

Tumor-to-Blood Ratio for Assessment of Somatostatin Receptor Density in Neuroendocrine Tumors Using ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE

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PET/CT with ^{68}Ga -DOTA-somatostatin analogs has been tested for therapy monitoring in patients with neuroendocrine tumors (NETs). However, SUVs in tumors do not correlate with the net influx rate (K_i), as a representation of the somatostatin receptor expression. In this study, tumor-to-blood ratio (TBR) was evaluated as an alternative tool for semiquantitative assessment of ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE tumor uptake and as a therapy monitoring tool for patients with NETs. **Methods:** Twenty-two NET patients underwent a 45-min dynamic PET/CT scan after injection of ^{68}Ga -DOTATOC or ^{68}Ga -DOTATATE. K_i was determined using the Patlak method, and TBR was calculated for the 40- to 45-min interval. **Results:** A linear relation was found between K_i and TBR, with a square of Pearson correlation of 0.98 and 0.93 for ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE, respectively. **Conclusion:** A high correlation was found between K_i and TBR. Hence, TBR reflects somatostatin receptor density more accurately than SUV and is suggested as the preferred metric for semiquantitative assessment of ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE tumor uptake.

Key Words: ^{68}Ga -DOTATOC; ^{68}Ga -DOTATATE; neuroendocrine tumors, tumor-to-blood ratio; SUV; net influx rate

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Neuroendocrine tumors (NETs) are rare neoplasms that arise from endocrine cells distributed throughout the body and have diverse biologic and clinical characteristics (1). The feature of high cellular expression of somatostatin receptors (SSTRs) in NETs enables the use of radiolabeled somatostatin analogs for imaging and therapy. During the past decade, PET using ^{68}Ga -labeled somatostatin analogs, such as ^{68}Ga -DOTATOC, ^{68}Ga -DOTANOC, and ^{68}Ga -DOTATATE, has gradually replaced SSTR scintigraphy with ^{111}In -DTPA-octreotide (OctreoScan; Mallinckrodt) (2,3) and become the standard method for SSTR imaging of NETs (4).

PET/CT with ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE has also been suggested as a tool for evaluation of therapy response in

patients with NETs (5–8). For metabolic tracers such as ^{18}F -FDG, it can be assumed that the tracer's distribution volume is the whole body since glucose is consumed by all tissues, which means that the SUV can be used as a reasonable measure of metabolism. A challenge with PET/CT using receptor ligands, such as ^{68}Ga -DOTATATE and ^{68}Ga -DOTATOC, is that the distribution volume instead is confined to those tissues that are, in fact, taking up the tracer, which may affect the SUV quantification. In one study (5), it was found that the changes in tumor SUV between baseline and follow-up ^{68}Ga -DOTATOC PET/CT did not correlate with the therapy outcome of peptide receptor radionuclide therapy. The same finding was also reported for another study (6), although changes in the tumor-to-spleen SUV ratio between baseline and follow-up ^{68}Ga -DOTATOC were shown to be more accurate than changes in tumor SUV_{max} to evaluate the response to peptide receptor radionuclide therapy. The difficulties of applying static tumor uptake measurements in these 2 therapy monitoring studies may at least partly be explained by the results in a study (7) on tracer kinetics of ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE. In that work, net influx rate (K_i), assumed to more accurately reflect SSTR density than SUV, was estimated on the basis of dynamic PET imaging, and it was found that SUV saturated ($\text{SUV} > 20$ –25) at a static value for high K_i values ($K_i > 0.2$). Hence, SUV does not appear to reflect SSTR density for tumors with high SSTR expression. The hypothesis of the present work is that saturation in SUV for high K_i values may be explained by low availability of ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE in the blood at some time after administration due to the substantial amounts of SSTR in these patients. Hence, the tumor-to-blood ratio (TBR) may be a better metric than SUV to quantify changes in SSTR expression to assess NET therapy response. The aim of this study was to evaluate the correlation between K_i and TBR for patients undergoing PET/CT with ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE.

MATERIALS AND METHODS

Patients

The data in this work were collected from 3 different studies that were approved by the Regional Ethics Review Board in Uppsala. All patients gave written informed consent before inclusion in each study.

The study included 22 patients (11 men and 11 women; mean age, 63 y; range, 47–75 y) with histologically confirmed disseminated NETs (10 small-intestinal, 6 pancreatic, 2 rectal, 1 duodenal, 1 lung, and 2 pancreatic neuroendocrine cancers; 4 grade 1 [K_i -67 \leq 2%], 15 grade 2 [K_i -67 = 3–20%], 2 grade 3 [K_i -67 \geq 20%], and 1 without biopsy). The clinical patient data are presented in Table 1. Some patients were examined with both ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE

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TABLE 1
Demographics and Clinical Characteristics of Study Patients

Sex	Age (y)	NET type	Tracer	Peptide (µg)	Ki-67 index	Previous surgery	History and previous therapy	Metastases	Ongoing therapy
F	67	pNET/NEC (glucagonoma)	TOC/TATE	23/23	3%	—	SSA, streptozotocin-fluorouracil, PRRT, transformation to NEC and carboplatine-etoposide	Liver	—
F	63	SI NET	TOC/TATE	17/29	1%	Primary tumor	Liver trp1 1999 because of cyst disease	Liver, mesenteric lgl	—
M	67	SI NET	TOC/TATE	18/30	1%	Primary tumor	—	Liver, mesenteric lgl	SSA
M	50	SI NET	TOC/TATE	20/33	18%	Primary tumor mesenteric lgl	—	Liver, mesenteric lgl, retroperitoneal lgl	SSA
M	64	pNEC	TOC/TATE	26/25	30%	—	Avastin, temozolomide	Liver	—
F	73	pNET	TOC/TATE	22/22	3%	—	Streptozotocin-fluorouracil	Liver, abdominal lgl	SSA
M	57	SI NET	TOC	25	3%	—	SSA	Abdominal lgl, mesenteric lgl	SSA
M	53	pNET (malignant insulinoma)	TOC	18	3%	Primary tumor	Streptozotocin-fluorouracil, Sirtex	Liver, mesenteric lgl	Everolimus
F	72	pNET (MEN-1, gastrin-producing)	TOC	15	No biopsy	—	—	Retroperitoneal lgl	—
M	51	pNET	TOC	22	3%	Primary tumor	—	Retroperitoneal lgl	—
M	74	SI NET	TOC	23	1%	—	—	Mesenteric lgl	—
F	67	pNET	TOC	25	2%	—	Streptozotocin-fluorouracil	Liver	—
M	50	SI NET	TOC	47	4%	—	—	Liver, mesenteric lgl, peritoneal carcinomatosis	SSA
F*	52	SI NET	TOC	25	5%	—	—	Liver, mesenteric thoracic neck lgl, bone, breast, ovary	SSA
F	69	SI NET	TOC	27	9%	—	—	Liver, bone	SSA
F	47	SI NET	TOC	41	9%	—	—	Liver, mesenteric lgl, abdominal and retroperitoneal lgl	SSA
M	72	Rectal NET	TATE	13	30%	—	—	Liver, pararectal lgl	—
F	69	SI NET	TATE	22	12%	—	—	Liver, peritoneal carcinomatosis	SSA
M	67	pNET	TATE	8	17%	—	—	Liver, abdominal lgl, bone	SSA
M	75	Rectal NET	TATE	14	10%	—	—	Liver, abdominal lgl, peritoneal carcinomatosis	—
F*	53	SI NET	TATE	16	5%	Primary tumor	—	Liver, abdominal lgl, bone, breast, lung	SSA
F	58	Duodenal NET (gastrinoma)	TATE	22	3%	Primary tumor liver resection, RF	—	Liver	SSA
F	75	Atypical lung NET	TATE	39	6%	—	—	Liver	SSA

*Same patient.

pNET = pancreatic NET; NEC = neuroendocrine carcinoma; TOC = ⁶⁸Ga-DOTATOC; TATE = ⁶⁸Ga-DOTATATE; SSA = long acting somatostatin analog; PRRT = peptide receptor radionuclide therapy; SI = small intestine; trp1 = transplantation; lgl = single lymph node; lgl = multiple lymph nodes; Sirtex = transarterial liver embolization with ⁹⁰Y-spheres; MEN-1 = multiple endocrine neoplasia type 1; RF = radiofrequency ablation.

TABLE 2
Reconstruction Settings for the 3 Different Scanners

Reconstruction setting	Discovery ST	Discovery IQ	Discovery MI
Reconstruction algorithm	OSEM	OSEM with PSF modeling	ToF OSEM with PSF modeling
Iterations/subsets	2/28	4/12	3/16
Postprocessing filter (mm)	5	4	5
Matrix size	128 × 128	256 × 256	256 × 256
Pixel size (mm)	3.91 × 3.91 × 3.27	1.95 × 1.95 × 3.26	1.95 × 1.95 × 2.79

OSEM = ordered-subset expectation maximization; PSF = point-spread function; ToF = time of flight.

on consecutive days ($n = 6$), whereas the remainder were examined with either ^{68}Ga -DOTATOC or ^{68}Ga -DOTATATE. One patient was examined with both ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE with 1 y apart. Sixteen patients underwent a ^{68}Ga -DOTATOC PET/CT examination after a bolus injection of 131 ± 47 MBq, 25 ± 8 μg (range, 62–198 MBq, 15–47 μg), and 13 patients underwent a ^{68}Ga -DOTATATE PET/CT examination after a bolus injection of 107 ± 31 MBq, 23 ± 9 μg (range, 76–197 MBq, 8–39 μg).

Image Acquisition and Reconstruction

The patients were examined on a Discovery ST, Discovery IQ or Discovery MI PET/CT scanner (GE Healthcare). They underwent a low-dose CT scan (140 kV; auto mA, 20–80 mA) followed by a 45-min dynamic PET examination of the abdomen. The dynamic PET examination started simultaneously with the intravenous injection of ^{68}Ga -DOTATOC or ^{68}Ga -DOTATATE and consisted of 22 time frames of increasing duration (6×10 s, 3×20 s, 3×60 s, 5×180 s, and 5×300 s). All appropriate corrections were applied to the PET data, and the reconstruction settings are specified in Table 2.

Image-Derived Input Functions

The total radioactivity concentration in the arterial plasma was used as the input function. Volumes of interest were drawn using a 70% isocontour over the descending thoracic aorta in 10 consecutive image planes in the time frame in which the first passage of the bolus was best visualized (frames 1–10) and then projected onto all time frames in the dynamic examination, generating an arterial time–activity concentration curve (NEDPAS software; VU University Medical Centre, Amsterdam (9)). The image-derived input functions were calculated by multiplying the arterial time–activity concentration curve with a fixed plasma–to–whole-blood ratio of 1.6 based on data from previous work (7) and plasma–to–whole-blood ratio (unpublished data) (mean, 1.6 for both tracers; range, 1.45–1.73). Blood SUV at 40–45 min was determined using the isocontour volume of interest (70%) in the descending aorta at the last frame of the dynamic scan.

Kinetic Analysis

Tumors with a diameter larger than 1 cm and with high tracer uptake (determined visually) were included for evaluation. Isocontour tumor volumes of interest (50%) were drawn in the 20- to 45-min (frames 18–22) summation image of the dynamic examination and were projected onto all time frames to generate tumor time–activity concentration curves. K_i was determined using the Patlak method (10) as previously described (11). SUV and TBR were computed for the last frame of the dynamic scan (i.e., 40–45 min after injection).

Statistical Analysis

The difference in blood SUV between high (>0.2) and low (<0.2) K_i values was determined using a Mann–Whitney test, with the

significance level set to a P value of less than 0.05 (Prism, version 6.07; GraphPad Software, Inc.). In this test, only 1 tumor per patient was included (the tumor with the highest K_i) because some patients had several tumors whereas others had only one, and inclusion of all tumors would bias the results toward patients with more tumors.

The relation between K_i and TBR was evaluated using linear regression and Pearson correlation and compared with the relation between K_i and SUV. In this test, all tumors were included.

K_i , $\text{SUV}_{\text{tumor}}$ and TBR were also compared between ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE using Deming regression, Pearson correlation, and Wilcoxon matched-pairs tests (significance level set to $P < 0.05$).

RESULTS

In total, 71 tumors were included in the study: 38 for ^{68}Ga -DOTATOC (6 patients with 1 tumor, 3 with 2 tumors, 3 with 3 tumors,

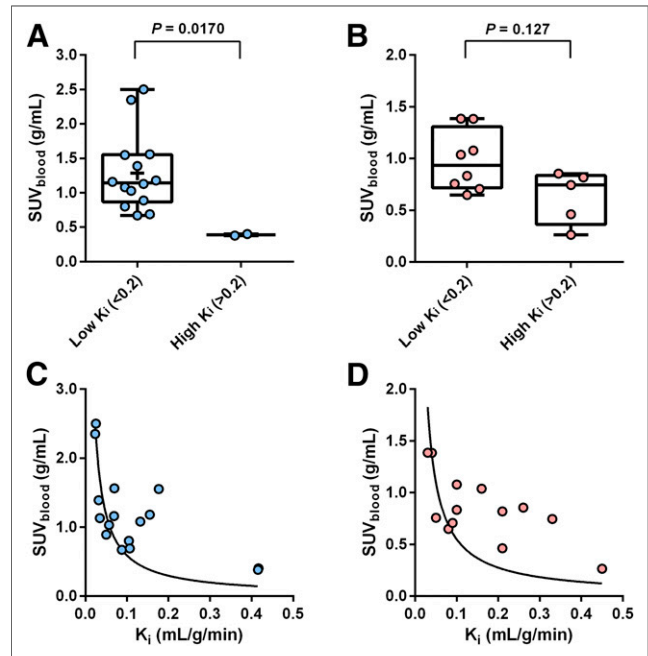


FIGURE 1. (A and B) Box plots of SUV in blood at 40–45 min after injection for ^{68}Ga -DOTATOC (A) and ^{68}Ga -DOTATATE (B) for high and low K_i values. One tumor per patient is included in plots. Boxes are median and interquartile range, and whiskers are full range of data. Significant differences ($P < 0.05$) were found in $\text{SUV}_{\text{blood}}$ between high and low K_i for ^{68}Ga -DOTATOC, however, not for ^{68}Ga -DOTATATE ($P > 0.05$). (C and D) Relation between K_i and SUV in blood for ^{68}Ga -DOTATOC (C) and ^{68}Ga -DOTATATE (D). Solid line represents exponential fit ($y = a/x$) for visual illustration.

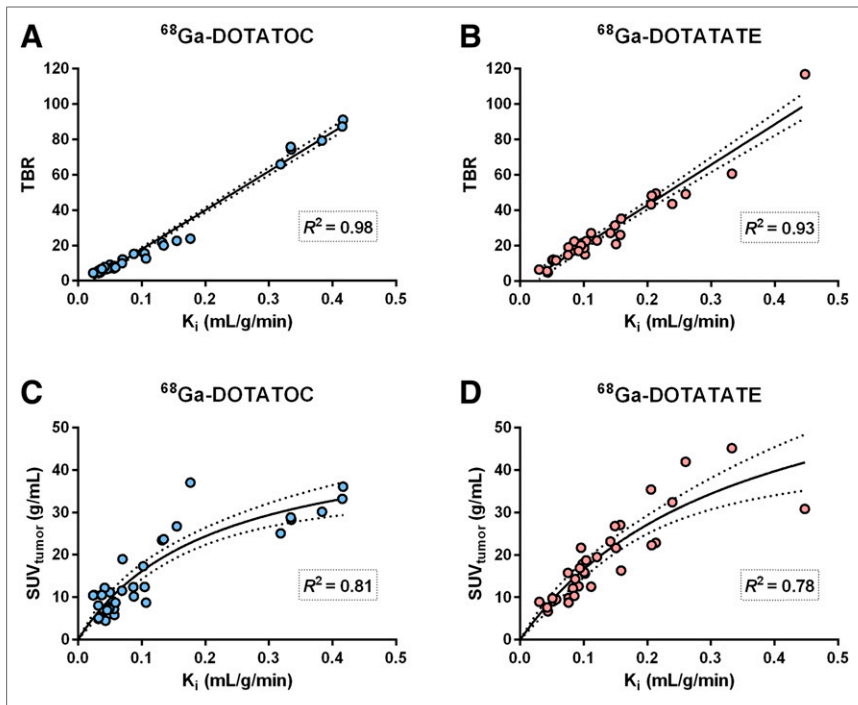


FIGURE 2. Correlation between K_i and TBR for ^{68}Ga -DOTATOC (A) and ^{68}Ga -DOTATATE (B) and between K_i and SUV in tumors for ^{68}Ga -DOTATOC (C) and ^{68}Ga -DOTATATE (D). Solid lines represent linear regression fits (A and B) and fits to hyperbolic line (C and D), and dashed lines are 95% confidence band of these fits.

3 with 4 tumors, and 1 with 5 tumors) and 33 for ^{68}Ga -DOTATATE (4 patients with 1 tumor, 4 with 2 tumors, 2 with 3 tumors, 1 with 4 tumors, 1 with 5 tumors, and 1 with 6 tumors). For ^{68}Ga -DOTATOC, SUV in aortal blood at 45 min after injection was significantly lower in patients with high K_i values than in those with low K_i values ($P = 0.017$, Mann-Whitney test; Fig. 1A). The difference was smaller for ^{68}Ga -DOTATATE ($P = 0.127$, Mann-Whitney test; Fig. 1B). The relation between SUV in blood and K_i is presented in Figures 1C and 1D for ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE, respectively.

A linear relation was found between K_i and TBR (all tumors included), with a square of Pearson correlation of 0.98 and 0.93 for ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE, respectively (Fig. 2). K_i was

compared with SUV for the same tumors, and the relation is illustrated in Figures 2C and 2D. The square of Pearson correlation between K_i and tumor SUV using a hyperbolic fit was 0.81 and 0.78 for ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE, respectively. Tumor SUV , blood SUV , TBR, and K_i for each patient are also presented in Supplemental Tables 1 and 2 for both tracers (supplemental materials are available at <http://jnm.snmjournals.org>).

Early prediction of treatment response is essential to guide tumor therapy and avoid unnecessary side effects and costs from ineffective treatments. SUV has been proposed as a measure of SSTR density in NETs, but changes of the tumor SUV in ^{68}Ga -DOTATOC PET/CT during peptide receptor radionuclide therapy have not been found to reliably correlate with the treatment outcome (5,6,8). K_i is likely to reflect the tumor SSTR density more adequately than SUV . In a previous study (7) comparing ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE, it was shown that K_i and SUV did not correlate linearly for NETs, especially at high SUV s (>20–25). The present work partly used data from the same subjects, and as seen in Figures 2C and 2D, the addition of more subjects did not alter this conclusion.

This study suggests that the nonlinear relation between K_i and SUV for high K_i values can be attributed to faster blood clearance in patients with a high tumor receptor expression. For ^{68}Ga -DOTATOC, SUV in blood at 45 min after injection was significantly lower in patients with high K_i values than in those with low K_i values (Fig. 1A). For ^{68}Ga -DOTATATE, this difference was not significant (Fig. 1B). The low blood SUV in patients with high K_i values may be overestimated because of spill-in from surrounding tissues and a positive bias in low-activity areas as commonly seen in PET, whereas the high blood SUV may be underestimated because of the partial-volume effect. Taking these factors into consideration, the difference in blood SUV between the 2 groups would increase even further.

Figures 2A and 2B clearly illustrate that, contrary to the nonlinear relation between K_i and SUV , there is a linear relation between K_i and TBR and that the nonlinear correlation

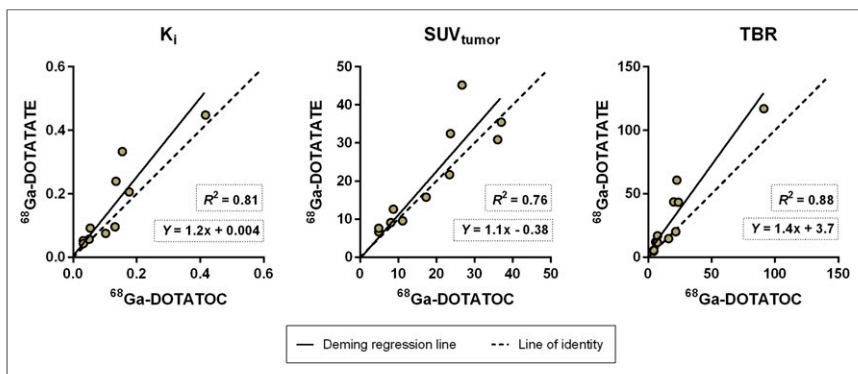


FIGURE 3. Comparison of K_i , SUV_{tumor} , and TBR between ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE. Significant difference was found between ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE for TBR ($P = 0.019$, Wilcoxon matched-pairs test) but not for K_i or SUV_{tumor} ($P = 0.083$ and 0.413, respectively).

between K_i and SUV can be attributed to low availability of tracer in blood. Since plasma concentrations during the course of the scan are implicitly considered when estimating K_i , differences in plasma concentration of the tracer do not affect accuracy. However, since the low blood activity concentrations will limit the absolute amount of tracer available for uptake in tissue, SUV will be affected by low plasma concentrations and will not always follow K_i . Most probably, the total amount of SSTR in some patients is so large that nearly all peptide is cleared from the plasma during the initial part of the examination, leading to the apparent saturation of tumor SUVs. The clearance rate for the individual patient also depends on, for example, kidney function, uptake in kidneys, and spleen. However, the amount of tracer in blood is probably one of the most important factors; moreover, the amount of tracer in blood is a factor that may be influenced by how much peptide is administered, whereas the SSTR expression in each tumor, the combined total SSTR expression, and the patient's renal function cannot be affected.

The activity concentrations in blood were determined by delineating the aorta using a 70% isocontour volume of interest. In this case, the underestimation of the activity concentration in the aorta is theoretically 6%–7% when assuming an aorta diameter of 2.5 cm and a spatial resolution of 5 mm. The results in this paper were not corrected for this underestimation. However, since this underestimation will affect K_i and TBR equally, the conclusions of the study would not change.

The patients in this study were from 3 different NET studies and thus underwent examinations on different scanners with varied reconstruction settings. As known, reconstruction parameters affect K_i and SUV (12). However, since the reconstruction will affect K_i and SUV similarly, the variations in reconstruction settings between scanners will not affect the conclusions of the present work. For example, the partial-volume effect will similarly affect K_i and SUV and the results will consequently be the same regardless of whether the reconstruction includes correction for partial-volume effect or not.

CONCLUSION

A linear relation with a high correlation was found between K_i and TBR for both ^{68}Ga -DOTATOC and ^{68}Ga DOTATATE. Hence, TBR reflects SSTR density better than SUV and would be the preferred measurement tool for semiquantitative assessment of ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE tumor uptake and as a means for NET therapy monitoring.

DISCLOSURE

No potential conflict of interest relevant to this article was reported.

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KEY POINTS

QUESTION: The purpose of this study was to evaluate the use of TBR as an alternative tool for semiquantitative assessment of ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE tumor uptake and as a therapy monitoring tool for patients with NETs by evaluating the relation between K_i and TBR for ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE.

PERTINENT FINDINGS: For both ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE, a linear relation with a high correlation was found between K_i and TBR. Hence, TBR can be used as a tool for semiquantitative assessment of ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE tumor uptake and as a means for NET therapy monitoring.

IMPLICATIONS FOR PATIENT CARE: The finding offers a new tool for assessing tumor uptake of ^{68}Ga -DOTATOC and ^{68}Ga -DOTATATE and a new therapy monitoring tool for patients with NETs.

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