated in clinical trials.

266

267 **IMAGE ACQUISITION: REPRODUCIBILITY AND STANDARDIZATION**

268 Reproducibility and standardization should be central within the two primary components of a FI
269 study protocol; tracer administration and image acquisition. Similar to PET, the tracer
270 administration must be dosed and timed consistently throughout the entire study population(44).
271 The exact dose is commonly determined using dose-escalation schemes, with pharmacokinetics,
272 biodistribution and toxicology studies in animals, healthy volunteers or subjects belonging to the
273 target population. Whether timing between tracer administration and image acquisition is crucial,
274 depends on the biodistribution and pharmacokinetic profile of the tracer. When studying a dynamic
275 perfusion assessment (i.e., semi-quantitative use of indocyanine green) the timing comes down
276 to seconds. In such a setting, the administration can be standardized by using a syringe pump
277 with a pre-programmed infusion rate. On the other hand, many targeted fluorescent tracers need
278 substantial amounts of time (i.e., days) to bind to the target moiety and ensure clearance of
279 unbound tracer from the blood.

280 The detected fluorescence is dependent on different specifications of the FI camera system
281 (e.g., exposure time, gain) in combination with the contrast, as well as variable imaging
282 parameters of the experiment itself (e.g., working distance, incident angle and ambient light).
283 Imaging with varying working distances substantially impacts the data consistency since the
284 intensity measured is distance-dependent (Fig. 3, panel C). Consequently, higher fluorescence
285 intensity is detected when the distance of the tissue of interest to the detector decreases, even
286 when the fluorescent light emitted is the same. The camera should be perpendicular to the tissue
287 to maximize the effective surface area of the detector (Fig. 3, panel D. When all variable imaging
288 parameters are standardized in every FI measurement, the imaging data allows for reproduction

289 and represents the tracer distribution more realistically(26). Ideally, all imaging parameters should
290 also be registered to allow for post hoc correction.

291 Although the impact of ambient light in FI has never been underestimated(45), it is rarely
292 standardized or corrected for. The most common solution is to keep the ambient light to a constant
293 minimum as relatively few systems can deal with high ambient intensity. The choice of lighting in
294 the operating room can be optimized, typically by minimizing NIR light. This is specifically emitted
295 from commonly used tungsten bulbs that could simply be replaced by light-emitting diodes.
296 Needless to say, this only reduces the problem for NIR-based emission probes such as
297 indocyanine green.

298

299 **REPORTING ON FLUORESCENCE IMAGING DATA**

300 Apart from a standardized imaging protocol, standardized data processing, representation and
301 reporting are necessary for the implementation of FI in standard of care. Contrary to some other
302 imaging techniques (e.g., CT), wide-field FI does not provide quantitative data. Even when imaging
303 parameters are standardized, variations in tissue optical properties affect the fluorescence signal.
304 Additionally, the signal is heavily surface-weighted, meaning that anything closer to the surface
305 will generate more fluorescence signal. These factors need to be taken to account when analysing
306 FI data. The most used semi-quantitative unit is mean fluorescence intensity (MFI), defined as the
307 average pixel intensity within a region of interest. Yet, reporting the MFI as an absolute and
308 quantitative measure without a thoroughly standardized protocol can lead to incorrect conclusions.

309 Since FI is a detection or discrimination method, relative measures (i.e. ratios) are more
310 appropriate for FI as these demonstrate the ratio between the target and the background.
311 Commonly used ratios in clinical FI include tumour-to-background ratio, signal-to-background ratio
312 and CNR(46). We advocate the use of CNR, defined as the target's MFI subtracted by the
313 background's MFI, divided by the standard deviation of the background. Using a CNR is
314 favourable since this is more informative on the detectability of the contrast (i.e. target) of

315 interest(47). A high CNR indicates good discrimination between the target and background tissue.
316 Still, the CNR is influenced by the FI camera systems dynamic range and quantum efficiency. For
317 example, using a fluorescent tracer with a relatively high quantum yield together with two different
318 FI camera systems with a low- and high dynamic range may result in two very different CNRs. In
319 other words, a FI camera system with a low dynamic range may underestimate the CNR as the
320 signal of the tumour is limited (Fig. 3, panel A). Also, despite the seemingly straightforward
321 definition, these quantities are prone to bias due to the strong dependency on the definition of the
322 surrounding tissue. Ideally, the target and the background are based on the gold standard (i.e.,
323 histopathology). The appropriate background must be adjacent tissue as it mimics the clinical
324 scenario.

325 Clinical use of FI relies on the interpretation of data that is typically shown as an image or
326 video, even though the ratios are most important in clinical trials. Fluorescence images should be
327 uniformly reported across the field to avoid difference in image interpretation. This list includes the
328 choice of colour map, functions for the lookup table and image compression. Perceptually uniform
329 scientific-derived colour maps represent actual data variations, reduce complexity, and are
330 accessible for colour-deficient people(48). Yet, even when data is uniformly reported, the
331 interpretation of FI signal without correction for tissue optical properties may lead to inaccurate
332 conclusions. This may, for example, lead to erroneous tumour delineation due to scattering in
333 margin assessment when interpreted by different clinicians Lastly, as mentioned earlier, the used
334 FI camera system settings must be described in detail. Reporting these settings is essential for
335 the reproducibility of study results as the FI camera system settings severely influence the
336 obtained FI data.

337

338 **CONCLUSION**

339 The rapidly increasing interest in FI has led to serious improvements in FI camera systems and
340 fluorescent tracers available. Although FI has shown enormous potential for a variety of

341 indications, the field has not yet established clinical implementation. Here, we have provided a
342 guideline for clinicians to perform FI clinical trials (Fig. 1). The same conceptual thinking applies
343 to other optical imaging modalities, such as laser speckle contrast imaging or spectroscopy-based
344 techniques. Similar to the classical medical imaging field, the FI field should focus on training
345 clinicians and supportive staff in a multidisciplinary way to better understand the underlying
346 physics and chemistry. Still, we advise clinicians to collaborate with researchers that have
347 experience with FI camera systems and fluorescent tracers in order to correctly acquire, analyse
348 and interpret the imaging data in an accurate and reproducible manner. To establish the clinical
349 implementation of FI, phase II and III trials need to commence based on a consistent study design,
350 imaging protocol and data analysis. By emphasizing standardization and reproducibility, the full
351 potential of FI can be realized, and its clinical value can be proven.

352

353 **Author contributions**

354 WH, JV, SK and GMvD designed the manuscript. WH and JV identified literature, drafted the
355 manuscript and conceptualized the figures. RAJOD, BWP and VN critically revised the manuscript.

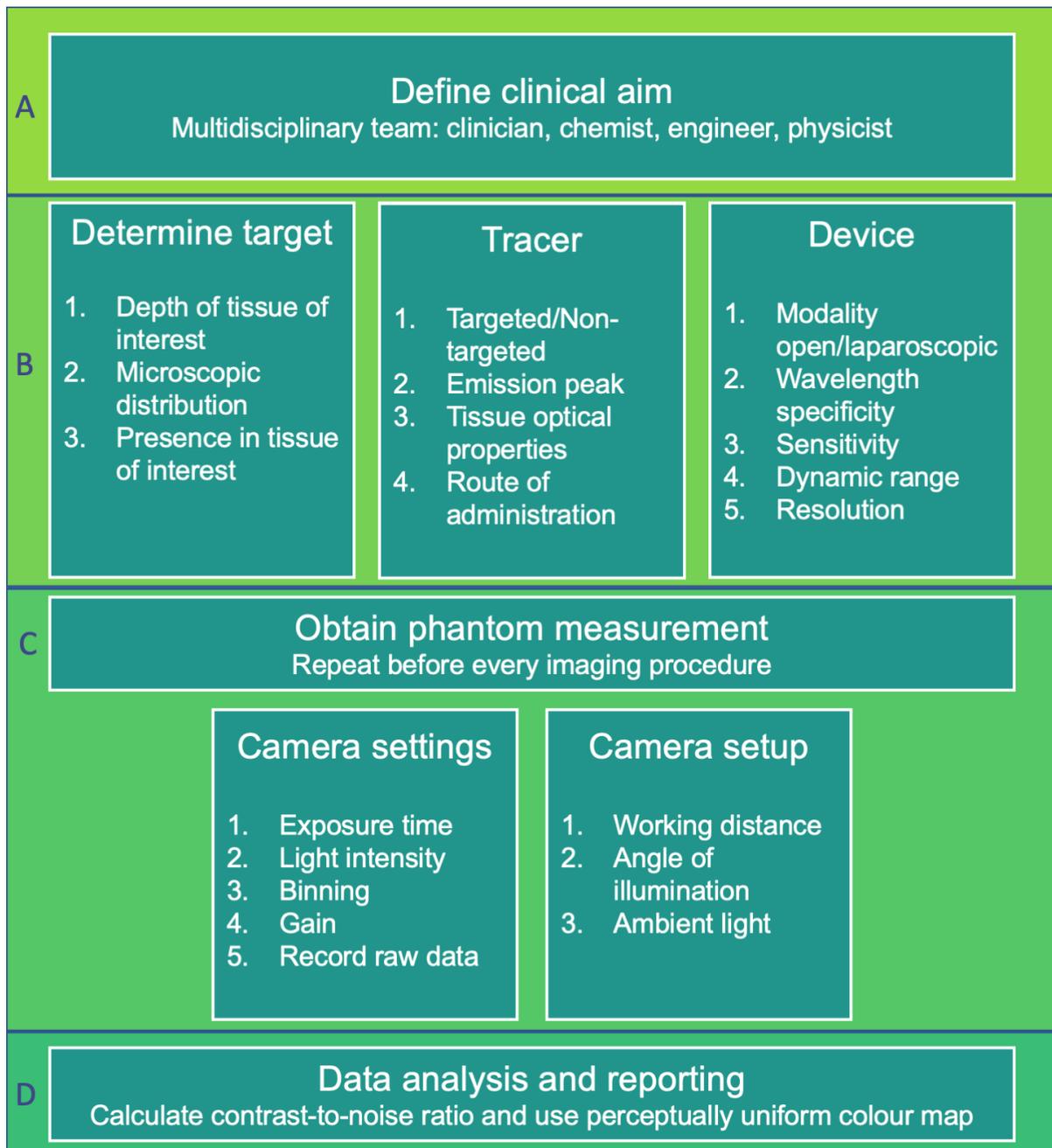
356

357 **Disclosure**

358 VN is an equity owner and consultant of iThera Medical GmbH, an owner of Spear UG and a
359 member of the Scientific Advisory Board of SurgVision B.V. / Bracco Sp.A. GMvD is CEO, founder
360 and shareholder of TRACER Europe B.V. / AxelaRx. The other authors declare no conflict of
361 interest regarding this work.

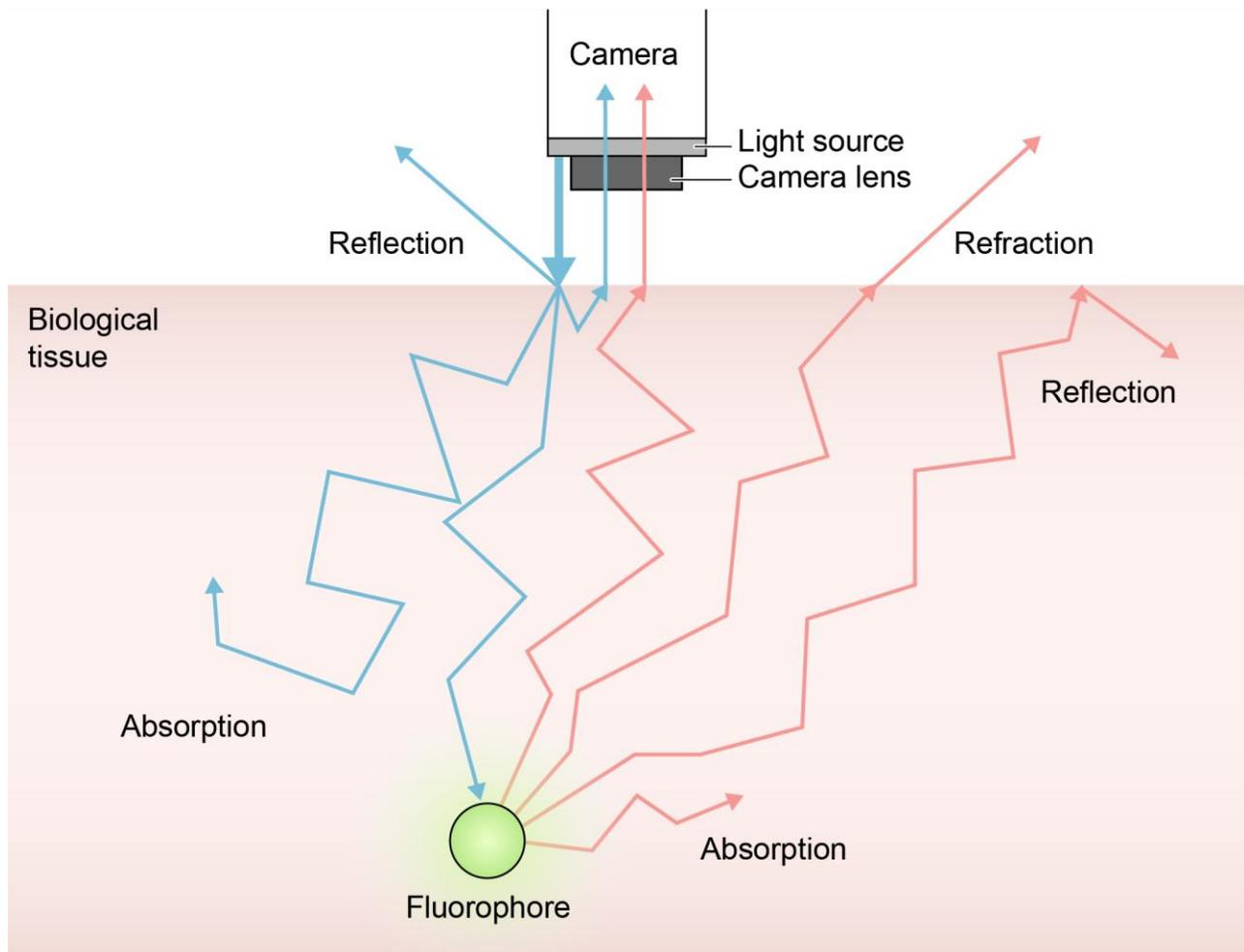
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365
366 **Figure 1: Checklist for performing *in vivo* fluorescence imaging studies.**
367 A step-by-step approach to ensure a standardized and reproducible FI clinical trial, including trial
368 design, imaging acquisition, data analysis and reporting results. First, the clinician involved should
369 define a clear and specific clinical aim in close cooperation with a chemist, engineer and physicist.
370 The team then defines a biological target with the microscopic distribution and required penetration

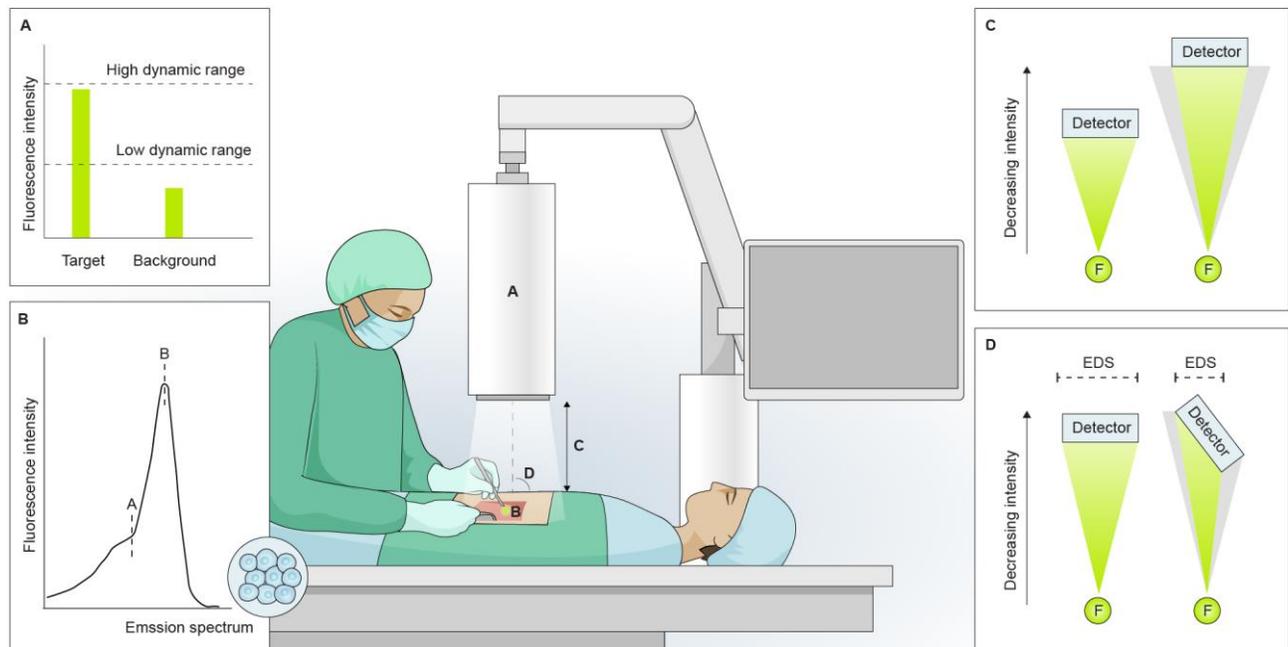
371 depth in mind. The tracer must match the target and should be selected based on the
372 targeted/non-targeted approach, the tracers' emission peak, the tissue optical properties and the
373 administration route. Simultaneously, the device emission and excitation filters must match the
374 tracers' wavelength. Also, the form factor should be determined along with the desired resolution,
375 sensitivity to light and dynamic range. Prior to every imaging procedure, phantom measurements
376 should be obtained to evaluate performance characteristics over time. The user should set the
377 camera settings such as exposure time, binning, gain, emission light intensity, and the data should
378 be recorded without any pre-processing. Moreover, the camera setup should be identical in every
379 procedure, with respect to the working distance, angle of illumination and ambient light levels, to
380 compare results across patients. After data analysis, the performance of fluorescent tracer and
381 imaging device combination should be reviewed based on the contrast-to-noise ratio. Images
382 should be processed using perceptually uniform colour maps.



384 **Figure 2: Basic principles of fluorescence and tissue optical properties.** Fluorescent contrast
 385 generation starts with illuminating tissue at the appropriate wavelength for excitation of the
 386 fluorophore (i.e., endogenous or exogenous contrast). The fluorophore is excited from a ground
 387 state to an excited state by short-lived light absorption. Immediately after excitation, the
 388 fluorophore relaxes to a lower energy state and emits light of lower energy and longer wavelength
 389 than the excitation light. The emitted light propagates out of the tissue and is detected by the
 390 fluorescence detector that converts the recorded light into an image demonstrating the number of
 391 photons detected. Light propagation and imaging depth are limited by the tissue optical properties.
 392 Absorption causes light energy to be transferred to the tissue, decreasing the light intensity.
 393 Scattering is a process of short-lived absorption of a photon (typically) without energy loss, but

394 with a change of initial direction. Also, scattering decreases the ability to distinguish details. If there
395 is no correction for tissue optical properties, the signal registered is rather qualitative than
396 quantitative.

397



398

399 **Figure 3: Potential pitfalls in fluorescence imaging studies.**

400 **A.** The contrast-to-noise ratio is strongly dependent on the dynamic range of the fluorescence
 401 imaging camera system concerning the fluorescent tracer. When imaging tissue using a
 402 fluorescent tracer with a high quantum yield, the system with the high dynamic range would result
 403 in a higher contrast-to-noise ratio compared to the low dynamic range system. **B.** The fluorescence
 404 intensity detected by the fluorescence imaging camera system is dependent on the match
 405 between the systems' optical filter and the emission peak of the fluorescent tracer used. A
 406 mismatch between the emission peak and optical filter will result in suboptimal fluorescence
 407 intensity detected (wavelength A) compared to the most optimal (wavelength B). **C.** The
 408 fluorescence intensity exponentially decreases with increased working distance due to the
 409 diverging nature of light. **D.** When the detector is not placed perpendicular to the tissue of interest,
 410 the effective detection surface (EDS) that can detect emitted photons is smaller. As such,
 411 fluorescence intensity is falsely reduced, possibly leading to erroneous conclusions.

412 Abbreviations: EDS, effective detection surface.

413

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