

# Treatment with $^{177}\text{Lu}$ -DOTATOC of Patients with Relapse of Neuroendocrine Tumors After Treatment with $^{90}\text{Y}$ -DOTATOC

Flavio Forrer, MD<sup>1</sup>; Helena Uusijärvi, MSc<sup>2</sup>; Daniel Storch, PhD<sup>3</sup>; Helmut R. Maecke, PhD<sup>3</sup>; and Jan Mueller-Brand, MD<sup>1</sup>

<sup>1</sup>Institute of Nuclear Medicine, University Hospital, Basel, Switzerland; <sup>2</sup>Department of Radiation Physics, Göteborg University, Göteborg, Sweden; and <sup>3</sup>Division of Radiological Chemistry, University Hospital, Basel, Switzerland

Therapy with [ $^{90}\text{Y}$ -DOTA<sup>0</sup>, Tyr<sup>3</sup>]-octreotide (DOTATOC, where DOTA = tetraazacyclododecane tetraacetic acid and TOC = D-Phe-c(Cys-Tyr-D-Trp-Lys-Thr-Cys)-Thr(ol)) is established for the treatment of metastatic neuroendocrine tumors. Nevertheless, many patients experience disease relapse, and further treatment may cause renal failure. Trials with  $^{177}\text{Lu}$ -labeled somatostatin analogs showed less nephrotoxicity. We initiated a prospective study with  $^{177}\text{Lu}$ -DOTATOC in patients with relapsed neuroendocrine tumors after  $^{90}\text{Y}$ -DOTATOC treatment.

**Methods:** Twenty-seven patients, pretreated with  $^{90}\text{Y}$ -DOTATOC, were included. The mean time between the last treatment with  $^{90}\text{Y}$ -DOTATOC and  $^{177}\text{Lu}$ -DOTATOC was  $15.4 \pm 7.8$  mo (SD). All patients were injected with 7,400 MBq of  $^{177}\text{Lu}$ -DOTATOC. Restaging was performed after 8–12 wk. Hematotoxicity or renal toxicity of World Health Organization grade 1 or 2 was not an exclusion criterion. **Results:** Creatinine levels increased significantly, from  $66 \pm 14$   $\mu\text{mol/L}$  to  $100 \pm 44$   $\mu\text{mol/L}$  ( $P < 0.0001$ ), after  $^{90}\text{Y}$ -DOTATOC therapy. The mean hemoglobin level dropped from  $131 \pm 14$  to  $117 \pm 13$  g/L ( $P < 0.0001$ ) after  $^{90}\text{Y}$ -DOTATOC therapy.  $^{177}\text{Lu}$ -DOTATOC therapy was well tolerated. No serious adverse events occurred. The mean absorbed doses were  $413 \pm 159$  mGy for the whole body,  $3.1 \pm 1.5$  Gy for the kidneys, and  $61 \pm 5$  mGy for the red marrow. After restaging, we found a partial remission in 2 patients, a minor response in 5 patients, stable disease in 12 patients, and progressive disease in 8 patients. Mean hemoglobin and creatinine levels did not change significantly. **Conclusion:**  $^{177}\text{Lu}$ -DOTATOC therapy in patients with relapse after  $^{90}\text{Y}$ -DOTATOC treatment is feasible, safe, and efficacious. No serious adverse events occurred.

**Key Words:**  $^{177}\text{Lu}$ -DOTATOC;  $^{90}\text{Y}$ -DOTATOC; radionuclide therapy; somatostatin; neuroendocrine tumors

J Nucl Med 2005; 46:1310–1316

Treatment options for metastatic neuroendocrine tumors are limited. Trials with long-acting somatostatin analogs (octreotide or lanreotide), interferon- $\alpha$ , or chemotherapy, mostly 5-fluorouracil based, have shown rather low response rates with regard to cytoreduction (1–3). However, somatostatin analogs inhibit flushing, diarrhea, and other symptoms of the carcinoid syndrome (4,5). A retrospective case series in 1996 suggested that survival has increased since the introduction of somatostatin analogs (6). In the last few years, treatment strategies with radiolabeled somatostatin analogs have shown more convincing results (7–13). The 3 most investigated radiopharmaceuticals in clinical trials are [ $^{111}\text{In}$ -diethylenetriaminepentaacetic acid (DTPA)<sup>0</sup>]-octreotide, [ $^{90}\text{Y}$ -DOTA<sup>0</sup>, Tyr<sup>3</sup>]-octreotide (DOTATOC, where DOTA = tetraazacyclododecane tetraacetic acid and TOC = D-Phe-c(Cys-Tyr-D-Trp-Lys-Thr-Cys)-Thr(ol)), and [ $^{177}\text{Lu}$ -DOTA<sup>0</sup>, Tyr<sup>3</sup>, Thr<sup>8</sup>]-octreotide (DOTATATE) (7–13).

Initial studies with high activities of [ $^{111}\text{In}$ -DTPA<sup>0</sup>]-octreotide were encouraging. Although partial remissions were not found, favorable effects on symptoms were reported. Many patients in poor clinical condition were included (12,13). For the other 2 radiopeptides, a high overall response rate and distinct improvement in quality of life could be demonstrated (10,14). Although the results with these radiolabeled somatostatin analogs seem promising, relapses occur after a certain time in many patients (15), and further treatment with  $^{90}\text{Y}$ -DOTATOC can cause renal failure (16). According to data in the literature, the median time to progression after treatment with  $^{90}\text{Y}$ -DOTATOC is 30 mo (17,18). For  $^{177}\text{Lu}$ -DOTATATE, the median time to progression had not been reached at 25 mo after the start of therapy (19).

In comparison to  $^{90}\text{Y}$ , which is a high-energy, pure  $\beta$ -emitter ( $E_{\text{max}}$ , 2.25 MeV),  $^{177}\text{Lu}$  is a low-energy  $\beta$ -emitter (maximum electron energy [ $E_{\text{max}}$ ], 0.497 MeV) with a small  $\gamma$ -component that is suitable for scintigraphic imaging (133 keV [6.5%]; 208 keV [11%]) without using a radionuclide surrogate. Small peptides such as DOTATOC are reabsorbed by the proximal tubules of the kidneys (20). The

Received Dec. 20, 2004; revision accepted Apr. 7, 2005.

For correspondence or reprints contact: Flavio Forrer, MD, Institute of Nuclear Medicine, University Hospital Basel, Petersgraben 4, CH-4031 Basel, Switzerland.

E-mail: fforrer@uhbs.ch

damage that can occur after treatment with  $^{90}\text{Y}$ -DOTATOC is in the glomeruli. It is conceivable that the length of the  $\beta$ -particles influences kidney toxicity. This hypothesis is supported by animal experiments (21).

Renal toxicity has been identified as the dose-limiting factor of  $^{90}\text{Y}$ -DOTATOC therapy (9). In a study with [ $^{177}\text{Lu}$ -DOTA<sup>0</sup>, Tyr<sup>3</sup>, Thr<sup>8</sup>]-octreotide, no nephrotoxicity was found (11). Although no long-term outcome data concerning nephrotoxicity after treatment with  $^{90}\text{Y}$ -DOTATOC or  $^{177}\text{Lu}$ -DOTATATE are available, we assumed that  $^{177}\text{Lu}$  might be less nephrotoxic than  $^{90}\text{Y}$ .

In vitro, a higher affinity to the somatostatin receptor subtype 2 was demonstrated for Y<sup>(III)</sup>-DOTATATE than for Y<sup>(III)</sup>-DOTATOC (22). However, because in humans a better tumor-to-kidney-ratio was found for  $^{111}\text{In}$ -DOTATOC than for  $^{111}\text{In}$ -DOTATATE (23), we decided to use DOTATOC as a DOTA-peptide conjugate labeled to  $^{177}\text{Lu}$  in patients with relapse.

We initiated a prospective feasibility study with  $^{177}\text{Lu}$ -DOTATOC in patients with relapse of neuroendocrine tumors after successful treatment with  $^{90}\text{Y}$ -DOTATOC. Because of the assumption that  $^{177}\text{Lu}$ -DOTATOC is less nephrotoxic than  $^{90}\text{Y}$ -DOTATOC, we did not consider World Health Organization (WHO) grade 1 or 2 renal toxicity, based on creatinine levels, to be an exclusion criterion, nor were patients with WHO grade 1 or 2 hematotoxicity excluded. Human data for  $^{177}\text{Lu}$ -DOTATATE show promising results and a tolerable toxicity for injected activities of around 22.2–29.6 GBq (600–800 mCi) in patients who are not pretreated with peptide receptor-mediated radionuclide therapy (11). But for  $^{177}\text{Lu}$ -DOTATOC, we could find no human data in the literature. Because our patients were pretreated with peptide receptor-mediated radionuclide therapy, and because no dosimetric data were available, we started with a relatively low injected activity. We treated all patients with a fixed activity of 7,400 MBq (200 mCi).

## MATERIALS AND METHODS

The study was approved by the local ethical committee and the Swiss authorities. All patients gave written informed consent.

### Patients

Twenty-seven patients (17 men and 10 women) were included. The mean age ( $\pm$  SD) was  $58 \pm 9$  y. All patients had a histologically confirmed metastatic neuroendocrine tumor, which was progressive at the time of treatment. The progression was demonstrated by CT or ultrasound in all patients. All patients were pretreated with  $^{90}\text{Y}$ -DOTATOC and benefited from this treatment. Benefit was defined as complete remission, partial remission, minor response, or stable disease according to the WHO standard criteria. For the partial remissions in our collective, the mean time to progression was  $15.4 \pm 6.9$  mo. Many patients were pretreated with surgery, chemotherapy, octreotide, or interferon as well. Details are listed in Table 1.

Pretherapeutically, all patients underwent staging with CT,  $^{111}\text{In}$ -pentetreotide scintigraphy (OctreoScan; Mallinckrodt, Inc.),

complete blood counts, and blood chemistry. The findings of  $^{111}\text{In}$ -octreotide scintigraphy were strongly positive in all patients. None of the patients had been treated with the long-acting somatostatin analogs octreotide (Sandostatin LAR; Novartis) or lanreotide (Somatuline; Ipsen) during at least the last 6 wk before receiving  $^{177}\text{Lu}$ -DOTATOC or with short-acting octreotide (Sandostatin s.c.; Novartis) during the last 3 d before receiving  $^{177}\text{Lu}$ -DOTATOC.

### Radiotracer

DOTATOC was synthesized as previously described (24). For radiolabeling DOTATOC, we used lyophilized kits containing DOTATOC, gentisic acid, inositol, and sodium ascorbate (pH 5.0).

We added 7,400 MBq of  $^{177}\text{LuCl}_3$  (IDB Holland BV) to the lyophilized DOTATOC kits and heated them for 30 min at 95°C. After they had been cooled to room temperature, a quality control check was performed using an analytic high-performance liquid chromatograph (model 1050; Hewlett Packard) with a radiometric detector (model LB 506 C1; Berthold). Additionally, the labeling yield was determined by separation of bound and free  $^{177}\text{Lu}^{3+}$  using Sep-Pak C18 cartridges (Waters). After  $^{177}\text{Lu}$ -DOTATOC had been loaded onto the cartridge, the free  $^{177}\text{Lu}$  was eluted with sodium acetate buffer (0.4 mol/L, pH 5.0), and bound  $^{177}\text{Lu}$ -DOTATOC was then eluted with methanol. Each fraction was measured on a  $\gamma$ -counter.

### Treatment

The patients were hospitalized for 3 d in accordance with the legal requirements for radioactivity control. A single, fixed-activity treatment protocol was used. The injected activity was 7,400 MBq of  $^{177}\text{Lu}$ -DOTATOC. An infusion of 2,000 mL of an amino acid solution (Ringer's lactated Hartmann solution, Proteinsteryl [B. Braun Medical AG] HEPA 8%, Mg 5-Sulfat [B. Braun Medical AG]) to inhibit tubular reabsorption of the radiopeptide was started 30 min before administration of the radiopharmaceutical and was continued until up to 3 h after administration of the radiopharmaceutical (20,25,26).

### Imaging and Dosimetry

Imaging was performed with a dual-head Prism 2000 XP camera (Picker) using parallel-hole, medium-energy, general-purpose collimators. The windows were centered over both  $^{177}\text{Lu}$  photon peaks (113 and 208 keV) with a window width of 20%. In 4 patients, whole-body scans for dosimetry were obtained immediately and at 4, 24, and 28 h after injection. The acquisition time for the whole-body scans was 15 min. In all other patients, whole-body scans and spot images were obtained after 24 and 28 h for control of biodistribution.

To determine blood clearance, we drew blood samples from 4 patients at 5, 10, 30, and 60 min and at 12, 4, 24, and 28 h after injection. Radioactivity in blood was measured with a  $\gamma$ -counter (Cobra II; Canberra-Packard).

For dosimetric calculations, regions of interest were drawn manually on the whole-body scans from anterior and posterior projections. Those parts of the kidneys showing tumor infiltration or superimposition were excluded from the evaluation of organ uptake. The Odyssey XP program (Philips Electronics N.V.) was used. Background regions were placed close to the regions of interest for background correction. The geometric mean value between anterior and posterior was taken and corrected for attenuation and physical decay. Whole-body activity acquired immediately after injection was defined as 100% of the injected activity.

**TABLE 1**  
Patient Characteristics

Patient no.	Sex	Age (y)	Diagnosis	Date of diagnosis	Classification 3 mo after $^{90}\text{Y}$ -DOTATOC	Pretreatments (except $^{90}\text{Y}$ -DOTATOC)	Number of $^{90}\text{Y}$ -DOTATOC treatments	Total dose of $^{90}\text{Y}$ -DOTATOC/ $\text{m}^2$	Months since last treatment with $^{90}\text{Y}$ -DOTATOC
1	F	71	Neuroendocrine tumor of small bowel	Nov 01	Stable disease	—	2	200	16
2	M	55	Neuroendocrine tumor of pancreas	Jun 97	Partial remission	S, Oct, Ch	3	300	11
3	M	63	Neuroendocrine tumor of pancreas	Dec 00	Stable disease	Ch, INF	2	200	18
4	F	74	Neuroendocrine tumor of appendix	Jan 00	Stable disease	S	3	200	8
5	F	55	Neuroendocrine tumor of pancreas	Feb 01	Partial remission	S	2	200	22
6	F	60	Neuroendocrine tumor with unknown primary	Dec 99	Partial remission	Oct	2	200	16
7	F	59	Neuroendocrine tumor of stomach	Nov 00	Minor response	S	3	300	10
8	F	51	Neuroendocrine tumor of rectum	Aug 95	Partial remission	S	2	200	22
9	M	56	Neuroendocrine tumor of small bowel	June 02	Partial remission	Oct	2	200	9
10	M	60	Neuroendocrine tumor of small bowel	Mar 99	Partial remission	S	2	200	11
11	F	65	Neuroendocrine tumor of pancreas	Dec 98	Partial remission	Oct, INF	2	200	10
12	M	38	Neuroendocrine tumor with unknown primary	Mar 98	Stable disease	Oct, Ch, $^{186}\text{Re}$ -HEDP	3	300	18
13	M	58	Neuroendocrine tumor with unknown primary	May 01	Minor response	S	2	200	17
14	M	54	Neuroendocrine tumor of small bowel	Oct 00	Minor response	S	2	200	24
15	M	63	Neuroendocrine tumor of pancreas (insulinoma)	Sep 01	Partial remission	Oct	2	200	13
16	M	76	Neuroendocrine tumor of pancreas	Nov 98	Partial remission	S	2	200	32
17	M	49	Neuroendocrine tumor of pancreas	Dec 01	Partial remission	Oct	2	200	9
18	M	43	Neuroendocrine tumor of pancreas	Feb 01	Partial remission	S, Ch	3	300	14
19	F	66	Neuroendocrine tumor of pancreas	Aug 96	Partial remission	S	2	200	22
20	M	49	Neuroendocrine tumor of rectum	Jun 00	Partial remission	S, Oct, INF	2	200	11
21	F	46	Neuroendocrine tumor of unknown origin, most likely insulinoma	Jan 97	Stable disease	Oct	3	300	32
22	M	51	Neuroendocrine tumor of small bowel	Apr 00	Stable disease	S	3	300	5
23	M	65	Neuroendocrine tumor of small bowel	Feb 02	Stable disease	S	2	200	4
24	F	60	Neuroendocrine tumor of small bowel	Oct 99	Stable disease	S	3	300	22
25	M	65	Neuroendocrine tumor of bronchus	Mar 99	Partial remission	Ch	3	300	6
26	M	50	Neuroendocrine tumor of pancreas	May 98	Stable disease	S, Oct, INF, Ch	2	200	27
27	M	51	Neuroendocrine tumor of pancreas (gastrinoma)	Feb 00	Stable disease	S	2	200	8

S = surgery; Oct = octreotide (long- or short-acting) or lanreotide; Ch = chemotherapy; INF = interferon.

Data were expressed as percentage injected activity as a function of time. The resulting time–activity data were fitted to a monoexponential curve for the whole-body clearance and to a biexponential curve for the kidneys to calculate residence time. Published radiation dose factors were used to calculate the absorbed doses (27).

The activity in blood was fitted to a biexponential curve to determine the residence time in blood. The dose to the red marrow was calculated from the residence time in blood, assuming no specific uptake, a uniform distribution of activity, and clearance from red marrow equal to that from blood. A correction factor of 1 was used as described by Cremonesi et al. (28).

### Evaluation of Results and Assessment of Clinical Benefit

Pretherapeutically, patients underwent disease staging. Eight to 12 wk after peptide receptor–mediated radionuclide therapy, tumor growth and tumor response were monitored by CT or ultrasound. Tumor response was defined according to the WHO standard criteria. In addition, complete blood cell and platelet counts were obtained every 2 wk for at least 8 wk or until resolution of nadir. Side effects were scored according to the WHO criteria.

### Statistics

Paired *t* testing was used to determine statistical significance. Differences at the 95% confidence level ( $P < 0.05$ ) were considered significant.

## RESULTS

The study included 27 patients with metastasized tumors, 11 of whom had neuroendocrine pancreatic tumors and 16, neuroendocrine tumors of other sites (7 of the small bowel, 4 of unknown primary, 2 of the rectum, 1 of the stomach, 1 of the bronchus, and 1 of the appendix). Detailed patient characteristics are listed in Table 1.

### Evaluation of Long-Term Outcome After $^{90}\text{Y}$ -DOTATOC Therapy

All patients had progressive disease before  $^{90}\text{Y}$ -DOTATOC therapy and before  $^{177}\text{Lu}$ -DOTATOC therapy. One criterion for inclusion into this study was benefit from  $^{90}\text{Y}$ -DOTATOC therapy. Of the 27 patients studied, we found a partial remission in 14, a minor response in 3, and stable disease in 10 at 3 mo after the last treatment with  $^{90}\text{Y}$ -DOTATOC.

The mean time between the last treatment with  $^{90}\text{Y}$ -DOTATOC and the treatment with  $^{177}\text{Lu}$ -DOTATOC was  $15.4 \pm 7.8$  mo (range, 4–32 mo).

Before therapy with  $^{90}\text{Y}$ -DOTATOC, the mean hemoglobin level was  $131 \pm 14$  g/L, the mean thrombocyte level was  $306 \pm 123 \times 10^9/\text{L}$ , and the mean creatinine level was  $66 \pm 14$   $\mu\text{mol}/\text{L}$ . Before treatment with  $^{177}\text{Lu}$ -DOTATOC, the level of hemoglobin was significantly lower:  $117 \pm 13$  g/L ( $P < 0.0001$ ). The thrombocyte counts ( $263 \pm 83 \times 10^9/\text{L}$ ) were lower as well but did not show significant changes. Creatinine levels increased to  $100 \pm 44$   $\mu\text{mol}/\text{L}$ . The difference was significant ( $P < 0.0001$ ), although a high SD was seen. Details are listed in Table 2.

### Labeling of $^{177}\text{Lu}$ -DOTATOC

The quality control testing of  $^{177}\text{Lu}$ -DOTATOC was done using 2 independent systems; the labeling efficiency was determined by analytic high-performance liquid chromatography and ranged from 99% to 100%. When the labeling yield was less than 99.5%, DTPA (1 mmol/L, pH 7.4) was added.

### Dosimetry

Dosimetric calculations were performed on 4 patients and resulted in a mean whole-body absorbed dose of  $413 \pm 159$  mGy. The mean absorbed dose to the kidney was  $3.1 \pm 1.5$  Gy, and that to the red marrow was  $61 \pm 5$  mGy.

### Treatment with $^{177}\text{Lu}$ -DOTATOC

The treatment was well tolerated. No severe adverse events occurred. Nausea and vomiting within the first 24 h after treatment occurred in 8 patients (30%). All cases of nausea and vomiting could be treated successfully with domperidone and ondansetron. Some increase of pain at the site of the tumor was experienced by 5 patients (19%) within the first 48 h after treatment. All cases could be controlled with analgesics. No other nonhematologic toxicity was found.

As expected,  $^{177}\text{Lu}$ -DOTATOC showed a high specific uptake in somatostatin receptor–positive tumors. The  $\gamma$ -component of  $^{177}\text{Lu}$  allowed acquisition of scintigraphic images of a high level of quality (Fig. 1A).

At the time of restaging, we found no change in creatinine levels. With these findings, late nephrotoxicity cannot be excluded definitely. But if nephrotoxicity arises, an increase in creatinine levels has usually been found 3 mo after treatment (16). Before treatment, 9 patients had grade 1 anemia and 1 had grade 2. Eight to 12 wk after treatment, 8 patients had grade 1 anemia, 1 had grade 2, and 1 had grade 3. The mean level of thrombocytes decreased significantly, from  $263 \pm 82$  to  $197 \pm 70 \times 10^9/\text{L}$  ( $P < 0.01$ ). Details are listed in Table 2.

Eight to 12 wk after treatment, 8 patients did not show a benefit from peptide receptor–mediated radionuclide therapy and continued to have progressive disease. Nineteen patients (70%) showed a benefit: 12 with stabilization of the disease, 5 with a minor response, and 2 with partial remission. Scans of patient 9, with a minor response, are shown in Figure 1, and corresponding anatomic images are shown in Figure 2. According to the referring physicians, the general condition of the patients improved for 15 (56%), remained the same for 11 (41%), and decreased for only 1 (4%).

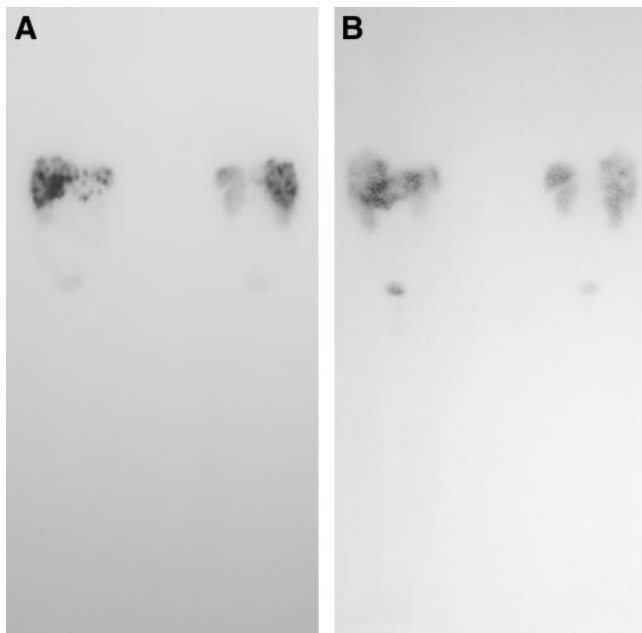
The subgroup of patients who achieved partial remission after  $^{90}\text{Y}$ -DOTATOC ( $n = 14$ ) included 2 with partial remission, 5 with a minor response, and 7 with stable disease after  $^{177}\text{Lu}$ -DOTATOC treatment. In no patient of this subgroup did the disease remain progressive.

The overall time of follow-up was 4–17 mo (mean,  $11.0 \pm 4.0$  mo). The time of remission (stable disease, minor response, or partial remission) ranged from 4 to 13

**TABLE 2**  
Blood Values and Clinical Results

Patient no.	Hemoglobin (g/L)			Thrombocytes ( $\times 10^9/L$ )			Creatinine ( $\mu\text{mol/L}$ )			Clinical result after treatment with $^{177}\text{Lu}$ -DOTATOC
	Before $^{90}\text{Y}$ -DOTATOC treatment	Before $^{177}\text{Lu}$ -DOTATOC treatment	After $^{177}\text{Lu}$ -DOTATOC treatment	Before $^{90}\text{Y}$ -DOTATOC treatment	Before $^{177}\text{Lu}$ -DOTATOC treatment	After $^{177}\text{Lu}$ -DOTATOC treatment	Before $^{90}\text{Y}$ -DOTATOC treatment	Before $^{177}\text{Lu}$ -DOTATOC treatment	After $^{177}\text{Lu}$ -DOTATOC treatment	
1	104	87	72	406	372	206	83	133	118	Stable disease
2	116	102	105	176	188	143	77	117	124	Stable disease
3	133	115	115	302	251	122	68	94	62	Progressive disease
4	116	115	108	285	188	200	60	111	75	Progressive disease
5	127	119	116	129	173	95	52	65	70	Partial remission
6	107	107	109	311	152	133	54	59	62	Stable disease
7	115	100	102	268	239	108	33	60	63	Progressive disease
8	133	116	115	218	303	190	45	58	43	Minor response
9	145	137	116	226	182	132	74	96	97	Minor response
10	135	134	115	218	214	329	61	58	68	Minor response
11	111	110	112	679	299	127	57	62	61	Minor response
12	136	123	128	227	462	252	61	84	82	Stable disease
13	126	127	112	275	234	208	71	88	84	Progressive disease
14	160	140	135	243	244	219	71	96	114	Progressive disease
15	110	105	80	348	187	109	65	197	167	Partial remission
16	141	109	104	241	282	241	70	137	112	Stable disease
17	146	131	130	279	245	239	88	90	102	Minor response
18	149	102	114	455	366	215	69	120	104	Stable disease
19	124	129	129	521	334	243	49	51	64	Stable disease
20	140	128	126	404	158	138	77	128	111	Stable disease
21	134	100	101	237	329	283	57	248	269	Stable disease
22	144	114	123	339	409	342	81	88	120	Progressive disease
23	146	123	118	231	162	157	65	73	71	Stable disease
24	137	103	118	218	225	186	78	101	97	Progressive disease
25	134	137	136	301	300	267	91	145	147	Stable disease
26	138	125	127	181	222	138	77	67	70	Progressive disease
27	134	114	105	544	378	303	49	79	49	Stable disease
Mean $\pm$ SD	131 $\pm$ 14	117 $\pm$ 13	114 $\pm$ 14	306 $\pm$ 123	263 $\pm$ 82	197 $\pm$ 70	66 $\pm$ 14	100 $\pm$ 44	97 $\pm$ 45	





**FIGURE 1.** Anterior whole-body scans of patient 9. (A) Scan obtained 24 h after injection of 7,400 MBq of  $^{177}\text{Lu}$ -DOTATOC shows several abdominal metastases (liver, spleen, and lymph nodes). (B) Scan obtained 6 h after injection of 185 MBq  $^{111}\text{In}$ -Octreoscan 6 mo after treatment with 7,400 MBq of  $^{177}\text{Lu}$ -DOTATOC shows a decrease in tumor load. Especially, a reduction of liver metastases can be seen.

mo (mean,  $8.3 \pm 3.4$  mo). Presently, 8 patients are still without disease progression; therefore, the overall time to progression will increase further.

## DISCUSSION

The labeling of  $^{177}\text{Lu}$ -DOTATOC was straightforward, and its application was safe. No serious adverse events occurred.

The group of 27 patients was selected from patients treated earlier with  $^{90}\text{Y}$ -DOTATOC; all showed stable disease, a minor response, or partial remission after treatment but experienced relapse rather early and a short time to

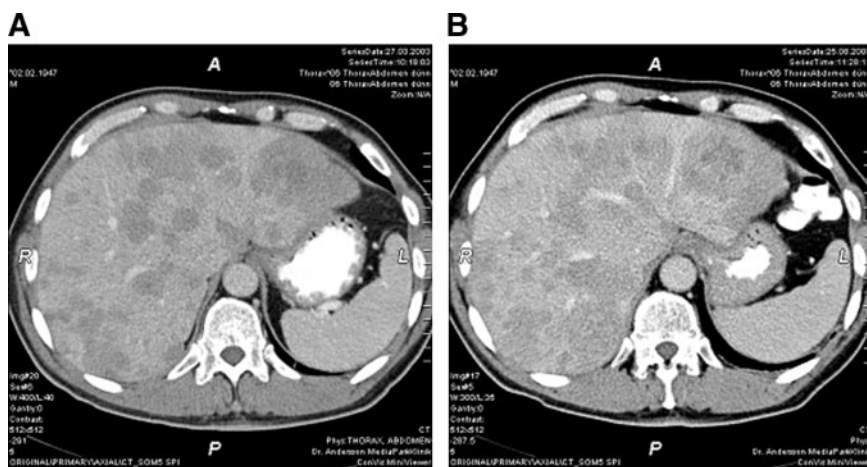
progression ( $15 \pm 7.8$  mo). The time to progression after treatment with  $^{90}\text{Y}$ -DOTATOC in these patients was shorter than has been reported in the literature (18).

The absorbed doses to normal organs, especially to the kidneys, were low. In previous clinical trials, a cumulative absorbed dose to the kidneys of 23 or 27 Gy was taken as the maximum tolerated dose (11,26,29). But these values are controversial (30) because they are derived from external-beam radiation (31) with a potentially different mechanism. The low absorbed doses are compatible with the fact that no increase of creatinine levels was found.

When the clinical results after  $^{177}\text{Lu}$ -DOTATOC are correlated with the clinical results after  $^{90}\text{Y}$ -DOTATOC, a good response after  $^{90}\text{Y}$ -DOTATOC (partial remission in our patients) is obviously a positive prognostic factor for further radionuclide treatment. Some tumors seem to be especially suited for peptide receptor-mediated radionuclide therapy. Two reasons are possible: There could be a high density of somatostatin receptors leading to a high radiation-absorbed dose, or there could be some tumors that are more radiosensitive than others.

The general condition of the patients was not scaled before treatment with  $^{177}\text{Lu}$ -DOTATOC but was worse than before the first treatment with  $^{90}\text{Y}$ -DOTATOC because all patients had a longer history of illness and experienced progression after remission or stabilization after  $^{90}\text{Y}$ -DOTATOC therapy. The total amount of injected activity (fixed activity, 7,400 MBq of  $^{177}\text{Lu}$ -DOTATOC) was rather low because we included patients with an increased serum creatinine level or with a diminished hemoglobin level.

The toxicity in patients with increased creatinine or diminished hemoglobin levels was not different from that in patients with normal values. We found no severe toxicity and, especially, no increase of creatinine levels. Therefore, we conclude that the treatment with  $^{177}\text{Lu}$ -DOTATOC in cases of relapse after treatment with  $^{90}\text{Y}$ -DOTATOC is feasible and safe. Clinical improvement could be observed, and most patients benefited from the treatment.



**FIGURE 2.** CT scans of patient 9. (A) Nine months after treatment with  $^{90}\text{Y}$ -DOTATOC and 4 wk before treatment with  $^{177}\text{Lu}$ -DOTATOC, CT scan shows multiple liver metastases. (B) Corresponding CT scan 4 mo after treatment with  $^{177}\text{Lu}$ -DOTATOC shows minor response.

With regard to the radiobiologic mechanisms of  $^{177}\text{Lu}$  and  $^{90}\text{Y}$ , the combination of the 2 radionuclides could improve the biologic efficiency. The high-energy  $\beta$ -emitter  $^{90}\text{Y}$  deposits high doses to tumors and also to areas with low target protein expression and to heterogeneous tumor tissue. Because of the strong crossfire effect, parts of the tumor that either are poorly differentiated and therefore have a low density of somatostatin receptors or are poorly vascularized can be reached.  $^{177}\text{Lu}$ , on the other hand, seems to have more favorable physical characteristics for the treatment of small tumors (32–34).

Another mechanism that is not well defined is the so-called low-dose hypersensitivity-inducible radioresistance hypothesis as described by Joiner et al. (35). The administration of only a low absorbed dose at a low dose rate might be more effective in inducing tumor cell death than are higher absorbed doses.

## CONCLUSION

Treatment with  $^{177}\text{Lu}$ -DOTATOC of patients who were pretreated with  $^{90}\text{Y}$ -DOTATOC is feasible and appears to be safe even when patients present with grade 1 or 2 hematotoxicity or nephrotoxicity. Clinical response at a low injected activity is promising. A good response after treatment with  $^{90}\text{Y}$ -DOTATOC is a positive predictor for successful treatment with  $^{177}\text{Lu}$ -DOTATOC.

## ACKNOWLEDGMENTS

We thank all supporting personnel of the Division of Radiologic Chemistry and the Institute of Nuclear Medicine for their expert help and effort, and we gratefully thank Martin Speiser and Marlies Meury for technical assistance and nursing. We are indebted to Daniela Biondo, Priska Preisig, Nadia Mutter, Pia Powell, and Stefan Good for nuclear pharmacy support. This work was supported by the Swiss National Science Foundation (grant 31-452969/97) and was performed within the COST B12 action.

## REFERENCES

- Faiss S, Pape UF, Bohmig M, et al. International lanreotide and interferon alfa study group: prospective, randomized, multicenter trial on the antiproliferative effect of lanreotide, interferon alfa, and their combination for therapy of metastatic neuroendocrine gastroenteropancreatic tumors. *J Clin Oncol*. 2003;21:2689–2696.
- Kaltsas GA, Mukherjee JJ, Isidori A, et al. Treatment of advanced neuroendocrine tumours using combination chemotherapy with lomustine and 5-fluorouracil. *Clin Endocrinol (Oxf)*. 2002;57:169–183.
- Moertel CG, Kvols LK, O'Connell MJ, et al. Treatment of neuroendocrine carcinomas with combined etoposide and cisplatin: evidence of major therapeutic activity in the anaplastic variants of these neoplasms. *Cancer*. 1991;68:227–232.
- Dharmathaphorn K, Sherwin RS, Cataland S, et al. Somatostatin inhibits diarrhea in the carcinoid syndrome. *Ann Intern Med*. 1980;92:68–69.
- Vinik A, Moattari AR. Use of somatostatin analog in management of carcinoid syndrome. *Dig Dis Sci*. 1989;34(suppl 3):14S–27S.
- Anthony LB, Martin W, Delbeke D, et al. Somatostatin receptor imaging: predictive and prognostic considerations. *Digestion*. 1996;57(suppl 1):50–53.
- Waldherr C, Pless M, Maecke H, et al. Tumor response and clinical benefit in neuroendocrine tumors after 7.4 GBq  $^{90}\text{Y}$ -DOTATOC. *J Nucl Med*. 2002;43:610–616.
- Paganelli G, Bodei L, Handkiewicz-Junak D, et al.  $^{90}\text{Y}$ -DOTA-D-Phe<sup>1</sup>-Tyr<sup>3</sup>-octreotide in therapy of neuroendocrine malignancies. *Biopolymers*. 2002;66:393–398.
- Otte A, Herrmann R, Heppeler A, et al. Yttrium-90 DOTATOC: first clinical results. *Eur J Nucl Med*. 1999;26:1439–1447.
- Waldherr C, Pless M, Maecke HR, et al. The clinical value of [ $^{90}\text{Y}$ -DOTA]-D-Phe<sup>1</sup>-Tyr<sup>3</sup>-octreotide ( $^{90}\text{Y}$ -DOTATOC) in the treatment of neuroendocrine tumours: a clinical phase II study. *Ann Oncol*. 2001;12:941–945.
- Kwekkeboom D, Bakker W, Kam BLR, et al. Treatment of patients with gastro-entero-pancreatic (GEP) tumours with the novel radiolabelled somatostatin analogue [ $^{177}\text{Lu}$ -DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotate. *Eur J Nucl Med*. 2003;30:417–422.
- Anthony LB, Woltering EA, Espanan GD, et al. Indium-111-pentetreotide prolongs survival in gastroenteropancreatic malignancies. *Semin Nucl Med*. 2002;32:123–132.
- Valkema R, de Jong M, Bakker WH, et al. Phase I study of peptide receptor radionuclide therapy with [ $^{111}\text{In}$ -DTPA<sup>0</sup>]octreotide: the Rotterdam experience. *Semin Nucl Med*. 2002;32:110–122.
- Teunissen J, Kwekkeboom D, Krenning E. Quality of life in patients with gastro-entero-pancreatic tumors treated with [ $^{177}\text{Lu}$ -DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotate. *J Clin Oncol*. 2004;22:2724–2729.
- Krenning EP, Kwekkeboom DJ, Valkema, et al. Peptide receptor radionuclide therapy. *Ann N Y Acad Sci*. 2004;1014:234–245.
- Moll S, Nickel V, Mueller-Brand J, et al. A new cause of renal thrombotic microangiopathy: Yttrium-90-DOTATOC internal radiotherapy. *Am J Kidney Dis*. 2001;37:847–851.
- Valkema R, Pauwels S, Kvols L, et al. Long-term follow-up of a phase I study of peptide receptor radionuclide therapy (PRRT) with [ $^{90}\text{Y}$ -DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotide in patients with somatostatin receptor positive tumours [abstract]. *Eur J Nucl Med Mol Imaging*. 2003;30(suppl 2):S232.
- Kwekkeboom DJ, Mueller-Brand J, Paganelli G, et al. Overview of results of peptide receptor radionuclide therapy with 3 radiolabeled somatostatin analogs. *J Nucl Med*. 2005;46(suppl 1):62S–66S.
- Kwekkeboom DJ, Bakker WH, Teunissen JJM, et al. Treatment with Lu-177-DOTA-Tyr<sup>3</sup>-octreotate in patients with neuroendocrine tumors: interim results [abstract]. *Eur J Nucl Med Mol Imaging*. 2003;30(suppl 2):S231.
- Behr TM, Goldenberg DM, Becker W. Reducing the renal uptake of radiolabeled antibody fragments and peptides for diagnosis and therapy: present status, future prospects and limitations. *Eur J Nucl Med*. 1998;25:201–212.
- Konijnenberg MWE, Bijster M, Krenning E, et al. A stylized computational model of the rat for organ dosimetry in support of preclinical evaluations of peptide receptor radionuclide therapy with  $^{90}\text{Y}$ ,  $^{111}\text{In}$ , or  $^{177}\text{Lu}$ . *J Nucl Med*. 2004;45:1260–1269.
- Reubi J, Schaefer J, Waser B, et al. Affinity profiles for human somatostatin receptor subtypes SST1–SST5 of somatostatin radiotracers selected for scintigraphic and radiotherapeutic use. *Eur J Nucl Med*. 2000;27:273–282.
- Forrer F, Uusijärvi H, Waldherr C, et al. A comparison of  $^{111}\text{In}$ -DOTATOC and  $^{111}\text{In}$ -DOTATATE: biodistribution and dosimetry in the same patients with metastatic neuroendocrine tumours. *Eur J Nucl Med Mol Imaging*. 2004;31:1257–1262.
- Wild D, Schmitt JS, Ginj M, et al. DOTA-NOC, a high-affinity ligand of somatostatin receptor subtypes 2, 3 and 5 for labelling with various radiometals. *Eur J Nucl Med Mol Imaging*. 2003;30:1338–1347.
- Rolleman EJ, Valkema R, de Jong M, et al. Safe and effective inhibition of renal uptake of radiolabelled octreotide by a combination of lysine and arginine. *Eur J Nucl Med Mol Imaging*. 2003;30:9–15.
- Jamar F, Barone R, Mathieu I, et al.  $^{86}\text{Y}$ -DOTA<sup>0</sup>-D-Phe<sup>1</sup>-Tyr<sup>3</sup>-octreotide (SMT487): a phase I clinical study—pharmacokinetics, biodistribution and renal protective effect of different regimens of amino acid co-infusion. *Eur J Nucl Med Mol Imaging*. 2003;30:510–518.
- RADAR medical procedure radiation dose calculator and consent language generator. Stanford Dosimetry, LLC, Web site. Available at: <http://www.doseinfo-radar.com/RADARDoseRiskCalc.html>. Accessed June 6, 2005.
- Cremonesi M, Ferrari M, Zoboli S, et al. Biokinetics and dosimetry in patients administered with  $^{111}\text{In}$ -DOTA-Tyr<sup>3</sup>-octreotide: implications for internal radiotherapy with  $^{90}\text{Y}$ -DOTATOC. *Eur J Nucl Med*. 1999;26:877–886.
- Helisch A, Forster GJ, Reber H, et al. Pre-therapeutic dosimetry and biodistribution of  $^{86}\text{Y}$ -DOTA-Phe<sup>1</sup>-Tyr<sup>3</sup>-octreotide versus  $^{111}\text{In}$ -pentetreotide in patients with advanced neuroendocrine tumours. *Eur J Nucl Med Mol Imaging*. 2004;31:1386–1392.
- Forrer F, Mueller-Brand J, Maecke H. Pre-therapeutic dosimetry with radiolabelled somatostatin analogues in patients with advanced neuroendocrine tumours. *Eur J Nucl Med Mol Imaging*. 2005;32:511–512.
- Emami B, Lyman J, Brown A, et al. Tolerance of normal tissue to therapeutic irradiation. *Int J Radiat Oncol Biol Phys*. 1991;21:109–122.
- Bernhardt P, Forsell-Aronsson E, Jacobsson L, et al. Low-energy electron emitters for targeted radiotherapy of small tumours. *Acta Oncol*. 2001;40:602–608.
- de Jong M, Breeman WA, Bernard BF, et al. [ $^{177}\text{Lu}$ -DOTA<sup>0</sup>,Tyr<sup>3</sup>] octreotate for somatostatin receptor-targeted radionuclide therapy. *Int J Cancer*. 2001;92:628–633.
- Capello A, Krenning EP, Breeman WA, et al. Tyr<sup>3</sup>-octreotide and Tyr<sup>3</sup>-octreotate radiolabeled with  $^{177}\text{Lu}$  or  $^{90}\text{Y}$ : peptide receptor radionuclide therapy results in vitro. *Cancer Biother Radiopharm*. 2003;18:761–768.
- Joiner MC, Marples B, Lambin P, et al. Low-dose hypersensitivity: current status and possible mechanisms. *Int J Radiat Oncol Biol Phys*. 2001;49:379–389.