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# German Multicenter Study Investigating $^{177}\text{Lu}$ -PSMA-617 Radioligand Therapy in Advanced Prostate Cancer Patients

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$^{177}\text{Lu}$ -labeled PSMA-617 is a promising new therapeutic agent for radioligand therapy (RLT) of patients with metastatic castration-resistant prostate cancer (mCRPC). Initiated by the German Society of Nuclear Medicine, a retrospective multicenter data analysis was started in 2015 to evaluate efficacy and safety of  $^{177}\text{Lu}$ -PSMA-617 in a large cohort of patients. **Methods:** One hundred forty-five patients (median age, 73 y; range, 43–88 y) with mCRPC were treated with  $^{177}\text{Lu}$ -PSMA-617 in 12 therapy centers between February 2014 and July 2015 with 1–4 therapy cycles and an activity range of 2–8 GBq per cycle. Toxicity was categorized by the common toxicity criteria for adverse events (version 4.0) on the basis of serial blood tests and the attending physician's report. The primary endpoint for efficacy was biochemical response as defined by a prostate-specific antigen decline  $\geq 50\%$  from baseline to at least 2 wk after the start of RLT. **Results:** A total of 248 therapy cycles were performed in 145 patients. Data for biochemical response in 99 patients as well as data for physician-reported and laboratory-based toxicity in 145 and 121 patients, respectively, were available. The median follow-up was 16 wk (range, 2–30 wk). Nineteen patients died during the observation period. Grade 3–4 hematotoxicity occurred in 18 patients: 10%, 4%, and 3% of the patients experienced anemia, thrombocytopenia, and leukopenia, respectively. Xerostomia occurred in 8%. The overall biochemical response rate was 45% after all therapy cycles, whereas 40% of patients already responded after a single cycle. Elevated alkaline phosphatase and the presence of visceral metastases were negative predictors and the total number of therapy cycles positive predictors of biochemical response. **Conclusion:** The present retrospective multicenter study of  $^{177}\text{Lu}$ -PSMA-617 RLT demonstrates favorable safety and high efficacy exceeding those of other third-line systemic therapies in mCRPC patients. Future phase II/III studies are

warranted to elucidate the survival benefit of this new therapy in patients with mCRPC.

**Key Words:** prostate cancer; PSMA-617; mCRPC; radioligand therapy

**J Nucl Med 2017; 58:85–90**

DOI: 10.2967/jnumed.116.183194

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According to the American Cancer Society, prostate cancer is the most common cancer and second most frequent cause of cancer-related death in men in the United States (1). The 5-y survival rate of locally advanced prostate cancer is nearly 100%; however, the rate is significantly lower in the case of metastatic disease (31%) (2). Therefore, developing new strategies for diagnosis, imaging, and treatment of metastatic prostate cancer is of major importance.

Prostate-specific membrane antigen (PSMA) is overexpressed in prostate cancer and even more so with increasing de-differentiation or castration-resistant disease (3). Radiolabeled ligands targeting PSMA have recently been the subject of numerous studies showing high sensitivity and contrast in detecting recurrent prostate cancer and its metastases with remarkable detection rates (4–7). Recent studies have also shown a high sensitivity of PSMA-targeted imaging in determining the local extent of disease before radical prostatectomy (8–10). The high PSMA expression in prostate cancer metastases makes it also a promising approach to develop new tracers for targeted radionuclide therapies.

Benešová et al. introduced a high-affinity PSMA ligand (PSMA-617) that can be labeled with  $^{68}\text{Ga}$  or  $^{177}\text{Lu}$  and demonstrates superior tumor-to-background uptake (11). Since 2015, several studies reported promising results for response rates and a favorable safety profile after radioligand therapy (RLT) with  $^{177}\text{Lu}$ -PSMA-617 in patients with metastatic castration-resistant prostate cancer (mCRPC) (12–16). In a single-center study of 28 patients, a slight improvement

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Received Aug. 26, 2016; revision accepted Sep. 28, 2016.  
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Published online Oct. 20, 2016.  
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of survival compared with a matched group of best supportive-care patients (historical population) was demonstrated (16). However, each group presented insight only into a small patient cohort, with insufficient power for the evaluation of a new therapy.

To overcome this limitation, a retrospective multicenter study was initiated by the German Society of Nuclear Medicine in July 2015. Twelve therapy centers retrospectively collected and pooled data on safety and efficacy of <sup>177</sup>Lu-PSMA-617 RLT. This retrospective multicenter study aimed at analyzing the optimal dose and number of therapy cycles and predictors of response in more detail.

## MATERIALS AND METHODS

### Patient Population

Between February 2014 and the end of July 2015, 145 patients (median age, 73 y; range, 43–88 y) with mCRPC were treated with 248 cycles of <sup>177</sup>Lu-PSMA-617 in 12 nuclear medicine centers throughout Germany. All patients meeting the inclusion criteria within the study timeline were included. There were no random or systematic exclusions. Numbers of patients previously included in smaller cohort studies are given in Supplemental Table 1 (supplemental materials are available at <http://jnm.snmjournals.org>). Inclusion criteria for this retrospective analysis were progressive castration-resistant prostate cancer, PSMA expression of most lesions as determined by PSMA-targeted imaging, and at least 1 cycle of <sup>177</sup>Lu-PSMA-617 RLT. In addition, patients experienced progression under next-generation androgen-deprivation therapy (e.g., abiraterone, enzalutamide) or first- or second-line chemotherapy (e.g., docetaxel, cabazitaxel) or were not eligible for chemotherapy. All patients eligible for <sup>223</sup>Ra received this treatment before undergoing <sup>177</sup>Lu-PSMA-617 RLT. The decision for <sup>177</sup>Lu-PSMA-617 RLT was made by the local interdisciplinary tumor board at each therapy center.

RLT with <sup>177</sup>Lu-PSMA-617 was based on a compassionate use. Patients gave their written consent after being informed about possible side effects and risks of this new therapeutic agent. The production and administration of <sup>177</sup>Lu-PSMA-617 was performed in accordance with the German Medical Products Act AMG §13 2b. Anonymized data were collected by the Department of Nuclear Medicine of the Ludwig-Maximilians-University Munich, and the local ethics committee approved this retrospective analysis. Requirement to obtain informed consent for entry into the study was waived.

### Preparation and Administration of <sup>177</sup>Lu-PSMA-617

PSMA-617 was obtained from ABX GmbH. Detailed radiosynthesis procedures have been described in detail before (12,14–16). Quality control parameters were monitored by experienced radiochemists and double checked by attending physician as follows: radiochemical purity, radiochemical identity, pH value, ethanol content, endotoxin content, and proof of sterility.

<sup>177</sup>Lu-PSMA-617 was administered by slow intravenous injection (1–30 min) followed by a ringer or saline solution. The cooling of salivary glands (performed in 11/12 therapy centers) using cool packs started 30 min before injection and was applied until 4 h after injection. Further therapy cycles were performed 8–12 wk apart.

Whole-body scintigraphy and additional SPECT/CT were performed at least 1 time 24–48 h after injection to confirm uptake and retention of <sup>177</sup>Lu-PSMA-617 in tumor tissue. Patients were released from the ward as per local regulatory guidelines (<3.5 μSv/h measured at a distance of 2 m).

### Safety

Blood levels for hemoglobin, white blood cells, platelets, creatinine, alkaline phosphatase, and liver parameters were obtained at each participating therapy center shortly before RLT and every 2–4 wk thereafter. On the basis of blood levels, toxicity was categorized using the Common Toxicity Criteria for Adverse Events (version 4.03). In

addition, investigators reported all (also if unlikely associated with RLT) adverse events and serious adverse events during and after RLT with <sup>177</sup>Lu-PSMA-617.

### Efficacy and Response

The prostate-specific antigen (PSA) was determined by the participating center shortly before each RLT and at 2- to 4-wk intervals thereafter. The primary endpoint was biochemical response determined by a change in PSA values. According to the Prostate Cancer Work group 3 Criteria, a PSA decline ≥ 50% was considered as a response (17).

### Statistics

SAS (version 9.4; SAS Institute) was used for analysis. Data are presented as medians and ranges or as frequencies. Univariate and multivariate logistic regression was applied to obtain predictors of biochemical response. Results of logistic regression are presented as odds ratios and corresponding 95% confidence intervals. *P* values ≤ 0.05 were considered significant. Parameters with a *P* value < 0.05 in the univariate analysis were included in the multivariate analysis.

## RESULTS

Patient characteristics are given in Table 1. Two hundred forty-eight therapy cycles were performed in 145 patients (median age, 73 y; range, 43–88 y) until July 31, 2015. An average dose of 5.9 GBq of <sup>177</sup>Lu-PSMA-617 was administered (range, 2–8 GBq, Table 2). Fifty-four percent of the patients (*n* = 79) had previously received

**TABLE 1**  
Patient Characteristics at Baseline (*n* = 145)

Characteristic	Data
Age (y)	73 (43–88)
PSA (ng/mL)	214 (0.35–5,436)
Alkaline phosphatase (U/L)	120 (38–1,607)
Hemoglobin (g/dL)	11.3 (6–16)
White blood cells (10 <sup>3</sup> /μL)	6.2 (2.4–14.3)
Platelets (10 <sup>3</sup> /μL)	235 (55–557)
Creatinine (mg/dL)	0.9 (0.3–3.1)
Site of metastases ( <i>n</i> )	
Bone	126 (87%)
Lymph node	112 (77%)
Liver	30 (20%)
Lung	20 (14%)
Other	3 (2%)
Previous therapy of mCRPC ( <i>n</i> )	
Androgen-deprivation therapy	145 (100%)
Chemotherapy	79 (54%)
Abiraterone	93 (64%)
Enzalutamide	76 (52%)
<sup>223</sup> Ra	24 (17%)
External-beam radiation therapy to bone	51 (35%)

Qualitative data are expressed as numbers, followed by percentages in parentheses; continuous data are expressed as median, followed by range in parentheses.

**TABLE 2**  
Administered <sup>177</sup>Lu-PSMA-617 Activity (*n* = 248 RLT Cycles)

Administered activity (GBq)	Cycle 1	Cycle 2	Cycle 3	Cycle 4
≤3.5	9	3	0	1
>3.5–4.5	32	14	2	0
>4.5–5.5	16	12	9	0
>5.5–6.5	71	37	14	2
>6.5	17	8	1	0

at least 1 line of chemotherapy; 64% (*n* = 93) and 52% (*n* = 76) received abiraterone and enzalutamide, respectively; and 17% (*n* = 24) had received <sup>223</sup>Ra before <sup>177</sup>Lu-PSMA-617 RLT. Other previous therapies are listed in Table 1.

During the observation period (median, 16 wk; range, 2–30 wk), 19 patients (13%) died. Of these patients, 10 patients received 1 RLT cycle, 6 patients received 2 cycles, and 3 patients received 3 cycles. The participating centers did not document a therapy-related death.

### Safety

Data for physician-reported and laboratory-based toxicity were available for 145 and 121 patients, respectively. Twenty-nine patients

had laboratory follow-up for less than 6 wk after the first cycle. Adverse events after <sup>177</sup>Lu-PSMA-617 are summarized in Table 3. Grade 3–4 hematologic adverse events occurred in 18 of 145 patients (12%): 1 patient experienced severe leukopenia, 11 (8%) patients anemia, 2 (2%) patients thrombocytopenia, and 4 patients a combination of these conditions. Grade 3–4 hematotoxicity was higher in patients with prior <sup>223</sup>Ra (5/24, 21%). Grade 3–4 hematotoxicity was not significantly higher in patients with prior chemotherapy (10/79, 13%) than in chemotherapy-naïve patients (8/66, 12%). The average administered dose in patients with grade 3 and 4 hematotoxicity was 5.6 GBq (range, 4–7.4 GBq, vs. 5.9 GBq in the entire cohort). Data according to baseline Common Toxicity Criteria for Adverse Events status are presented in Supplemental Table 2. Despite 24 grade 3–4 adverse events, the median values in hemoglobin, red and white blood cells, and platelets were not changed during the follow-up period (Fig. 1). No nephrotoxicity grade 3 or 4 occurred. Mild to moderate xerostomia was reported for 11 (8%) patients by the participating centers. Administered dose in patients with xerostomia was 5.5 GBq (vs. 5.9 GBq in the entire cohort). Mild to moderate nausea was reported in 9 (6%) patients.

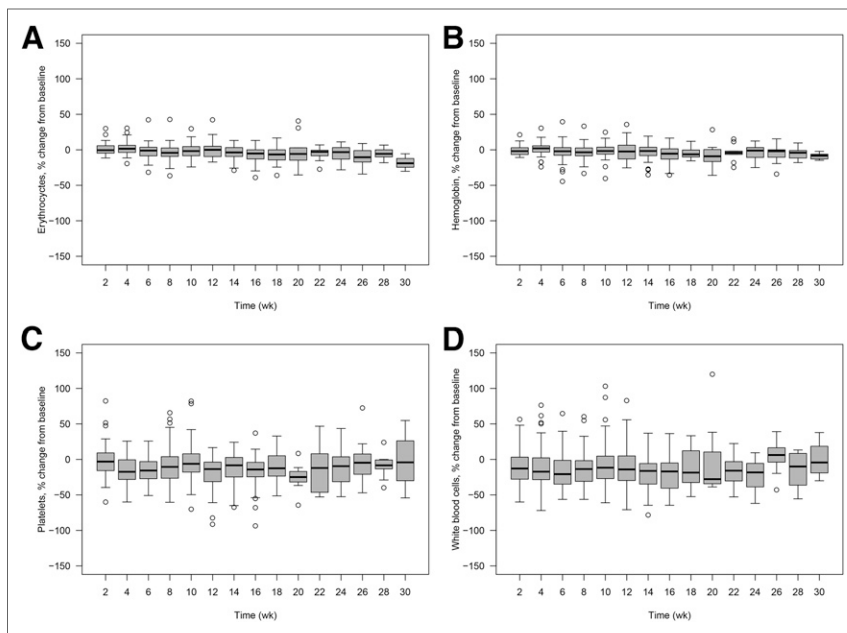
### Efficacy

Serial PSA levels for analyzing biochemical response were available in 99 patients (68%). Forty-six patients had PSA follow-up of less than 8 wk after the first cycle or were not eligible for analysis and were not considered for PSA response. Over the entire follow-up period, 45 of 99 (45%) patients demonstrated a

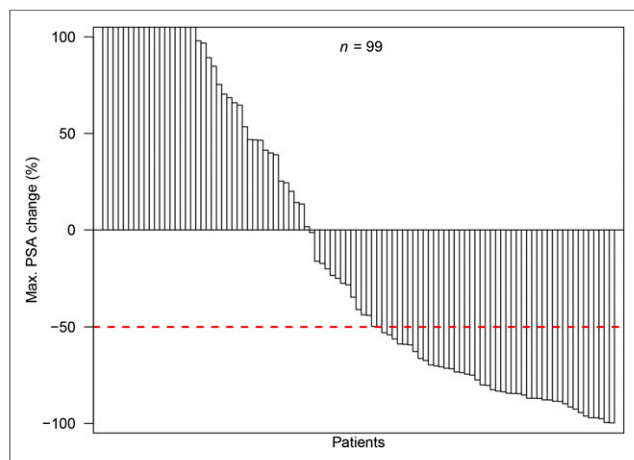
**TABLE 3**  
Adverse Events After <sup>177</sup>Lu-PSMA-617 as Determined by Blood Tests (*n* = 121) or Physician Reports (*n* = 145)

Organ system	Category	Evaluated for N	All grades	Grade 3–4
Blood and lymphatic disorders	Leukopenia	121	48 (40%)	4 (3%)
	Anemia	145	50 (34%)	15 (10%)
	Thrombocytopenia	121	38 (31%)	5 (4%)
Gastrointestinal disorders	AST elevation	121	27 (19%)	0 (0%)
	ALT elevation	121	11 (8%)	0 (0%)
	Xerostomia	145	11 (8%)	0 (0%)
	Nausea	145	9 (6%)	0 (0%)
	Dysgeusia	145	6 (4%)	0 (0%)
	Ascites	145	2 (1%)	0 (0%)
	Biliary obstruction	145	0 (0%)	1 (1%)
General disorders	Fatigue	145	19 (13%)	1 (1%)
	Pain	145	5 (3%)	0 (0%)
	Ileus	145	1 (1%)	0 (0%)
Urinary disorders	Renal failure	121	14 (12%)	0 (0%)
	Urinary tract infection	145	1 (1%)	0 (0%)
Cardiovascular disorders	Edema	145	2 (1%)	0 (0%)
	Lung embolism	145	0 (0%)	3 (2%)
Respiratory, thoracic, and mediastinal disorders	Pleural effusion	145	1 (1%)	0 (0%)
	Dyspnea	145	1 (1%)	0 (0%)
Neurologic disorders	Vertigo	145	1 (1%)	0 (0%)
	Stroke	145	0 (0%)	2 (1%)
Musculoskeletal disorders	Bone fracture	145	0 (0%)	3 (2%)

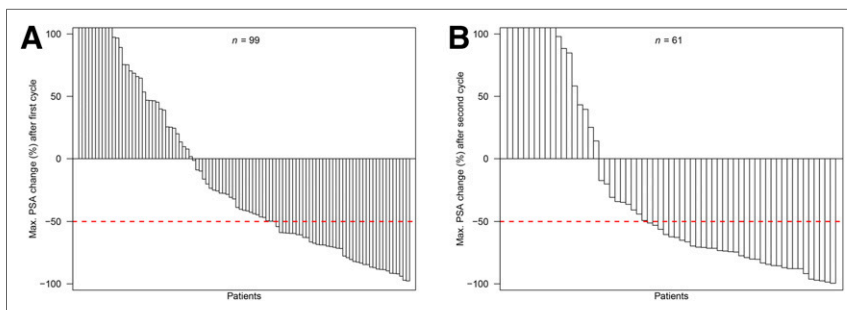
AST = aspartate aminotransferase; ALT = alanine aminotransferase.



**FIGURE 1.** Box plots of change (%) of erythrocyte count (A), hemoglobin (B), platelet count (C), and white blood cell count (D) from baseline up to 30 wk after first therapy cycle.



**FIGURE 2.** Waterfall plot of maximum PSA change (%) from baseline over total follow-up period. PSA increase > 100% was cropped due to simplification.



**FIGURE 3.** Waterfall plots of maximum PSA change (%) after first cycle (A) and after second cycle (B). PSA increase > 100% was cropped due to simplification.

PSA decline  $\geq 50\%$  and were considered biochemical responders. Any PSA decline occurred in 59 of 99 (60%) patients (Fig. 2). After the first cycle, a PSA decline of 50% occurred in 40 of 99 (40%) and any PSA decline in 65 of 99 (66%) patients (Fig. 3A). After the second therapy cycle of  $^{177}\text{Lu}$ -PSMA-617 RLT, a PSA decline  $\geq 50\%$  occurred in 35 of 61 (57%) and any PSA decline in 44 of 61 (72%) patients (Fig. 3B). Patients receiving a third or fourth cycle of therapy showed a PSA decline  $\geq 50\%$  in 13 of 20 (65%) and 3 of 3 (100%) patients, respectively.

To evaluate the probability of biochemical response for different subgroups, odds ratios were calculated (Table 4). The presence of visceral metastases ( $P < 0.01$ ) and alkaline phosphatase  $\geq 220$  U/L ( $P < 0.01$ ) were associated with a lower rate of biochemical response. Patients with a higher number of therapy cycles ( $\geq 3$ ) had a higher rate of biochemical response ( $P = 0.02$ ). All other variables including the activity administered per cycle or cumulatively did not have a relevant effect

on response rates (Table 3). In a multivariate analysis, alkaline phosphatase, number of therapy cycles, and the presence of visceral metastases remained relevant factors ( $P \leq 0.05$ ) associated with the rate of biochemical response. Response as determined by imaging was available in 47 patients (Supplemental Fig. 1). Of these, 21 of 47 (45%) experienced partial response and 13 of 47 (28%) had stable disease by imaging follow-up.

## DISCUSSION

The present study analyzed data of a retrospective multicenter study for safety and efficacy after  $^{177}\text{Lu}$ -PSMA-617 RLT in mCRPC patients from 12 different therapy centers. To our knowledge, this is the largest cohort analyzed in a multicenter approach for  $^{177}\text{Lu}$ -PSMA-617 RLT of prostate cancer. There was no therapy-related death after 248 therapy cycles in 145 patients. Few patients experienced serious adverse events. Overall biochemical response rate by PSA decline  $\geq 50\%$  occurred in 45% and 58% of those patients who showed a biochemical response already after a single cycle. In the present study, any PSA decline occurred in 65% of patients after 1 cycle of RLT with  $^{177}\text{Lu}$ -PSMA-617 and in 72% after the second cycle.

Although the patients in our study were heavily pretreated and received  $^{177}\text{Lu}$ -PSMA-617 RLT as the last therapeutic option, these response rates are comparable and might be superior to response in mCRPC patients undergoing other systemic therapies approved for mCRPC, for example, only 32% of patients undergoing enzalutamide after abiraterone therapy (18) demonstrated a PSA decline of  $\geq 50\%$ . In another pooled multicenter cohort of patients with mCRPC and prior abiraterone and chemotherapy with docetaxel, enzalutamide

**TABLE 4**  
Odds Ratio for Biochemical Response in Patient Subgroups

Subgroup	No. of patients	No. of responders	Odds ratio	P
<b>Mean activity per cycle</b>				
≤5.5 GBq	40	20 (50%)	1 (reference)	
>5.5 GBq	59	25 (42%)	0.725 (0.328–1.647)	0.46
<b>Previous chemotherapy</b>				
Yes	55	21 (38%)	1 (reference)	
No	44	24 (55%)	1.94 (0.869–5.33)	0.11
<b>Visceral metastases</b>				
Yes	29	7 (24%)	1 (reference)	
No	70	38 (54%)	3.732 (1.412–9.864)	<0.01
<b>Bone metastases</b>				
No	13	6 (46%)	1 (reference)	
Yes	86	39 (45%)	0.968 (0.3–3.21)	0.96
<b>Lymph node metastases</b>				
No	77	34 (44%)	1 (reference)	
Yes	22	11 (50%)	0.791 (0.306–2.043)	0.63
<b>Alkaline phosphatase*</b>				
<220 U/L	72	39 (54%)	1 (reference)	
≥220 U/L	25	5 (20%)	0.21 (0.072–0.625)	<0.01
<b>Cumulative activity after 2 cycles†</b>				
≤11.8 GBq	32	16 (50%)	1 (reference)	
>11.8 GBq	29	19 (66%)	1.9 (0.676–5.366)	0.22
<b>Cumulative activity after 3 cycles</b>				
≤17.4 GBq	8	6 (75%)	1 (reference)	
>17.4 GBq	12	8 (67%)	0.667 (0.09–4.928)	0.69
<b>No. of cycles</b>				
1	28	8 (29%)	1 (reference)	
2	36	18 (50%)	2.5 (0.87–7.13)	0.94
3 and 4	20	14 (70%)	5.83 (1.65–20.559)	0.02

\*2 patients had no baseline alkaline phosphatase.  
†Only patients with PSA follow-up after 2 cycles were included.  
Data in parentheses are 95% confidence ratios, unless otherwise indicated.

therapy induced a PSA decline  $\geq 50\%$  in only 18% of the patients (19). Furthermore, Noonan et al. reported a PSA decline  $\geq 50\%$  in only 1 of 30 (3%) patients treated with abiraterone after progression under enzalutamide (20). A potential reason for this cross-resistance is the emergence of androgen receptor splice variants (AR-Vs) out of which AR-V7 seems to be the most important (18).  $^{177}\text{Lu}$ -PSMA-617 targets PSMA and reveals its efficacy by  $\beta$ -radiation to the target cell and the surrounding environment. On the basis of its different mechanism of action,  $^{177}\text{Lu}$ -PSMA-617 effectively reduced PSA in most patients with advanced CRPC progressive under androgen-deprivation therapy.  $^{177}\text{Lu}$ -PSMA-617 RLT may thus represent a new treatment option in these patients.

Prior chemotherapy did not significantly influence response rates after  $^{177}\text{Lu}$ -PSMA-617 RLT. Alkaline phosphatase  $< 220$ , the absence of visceral metastases, and the number of therapy cycles were relevant independent predictors of biochemical response. Conversely, patients with relevant risk factors (alkaline

phosphatase  $\geq 220$ , visceral metastases) should be monitored closely to adjust therapy in case of disease progression. Several patients underwent more than 2 cycles of  $^{177}\text{Lu}$ -PSMA-617, underlining the potential of sustained disease control after multiple cycles of RLT.

In the current study, grade 3–4 hematotoxic adverse events occurred in 12% of the patients: thrombocytopenia and anemia occurred in 4% and 10%, respectively (Table 4). The reported rate of adverse events is slightly lower than or comparable to the rate in other mCRPC cohorts. Patients undergoing placebo or  $^{223}\text{Ra}$  within the ALSYMPCA trial (21) demonstrated grade  $\geq 3$  anemia in 13%–14% and grade  $\geq 3$  thrombocytopenia in 3%–7%. The present study shows significantly lower hematotoxicity when compared with results of second-line chemotherapy or radiolabeled antibody therapy: the TROPIC study (22) revealed a grade  $\geq 3$  leukopenia in 68% of patients receiving cabazitaxel and in 42% of patients receiving mitoxantrone versus the 3% in our study. Application

of  $^{177}\text{Lu}$ -labeled J591 monoclonal antibody was associated with grade 4 thrombocytopenia in 47% of patients (23). In the present study, only 4% of the patients experienced a grade  $\geq 3$  thrombocytopenia. Favorable safety of  $^{177}\text{Lu}$ -PSMA-617 was previously reported in smaller patient cohorts (13–16) and is now confirmed in this large multicenter dataset.

The adverse events may be due to the advanced disease and prior toxic therapies and in part related to the performed RLT. Mild to moderate xerostomia can be caused by high  $^{177}\text{Lu}$ -PSMA-617 uptake and resulting radiation doses  $> 40$  Gy to the salivary glands (24). Prior studies reported low rates of chronic xerostomia using  $\beta$ -emitters such as  $^{177}\text{Lu}$ -PSMA-617 (13,15,16). However, the overall toxicity profile was favorable. In the future, RLT with  $^{177}\text{Lu}$ -PSMA-617 might become an option in patients with advanced mCRPC and multimodal prior therapies.

The major limitation of this study is its retrospective nature. Data were collected in 12 therapy centers, which caused inhomogeneity of available data in terms of follow-up timeline and concomitant medication. Data might be biased by patient selection, loss of follow-up, and undocumented adverse events. Therefore, all inferential statistics are intended to be exploratory (hypotheses generating, as a limitation in all retrospective studies), not confirmatory, and are interpreted accordingly. The primary endpoint for efficacy was based on PSA level. In a retrospective multicenter study, change in PSA is more objective and reliable than imaging follow-up, however, its clinical value remains controversial (25).

## CONCLUSION

The present multicenter study demonstrates favorable safety and efficacy of  $^{177}\text{Lu}$ -PSMA-617 RLT in a large number of mCRPC patients.  $^{177}\text{Lu}$ -PSMA-617 RLT might exceed the performance of other third-line systemic therapies reported in the literature. Future prospective phase II/III trials are currently in preparation, to evaluate the potential of this new targeted radioligand therapy especially with regards to improved patient survival.

## DISCLOSURE

Uwe Haberkorn is part of the PSMA-617 patent application. No other potential conflict of interest relevant to this article was reported.

## ACKNOWLEDGMENTS

We thank the departments/sections of radiopharmacy at all therapy centers for the reliable production of  $^{177}\text{Lu}$ -PSMA-617. A special thanks goes to Dr. Axel Bode, Department of Nuclear Medicine, Münster, for data management and preparation.

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