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# Mouse Models of Breast Cancer: Platforms for Discovering Precision Imaging Diagnostics and Future Cancer Medicine

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Representing an enormous health care and socioeconomic challenge, breast cancer is the second most common cancer in the world and the second most common cause of cancer-related death. Although many of the challenges associated with preventing, treating, and ultimately curing breast cancer are addressable in the laboratory, successful translation of groundbreaking research to clinical populations remains an important barrier. Particularly when compared with research on other types of solid tumors, breast cancer research is hampered by a lack of tractable *in vivo* model systems that accurately recapitulate the relevant clinical features of the disease. A primary objective of this article was to provide a generalizable overview of the types of *in vivo* model systems, with an emphasis primarily on murine models, that are widely deployed in preclinical breast cancer research. Major opportunities to advance precision cancer medicine facilitated by molecular imaging of preclinical breast cancer models are discussed.

**Key Words:** animal imaging; molecular imaging; breast cancer; coclinical trials; mouse models; precision medicine

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**B**reast cancer is the second most common cancer in the world, with an estimated 1.67 million new cases diagnosed in 2012, and the second most common cause of cancer-related death (1). In the United States alone, the American Cancer Society estimates diagnoses of more than 231,000 new cases of invasive breast cancer among women and approximately 2,350 new cases among men in 2015 (2). Uniquely, the term “breast cancer” does not reflect a single disease; rather, breast cancer should be thought of as a repertoire of related diseases classifiable into distinct subtypes, each portending distinct prognoses and potentially actionable phenotypic, molecular, or genetic characteristics. Although targeting certain molecular vulnerabilities inherent in specific breast cancer subtypes has improved clinical outcomes in a limited number of patients, a sobering reality is that more than 40,000 individuals in the United States will die from this disease in 2015 (2); this information underscores the numerous challenges that still remain in the clinical care of individuals with this disease.

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Although many of the challenges associated with preventing, treating, and ultimately curing breast cancer are addressable in the laboratory, successful translation of groundbreaking laboratory research to clinical populations remains an important barrier. Particularly when compared with research on other types of solid tumors, breast cancer research is hampered by a lack of tractable *in vivo* model systems that accurately recapitulate the relevant clinical features of the disease. Although certain models necessarily will be highlighted as a consequence of illuminating examples and opportunities, more exhaustive catalogs of previously described models are reviewed in several suggested references (3–7). Here, a generalizable overview of the types of *in vivo* model systems, with an emphasis primarily on murine models that are widely deployed in preclinical breast cancer research, is provided; this overview encompasses the specific relationship of the models with the clinical disease and how imaging within the context of the models might be exploited to maximize translational gains to combat breast cancer.

A distinguishing feature of this article is that the key attributes of various preclinical breast cancer models and their utility are developed from the perspective of noninvasive molecular imaging. Despite major successes and lessons learned from the genomic landscape of cancer, it is now widely recognized that individual cancer genomes, like individual patients, are exquisitely heterogeneous; each contains a unique spectrum of drivers accompanied by passengers of less obvious significance. Tools that illuminate the cellular and molecular underpinnings of tumors on a patient-by-patient basis, such as noninvasive molecular imaging, will be essential to bringing precision cancer therapy to fruition. As such, preclinical imaging techniques relevant to mouse models of breast cancer, with an emphasis on molecular imaging, are also discussed in some detail.

## MICE AS MODELS OF HUMAN CANCER

Although it might seem obvious, it is worth noting at the outset that all “models” of human disease are imperfect. Regardless of the degree of sophistication, model systems are, by definition, not humans. Rationales for late-phase clinical failures of new drugs are frequently based on a (healthy) skepticism of the translational value of certain preclinical models; much has been written about this issue already, and the value of model systems as a translational bridge to clinical applications is not debated in this article. However, *in vivo* modeling provides gains to the breast cancer field that complement what can be discovered at the laboratory bench. Indeed, the strongest experimental approaches will test hypotheses in multiple model systems. Therefore, it is critical to understand both the strengths and the limitations of *in vivo* models of breast cancer to maximize what can be learned with this approach.

The laboratory mouse (*Mus musculus*) represents a truly ideal model system for simulating the entire spectrum of events that lead to advanced breast cancer in humans. Mouse model systems enable elucidation of distinct facets of cancer biology that may not be frankly addressable in patients. Some of the advantages of the mouse as a model system are as follows: it is a mammal of small size, facilitating inexpensive housing and convenient handling; rapid breeding can facilitate colony expansion on convenient time scales; it has a relatively long life-span (~3 y); the complete sequence and characterization of the mouse genome are available; and manipulation of the mouse genome can be accomplished with relative ease. Additionally, mice and other rodents share many physiologic similarities with humans (8) and therefore are commonly used in drug metabolism and pharmacokinetic and toxicity studies. Ironically, for imaging studies, the small size of the mouse can be a limitation, particularly when studies aim to image tumors whose diameters approximate or are smaller than the effective resolution of the imaging modality of choice. Some notable differences between humans and mice include a higher metabolic rate in mice, an altered telomere length in inbred mouse strains, and an altered time frame for cancer onset (9).

### **HUMAN BREAST CANCER SUBTYPES: WHAT MODELS AIM TO RECAPITULATE**

Several clinical and pathologic features of human breast cancer that allow stratification of patients on the basis of risk, prognosis, and likelihood of a response to certain types of therapy have been identified (10); in this light, for clinical breast cancer there are several impressive precision medicine–related success stories (11) and opportunities for future drug development (Table 1). Distinct molecular subtypes can be initially stratified on the basis of hormone receptor status; luminal breast cancers are typically hormone receptor–positive, whereas human epidermal growth factor receptor 2 (HER2) and basallike breast cancers (BLBCs) are hormone receptor–negative. Other potential molecular subtypes, including luminal C and normallike tumors, have been reported; at present, however, little is known about these subtypes (10).

#### **Luminal A and Luminal B Subtypes**

Luminal breast cancers are characterized by the expression of the estrogen receptor (ER) and the progesterone receptor (PR), which are nuclear hormone receptors, and other associated genes (12). Taken together, luminal A and luminal B subtypes account for approximately 65% of all breast cancers, although there are some differences between these subtypes. Luminal A breast cancers tend to express greater quantities of hormone receptors, particularly the PR, than luminal B breast cancers. In contrast, luminal B tumors tend to exhibit characteristics associated with higher-grade disease, are frequently more proliferative, are clinically more aggressive, and have a poorer prognosis than luminal A tumors. Because of their hormone receptor expression and activity, luminal A and luminal B breast cancers are routinely treated with endocrine therapies that block ER activity, including selective ER modulators (such as tamoxifen), selective ER downregulators (such as fulvestrant), and aromatase inhibitors (such as letrozole) that block the systemic production of the native ligand ( $\beta$ -estradiol). Luminal A and luminal B tumors exhibit disparate responses to chemotherapy, with higher-grade luminal B tumors frequently responding more favorably to chemotherapy (10). Given the hormone receptor expression and activity of luminal A and luminal B breast cancers, PET imaging with an  $^{18}\text{F}$ -labeled form of estradiol ( $16\alpha$ - $^{18}\text{F}$ -fluoro- $17\beta$ -estradiol [ $^{18}\text{F}$ -FES]) is often useful and may

represent a suitable companion diagnostic approach for predicting a response to anti-ER therapy in selected patients (13,14).

#### **HER2-Enriched Subtype**

The *HER2* gene is amplified in approximately 15% of invasive breast cancers. Some breast cancers of this subtype have been shown to express ER, but most HER2-enriched tumors lack ER or PR expression. HER2-enriched tumors are frequently higher-grade tumors, with positive lymph node involvement. Precision medicine approaches to this cancer include the use of trastuzumab (Tz) (Herceptin; Genentech), a monoclonal antibody that selectively targets the *HER2* gene product, a receptor tyrosine kinase, as well as small-molecule kinase inhibitors (lapatinib and everolimus) (15,16). HER2-enriched breast cancers with metastatic disease are additionally treated with anthracyclines (doxorubicin) and often display an initial response to treatment, although recurrence is seen in nearly all cases. Other strategies targeting the HER2 receptor and its pathway include novel small-molecule inhibitors and HER2 antibodies, heat shock protein 90 inhibitors, agents targeting downstream components of the HER2 signaling pathway, and antibody–drug conjugates. Certain molecular imaging strategies targeting HER2-enriched tumors have leveraged the selectivity of Tz labeled with a positron-emitting isotope ( $^{64}\text{Cu}$  or  $^{89}\text{Zr}$ ). Promising clinical results in patients with metastatic breast cancer have been shown for these strategies (17,18).

#### **BLBCs**

BLBCs abundantly express epithelial genes, such as those for cytokeratins 5 and 17, but the expression of ER, PR, and HER2 is notoriously absent. On the basis of their lack of ER, PR, and HER2 expression, many BLBCs are deemed “triple-negative breast cancer” (TNBC). BLBCs are especially common in African American women (10) and are generally associated with a poor prognosis. Given the typical lack of ER, PR, and HER2 expression in BLBCs, molecularly targeted agents used to treat other breast cancer subtypes are often highly ineffective for BLBCs; therefore, chemotherapy is a mainstay for treating BLBCs (19). However, recent efforts to develop increasingly effective therapies against TNBC have led to the identification of several novel TNBC subtypes distinguishable by gene expression profiles and with potential vulnerabilities (20). Provocatively, noninvasive imaging of the androgen receptor by PET with  $16\beta$ - $^{18}\text{F}$ -fluoro- $5\alpha$ -dihydrotestosterone ( $^{18}\text{F}$ -FDHT), a structural analog of  $5\alpha$ -dihydrotestosterone, may represent a companion diagnostic approach for this challenging subtype. At present, a study is exploring the feasibility of using  $^{18}\text{F}$ -FDHT PET to assess androgen receptor expression in metastatic breast cancer (ClinicalTrials.gov NCT01988324); this study is examining whether the effects of antiandrogens on tumor  $^{18}\text{F}$ -FDHT uptake could aid in identifying optimum dosing for blocking the androgen receptor in metastatic breast cancer.

### **ATTRIBUTES OF PRECLINICAL MOUSE MODELS OF CANCER**

Rapidly increasing knowledge about breast cancer molecular subtypes may affect the genesis of, progression of, and therapeutic strategy for any given breast cancer and underscores the importance of mouse model selection in designing preclinical studies and coclinical trials. Astounding growth in the reported number as well as the biologic elegance of mouse models for cancer research has been witnessed in the last decade. An extensive repertoire of mouse models with which to study breast cancer progression and treatment is now available. In genetically engineered mouse

**TABLE 1**  
Major Subtypes of Human Breast Cancer

Molecular subtype	Gene expression features	Clinical features	Treatment and prognosis
Luminal	Elevated expression of hormone receptors and associated genes (luminal A > luminal B)	~65% of invasive breast cancers are ER- or PR-positive	Respond to endocrine therapy (responses to tamoxifen and aromatase inhibitors may differ in luminal A and B cancers)
		Luminal B cancers tend to be of higher histologic grade than luminal A cancers	Variable response to chemotherapy (greater in luminal B cancers than in luminal A cancers)
		Some overexpress HER2 (luminal B)	Prognosis is better for luminal A cancers than for luminal B cancers
HER2	Elevated expression of HER2 and other genes in amplicon	~15% of invasive breast cancers are ER- or PR-negative	Respond to trastuzumab (Herceptin)
Basallike	Low expression of ER, PR, and associated genes	High probability of being high-grade and node-positive	Respond to anthracycline-based chemotherapy
		High probability of being high-grade and node-positive	Prognosis is typically poor
		~15% of invasive breast cancers	No response to endocrine therapy or trastuzumab (Herceptin)
Basallike	Low expression of ER, PR, and associated genes	Most are ER-, PR-, and HER2-negative (TNBC)	Appear to be sensitive to platinum-based chemotherapy and polyadenosine ribose polymerase inhibitors
		Low expression of HER2	Prognosis is typically poor (but not uniformly poor)
		<i>BRCA1</i> dysfunction (germ line, sporadic)	Particularly common in African American women

Adapted with permission of (10).

models (GEMMs), the tumor develops through all stages of epithelial transformation with the native stroma, immune system, and microenvironment (21). This trend has been propelled in part by the sheer volume of laboratories developing and deploying innovative mouse models to advance basic cancer research as well as by the adoption of contemporary and comparatively inexpensive genome editing technologies, such as the clustered regularly interspersed short palindromic repeats (CRISPR)/CRISPR-associated protein 9 (Cas9) system (22) and RNA interference approaches (23). Another important contribution to the volume of mouse models recently described has come from the assembly of patient-derived xenograft (PDX) banks and, particularly for some cancer types, standardization of the infrastructure and protocols required to support these systems (24). Here we describe 4 types of mouse model systems that can be used for breast cancer research, identifying both the strengths and the limitations of each (Table 2).

#### Cell Line Xenograft Models

Mouse models of breast cancer derived by transplanting immortalized human cancer cell lines into an immunocompromised murine host are among the simplest and most frequently deployed model systems in cancer research. Most preclinical drug

treatment studies performed in vivo have involved the use of immortalized human breast cancer cell lines growing within the subcutaneous dorsal flank of immunocompromised mice. Given the vast research history accumulated for many immortalized breast cancer cell lines and the numerous, diverse cell lines that represent all breast cancer molecular subtypes, xenografting breast cancer cell lines has become a staple in preclinical breast cancer research.

Although these models are technically simple to establish and are inexpensive to maintain over the short term, they have critical weaknesses that should be considered before larger programmatic efforts are based solely on them. In particular, shortcomings inherent in cell line xenograft models are commonly cited as the Achilles' heel of drug discovery efforts, especially when preclinical and clinical results are incongruent (25). An insightful commentary suggested that cell line xenografts are useful as a bridge between in vitro and in vivo studies (3). Objectively, cell line xenograft models have clear strengths, especially for rapid hypothesis testing, including the following: the development and extensive characterization of a panoply of human breast cancer cell lines from all molecular subtypes; the development of tumor stromal characteristics that can mimic the characteristics of human tumors (albeit incompletely); easily interrogated tumors; and quick tumor manifestation, which

**TABLE 2**  
Preclinical Murine Models of Human Cancer

Model	Main components	Advantages	Limitations	Time and Cost*
Xenograft (cell line)	Immortalized human tumor cell lines transplanted into immunodeficient host (mouse)	Numerous established and well-annotated cell lines	Immunodeficient host	2–4 wk, \$
		Representation from various human tumor types	Subcutaneous location may not allow cultivation of key tissue-specific stromal infiltrate	
		Features of tumor microenvironment, including stromal and vascular cells, incorporated within tumor	Cross-species divide; stromal components are mouse, whereas tumor cells are human	
Xenograft (patient-derived)	Human tumor explant propagated in immunodeficient host (mouse)	Tumors are easily and precisely measured	Limited or no genetic heterogeneity present within tumor	8–24 wk <sup>†</sup> , \$\$\$
		Heterogeneity and genetic diversity within tumors	Immunodeficient host	
		Representation from various human tumor types	Subcutaneous location may not allow cultivation of key tissue-specific stromal infiltrate	
		Features of tumor microenvironment, including stromal and vascular cells, incorporated within tumor	Surgical implantation required	
Syngeneic	Immortalized mouse tumor cell line allografted into immunocompetent host (mouse)	Tumors are easily and precisely measured	Cross-species divide; stromal components are mouse, whereas tumor cells are human	2–4 wk, \$
		Genetic and phenotypic drift with passage		
		Presence of intact immune system	Limited number of established cell lines, which are poorly annotated	
		Features of tumor microenvironment, including stromal and vascular cells, incorporated within tumor	Strong immunogenicity of some lines promotes spontaneous regression	
		All cell types within tumor are of mouse origin	Rapid growth rate of many lines limits use in longer-term studies	
GEMMs	Genetic modification that permits induced or spontaneous tumor development	Tumors develop in tissue of origin	Limited genetic mosaicism and heterogeneity of tumors	12–24 wk <sup>†</sup> , \$\$
		Presence of intact immune system	Technical hurdles for monitoring tumor response in internal organs	
		All cell types within tumor are of mouse origin	Low throughput and high investment	
		Features of tumor microenvironment, including stromal and vascular cells, and immune system components		

\*\$=low cost; \$\$=intermediate cost; \$\$\$=high cost.

<sup>†</sup>Up to 1 y to observe metastases.

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reduces attendant housing costs and speeds discovery. These strengths are balanced by the following limitations of cell line xenografts: the immunodeficiency of the host in which tumors arise, resulting in major contributions from the immune system to cancer development, cancer progression, and a therapeutic response being ignored; subcutaneous tumor propagation, which fails to simulate organotypic tumor microenvironments; a species disconnect between the tumor cells (human) and the stroma (mouse); and extreme homogeneity within the tumor, which poorly reflects the intratumoral heterogeneity seen in clinical breast tumors.

### **PDX Models**

An often overlooked shortcoming of the cell line xenograft model is the fact that immortalized cell lines are developed through clonal attrition, resulting in cell populations that are propagated through multiple passages on a (typically) plastic surface. Selective pressures and genetic drift give rise to genotypic and phenotypic changes that may irreversibly distinguish daughter clones from paternal tumors (26); this scenario may poorly recapitulate the original underlying cancer biology of the patient. Models developed from patient-derived tumors, otherwise known as PDX models—in which patient tumors are surgically implanted into recipient murine hosts without being cultured—overcome this limitation. PDX models of various human tumors have been developed with great success, although breast cancer PDX models have historically been especially challenging (27). DeRose et al. reported exemplary success when multiple PDX tumor models derived from patient specimens recapitulated ER- or PR-positive, ER- or PR-negative, and HER2-positive tumors and TNBC (28).

The major strengths of the PDX approach include genetic diversity and heterogeneity that more accurately reflect human breast cancer; the ability to model various cancer subtypes; the incorporation of contextually correct human stroma within the tumor, including vascularity and inflammation; the documented ability to model metastasis; and easy interrogation of tumors, such as breast cancers, for correlative studies. This approach maintains the genetic and phenotypic integrity of the tumor cells, without the clonal selection or inadvertent genetic drift seen in immortalized breast cancer cell lines. PDX models are increasingly being used on the basis of the observation that the histologic and molecular (gene expression and copy number variations) characteristics of the PDX can be maintained through several mouse “passages.” Importantly, PDX models retain clinical responses to many drug treatments, making them ideal for coclinical trials.

Nevertheless, there are several potential drawbacks of PDX models, including the requirement to use a severely immunodeficient murine host; the fact that the surgical procedure for implanting tumors into mice is invasive and requires skill (29); a species disconnect between the implanted tumor cells and stroma (human) and subsequently infiltrating stroma (mouse); and the time required to generate the models, which can require several months simply for the establishment of engraftment (30). Technical issues aside, the fact that establishing and maintaining PDX model systems require major capital investments in supporting infrastructure and personnel must not be overlooked.

### **Syngeneic Models**

The requirement for the use of immunocompromised mice in xenograft models fails to incorporate the impact of the immune system on the tumor response. This area of cancer research is in its early stages, with rapid progress and vast promise that underscores the need for immunocompetent models of breast cancer for more

rigorous analyses. Adequately modeling cancer immunology requires a propagating tumor within an immunocompetent host. One approach is to use mouse mammary tumors or mouse mammary tumor cell lines implanted into syngeneic immunocompetent murine hosts. Devoid of the species constraints inherent in xenografts and xenotransplants, allografted mouse tumors are not typically rejected by the murine host, given the similar genetic backgrounds. Syngeneic model systems offer the distinct advantage of studying cancer biology within the context of an intact immune system and species-specific tumor microenvironment. However, mouse tumor cell lines are limited and annotated to various degrees, and although small-molecule therapies may be adequately evaluated within these models, the species specificity of antibody imaging agents and therapies generally precludes their evaluation in syngeneic model systems.

### **GEMMs**

GEMMs are the most sophisticated in vivo platforms used to simulate human cancer. These models are capable of not only accurately mimicking many relevant pathophysiologic features of human cancer but also recapitulating the sequence of molecular events that give rise to cancer. The transgenic expression of an oncogene specifically within the mouse mammary epithelium under the control of a strong mammary epithelial promoter is frequently used to induce mammary tumor formation. This is a clinically relevant model of tumor initiation and progression, enforcing the stepwise procession of cells from hyperplasia to ductal carcinoma in situ and then to invasive ductal carcinoma. Importantly, this process occurs within the context of the native stromal matrix (requiring stromal remodeling and angiogenesis) and the native immune system (requiring immune system evasion). The genetic manipulations can drive oncogene expression in a reversible or irreversible manner, in a tissue-specific manner (3) or, more broadly, throughout an entire organism. Frequently, GEMMs that harbor oncogenic driver genes (e.g., *HER2*) or lack tumor suppressor genes (e.g., *p53*), thus genetically mimicking human cancers, are developed.

The diverse array of oncogenes used to generate transgenic models of breast cancer has resulted in a multitude of models that mimic many of the specific molecular subtypes seen in clinical breast cancers, as confirmed by comparative expression analyses of mouse and human breast tumor samples (31). The advantages of GEMMs include tumor formation in the contextually appropriate tissue and potentially cell of origin through the use of tissue-specific or cell-specific promoters; an intact immune system; and a native tumor microenvironment that more accurately reflects human disease, including stromal components, vascularity, and inflammation. However, GEMMs are limited by the time, expense, and resources required to derive, establish, and maintain them; these demands can be overly burdensome given the potentially low experimental throughput of GEMMs. Few GEMMs of breast cancer truly harbor ER expression, despite commonalities in expression profiles between mouse and human luminal breast cancers. Although metastases in mouse breast cancer models are hematogenous and almost exclusively pulmonary, human breast cancer metastases occur through lymphatic spread that often precedes hematogenous metastasis to the lungs, liver, bone, brain, and elsewhere.

### **Molecular Imaging Applications: Biomarkers, Drug Discovery, and Coclinical Trials**

Molecular imaging is an indispensable tool uniquely poised to address major challenges obstructing the delivery of personalized cancer therapy. Capable of noninvasively quantifying the cellular

and molecular underpinnings of tumors on a patient-by-patient basis, molecular imaging enables the detection of tumors at early, potentially curable stages and provides a means to accurately predict the response of a tumor to therapy well before conventional means of assessment.

Numerous excellent review articles that thoroughly discuss the attributes of various molecular imaging modalities in both patients and preclinical animal models have been disseminated. Rather than recapitulate a description of specific imaging systems and methods, we simply suggest that interested readers consult specific articles that already relate directly to this topic (32–34). However, as an introduction to preclinical molecular imaging in breast cancer models, it is worth noting that a range of imaging modalities can be entirely suitable for this purpose; such modalities include optical techniques (bioluminescence and fluorescence), ultrasound, MRI, MR spectroscopy, and nuclear imaging techniques that use ionizing radiation, namely, PET and SPECT (Table 3).

The modalities can be generally parsed into 2 major categories: anatomic, which centers on morphology (gross and fine), and molecular, which centers on underlying biologic function (metabolism, biochemistry, gene expression, and systems). The choice of imaging modality for addressing *in vivo* hypotheses depends largely on the biologic question of interest and is often guided by the strengths and limitations inherent in the modality. As highlighted in Table 3, certain modalities are better suited for molecular imaging (PET, SPECT, MR spectroscopy, and optical techniques), whereas others may serve in both capacities under some scenarios (ultrasound and MRI). Although all have been used in preclinical studies, only a select few are considered eminently translational.

Once the modality and the model have been selected, numerous clinically unmet needs can potentially be addressed in the laboratory through the marriage of noninvasive molecular imaging and preclinical mouse models of breast cancer. For example, the development of inhibitors targeting various portions of the ErbB signaling axis is an active and clinically important area of breast cancer research. Tz is a Food and Drug Administration–approved, recombinant, humanized monoclonal antibody that selectively binds to the extracellular domain of HER2, yet objective means to assess the treatment response to Tz therapy remain undeveloped. To this end, Whisenant et al. recently reported the use of 3′-deoxy-3′-<sup>18</sup>F-fluorothymidine (<sup>18</sup>F-FLT) PET as an early marker of the response to Tz in HER2-overexpressing xenografts (35). The researchers showed that <sup>18</sup>F-FLT PET was sensitive to early molecular changes in Tz-sensitive, HER2-overexpressing breast cancer xenografts and that it could differentiate mouse models of HER2-overexpressing breast cancer with various Tz sensitivities.

The development of noninvasive imaging methods that could identify nonresponders earlier during therapeutic intervention is of great clinical interest because of the desire to spare patients any delay in the initiation of effective combination therapies. For example, Kramer-Marek et al. reported the feasibility of Affibody (Affibody AB)–based (<sup>18</sup>F-FBEM-HER<sub>2,342</sub>) PET for quantifying changes in ErbB2 (HER2/neu) expression and predicting the response to Tz in mouse (BT474) breast cancer xenografts (36). In addition to immunohistochemical correlation of the overall decrease in <sup>18</sup>F-FBEM-HER<sub>2,342</sub> Affibody uptake with a tumor response and downregulation of ErbB2 expression, their work also reaffirmed that the number of vessels in a tumor could act as a

**TABLE 3**  
Imaging Modalities

Modality	Signal/contrast	Translational	Preclinical	Sensitivity*	Resolution	Depth	Quantitative	Target	Acquisition time (s)	Cost†
PET	<sup>11</sup> C, <sup>13</sup> N, <sup>15</sup> O, <sup>18</sup> F, <sup>64</sup> Cu, <sup>68</sup> Ga, <sup>89</sup> Zr, <sup>124</sup> I	Yes	Yes	1	1–2 mm	No limit	Yes (very good)	Molecular	10–100	\$\$\$
SPECT	<sup>99m</sup> Tc, <sup>123</sup> I/ <sup>125</sup> I, <sup>201</sup> Tl, <sup>111</sup> In, <sup>177</sup> Lu, <sup>67</sup> Ga, <sup>133</sup> Xe	Yes	Yes	10 <sup>-1</sup> –10 <sup>-2</sup>	<1 mm	No limit	Yes (good)	Molecular	100–1,000	\$\$
MRI	Hydrogen, gadolinium, magnetic or paramagnetic particles	Yes	Yes	10 <sup>-5</sup>	10–100 μm	No limit	Yes (fair)	Anatomic, molecular	100–1,000	\$\$\$
MR spectroscopy	Hyperpolarization ( <sup>13</sup> C, <sup>15</sup> N, <sup>129</sup> Xe, <sup>3</sup> He)	Yes	Yes	<10 <sup>-5</sup>	5 mm	No limit	Yes (fair)	Molecular	100–1,000	\$\$\$
Ultrasound	Echoes, microbubbles	Potential	Yes	10 <sup>-3</sup>	50 μm	Centimeter	Yes (poor)	Anatomic, molecular	<1	\$\$
Bioluminescence	Luciferase (reporter gene)	No	Yes	1–10 <sup>2†</sup>	<10 mm	Centimeter	Yes (poor to fair)‡	Molecular	1–10	\$\$
Fluorescence	Fluorescent proteins, fluorochromes, quantum dots	Potential	Yes	10 <sup>-2</sup> –1 <sup>†</sup>	2–3 mm	<1 cm	Yes (poor to fair)‡	Molecular	1–10	\$

\*Relative to that of PET.

†For high-resolution, small-animal imaging systems (clinical imaging systems differ). Cost is based on purchase price of imaging systems in United States. \$=low cost; \$\$=intermediate cost; \$\$\$=high cost.

‡Depth-dependent.

useful prognostic marker for a treatment response. Moreover, molecular imaging with PET could serve as a valuable strategy for predicting the tumor response to Tz.

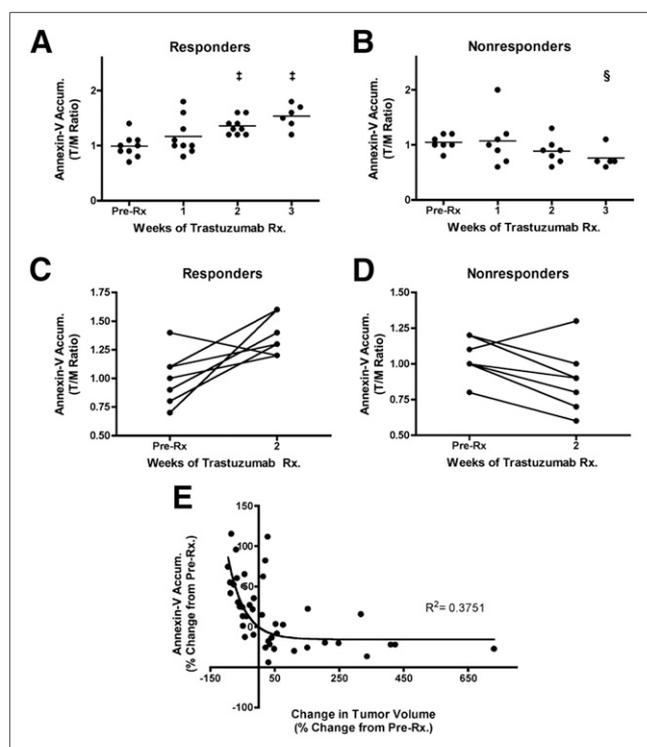
Our laboratory has also evaluated a suite of translational, non-invasive molecular imaging metrics in an attempt to predict the response to Tz in preclinical mouse models of HER2-overexpressing breast cancer (37). In that study, mammary tumors from MMTV/HER2 transgenic female mice were transplanted into syngeneic female mice. Tumor cell apoptosis was assessed with an optical imaging tracer based on annexin V, glucose metabolism was assessed with  $^{18}\text{F}$ -FDG PET, and proliferation was assessed with  $^{18}\text{F}$ -FLT PET. The results of that study suggested that molecular imaging of apoptosis accurately predicted Tz-induced regression of HER2-positive tumors and warranted clinical exploration as a means to predict an early response to neoadjuvant Tz (Fig. 1). That noninvasive imaging of apoptosis was superior to both  $^{18}\text{F}$ -FDG PET and  $^{18}\text{F}$ -FLT PET in that setting was a factor that

prompted our development of other imaging probes for apoptosis, including a caspase-targeted PET tracer (38). In an analogous study seeking the elucidation of mechanisms that affect Tz efficacy, Miller et al. used combined imaging of apoptosis and glucose metabolism to determine that the inhibition of both mammalian target of rapamycin and phosphoinositide 3-kinase was required for the growth-inhibitory effect of HER2 antagonists (39).

In addition to HER2-enriched breast cancer, BLBCs and luminal breast cancers have been the focus of other studies. BLBCs, such as the TNBC BRCA1-related subtype, often exhibit high rates of glucose uptake that can be noninvasively assessed with  $^{18}\text{F}$ -FDG PET. Using a GEMM (MMTV-Cre*Brca1*<sup>fl/fl</sup>*Trp53*<sup>+/-</sup>) to study and develop polyadenosine ribose polymerase and phosphoinositide 3-kinase combination therapies, Juvekar et al. showed how the inhibition of  $^{18}\text{F}$ -FDG uptake may serve as an early and predictive pharmacodynamic marker of a treatment response (40). Because of de novo and acquired resistance of luminal breast cancers to endocrine therapy, there remains a need to identify which ER- or PR-positive tumors are most likely to respond to therapy (fulvestrant). Using small-animal PET and a preclinical mouse model of human luminal breast cancer (GEMM), Fowler et al. identified a profile that delineated fulvestrant-sensitive from fulvestrant-resistant ER $\alpha$ - and PR-positive tumors before changes in tumor size were apparent (41). Noninvasive imaging of baseline tumoral  $^{18}\text{F}$ -FES uptake and initial changes in  $^{18}\text{F}$ -fluorofuranylprogesterone uptake could be used as a prognostic strategy to identify responders and nonresponders to endocrine therapy at an early stage of disease. Although  $^{18}\text{F}$ -FDG remains the clinical standard for PET imaging in oncology, recent work by Prignon et al. with a PDX mouse model (human ZR75-1) revealed the potential of gastrin-releasing peptide receptor PET with the  $^{68}\text{Ga}$ -labeled bombesin analog AMBA ( $^{68}\text{Ga}$ -DOTA-CHCO-Gly-4-aminobenzyl-Gln-Trp-Ala-Val-Gly-His-Leu-Met-NH) as a possible alternative (42).  $^{68}\text{Ga}$ -AMBA not only visualized but also monitored the therapeutic response (tamoxifen) better than  $^{18}\text{F}$ -FDG.

Another research area in which noninvasive molecular imaging and preclinical mouse models have natural synergy is the coclinical trial concept. A coclinical oncology trial can be loosely defined as coupled laboratory and clinical investigations developed around a common hypothesis that features a clinical trial in patients with cancer and analogous, complementary studies in mouse models that have been carefully selected to recapitulate elements of individual patients (43,44). Such concepts may have a stated therapeutic goal, although studies exploring cancer biomarkers or cancer risk could also be envisioned. Essentially any of the preclinical mouse models discussed here could be deployed as part of a coclinical trial, but each system has unique considerations, and some may be more suitable than others. For example, parallel clinical trials and studies in PDX models of individual patient tumors could be attractive. The predictive clinical value of these approaches, which are sometimes referred to as avatar models, is being explored (45) but currently remains unknown. A complicating factor is the rather long lead time required to generate PDX models compared with the time line of typical clinical trials, so that coclinical trials are not necessarily parallel in a temporal sense.

Nonetheless, even with the limitations inherent in cell line xenograft models, coclinical imaging trials can be instrumental in elucidating mechanisms of response and potential resistance and rationalizing clinical results in focused populations. For example, with the aim of determining whether the small-molecule mitogen-activated



**FIGURE 1.** Longitudinal assessment of NIR700-annexin V accumulation (Accum.) in MMTV/HER2 tumors, illustrating efficacy of Tz treatment (Rx.). (A) NIR700-annexin V accumulation ratios (tumor to normal muscle [T/M]) increased and attained statistical significance after 2 wk of Tz treatment in responding MMTV/HER2 tumor-bearing mice. Pre-Rx=before treatment.  $\dagger P < 0.001$ , as determined with paired *t* test. (B) NIR700-annexin V did not accumulate in nonresponding tumors, and overall uptake of imaging probe decreased as tumors progressed.  $\S P < 0.05$ . (C and D) On individual basis, NIR700-annexin V uptake (pretreatment imaging compared with 2-wk posttreatment imaging) significantly increased after treatment in most responding MMTV/HER2 tumor-bearing mice (C). However, nonresponding cohorts typically showed decreased NIR700-annexin V accumulation after 2 wk of Tz treatment (D). (E) Magnitude of tumor regression was proportional to degree of uptake of NIR700-annexin V. Nonlinear inverse correlation was observed between change in NIR700-annexin V uptake from baseline and change in tumor volume from baseline when all imaging time points and all mice were considered. (Reprinted with permission of (37).)

protein kinase kinase inhibitor selumetinib could increase the efficacy of standard-of-care chemotherapy (docetaxel), Chen et al. demonstrated in a murine lung cancer coclinical trial that the concomitant loss of either of 2 clinically relevant tumor suppressors (*p53* or *Lkb1*) markedly impaired the response of *Kras* mutant cancers to docetaxel monotherapy (46). The investigators showed that the addition of selumetinib provided substantial benefit for mice with lung cancer driven by *Kras* or *Kras* and *p53* mutations but not for mice with *Kras* and *Lkb1* mutations, which possessed primary resistance to this combination therapy. Further pharmacodynamic studies with PET helped identify, in both mice and patients, biologic markers that provided a rationale for the differential efficacies of these therapies in the different genotypes. That study highlighted the rationale for synchronous coclinical trials to not only anticipate the results of ongoing human clinical trials but also generate clinically relevant hypotheses to iteratively inform the design and analysis of human studies.

With an emphasis on predicting the response to the monoclonal antibody cetuximab in a preclinical mouse model xenograft of wild-type *KRAS* colorectal cancer, we recently reported that <sup>18</sup>F-FLT PET closely reflected prosurvival responses to targeted therapy mediated by the activity of phosphoinositide 3-kinase—mammalian target of rapamycin; we concluded that this imaging marker could play a novel and potentially critical role in predicting tumors that exhibit molecular features reflecting recalcitrance to mitogen-activated protein kinase-targeted therapy (47). In parallel, for a focused cohort of patients with wild-type *KRAS* rectal cancer, we evaluated the early molecular response with clinical <sup>18</sup>F-FLT PET after one cycle of cetuximab (48). Interestingly, <sup>18</sup>F-FLT uptake was reduced in 3 of 4 patients after monotherapy, yet 1 patient exhibited elevated <sup>18</sup>F-FLT uptake at this time point. Overall, we interpret these findings to suggest that <sup>18</sup>F-FLT PET reflected mechanistic insight into tumor responses to cetuximab given that imaging accurately predicted post-treatment levels of p27, an inhibitor of the cell cycle, in agreement with the results of parallel preclinical studies (47). In fact, <sup>18</sup>F-FLT PET results supported by Ki-67 and p27 immunoreactivity suggested that this 1 patient did not experience an antiproliferation benefit from cetuximab monotherapy. We envision that in future studies with similar regimens, <sup>18</sup>F-FLT PET after cetuximab treatment could be incorporated as a noninvasive assay to predict the patients most likely to benefit from this drug before changes in tumor size are ascertained by anatomic imaging measures, such as CT.

## CONCLUSION

Although all model systems remain an approximation of human disease, mouse models of cancer are indispensable resources that facilitate and accelerate research and ultimately have tremendous potential to affect the care of patients with breast cancer and other solid tumors. Mouse models provide a unique means to elucidate causative and contributory factors related to cancer as well as to provide useful platforms for evaluating novel therapies with translational potential. Molecular imaging is a complementary tool that allows investigators to maximize the potential utility of mouse models in laboratory and translational settings.

## DISCLOSURE

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