¹²³I-ADAM Binding to Serotonin Transporters in Patients with Major Depression and Healthy Controls: A Preliminary Study

Andrew B. Newberg, MD¹; Jay D. Amsterdam, MD²; Nancy Wintering, MSW¹; Karl Ploessl, PhD¹; Randel L. Swanson, BA¹; Justine Shults, PhD³; and Abass Alavi, MD¹

¹Division of Nuclear Medicine, Department of Radiology, University of Pennsylvania School of Medicine, Philadelphia, Pennsylvania; ²Depression Research Unit, Department of Psychiatry, University of Pennsylvania School of Medicine, Philadelphia, Pennsylvania; and ³Center for Clinical Epidemiology and Biostatistics, University of Pennsylvania, Philadelphia, Pennsylvania

The serotonergic system may play an important role in the pathophysiology of major depressive disorder (MDD). Few imaging studies have examined serotonin transporter (SERT) binding in patients with MDD. We hypothesized that SERT binding activity may be altered in patients with MDD. This study compared SERT binding in patients with MDD with that in healthy controls. Methods: We studied SERT activity in 7 patients (22-50 y old) with moderate to severe MDD and 6 healthy controls (24-56 y old) using 123I-labeled 2-((2-((dimethylamino)methyl) phenyl)thio)-5-iodophenylamine (ADAM) and SPECT brain imaging. Subjects underwent SPECT 4 h after intravenous administration of 185 MBq (5 mCi) of ¹²³I-ADAM. Images were reconstructed in the axial plane, and region-ofinterest demarcations were placed on the midbrain, medial temporal region, and basal ganglia region. Results: 1231-ADAM binding to SERT in the midbrain was significantly lower (P = 0.01) in MDD patients (1.81 \pm 0.07) than in controls (1.95 \pm 0.13). Age-adjusted ¹²³I-ADAM binding in the midbrain correlated significantly with scores on the Hamilton Depression Rating Scale (r = 0.82; P = 0.02). A significant negative correlation was observed between ¹²³I-ADAM SERT binding in the midbrain and age in the healthy control group (r = 0.98; P = 0.0002). SERT binding in the basal ganglia or medial temporal regions of interest did not significantly differ between groups. Conclusion: The findings from this preliminary study suggest the possibility of decreased SERT binding in the midbrain region of patients with MDD, with the degree of decrease correlating with the severity of depressive symptoms. There also appears to be an age-related decline in midbrain ¹²³I-ADAM SERT binding in healthy subjects.

Key Words: depression; serotonin transporter; SPECT; ADAM J Nucl Med 2005; 46:973–977

The serotonergic system may play a key role in the pathophysiology of major depressive disorder (MDD). In particular, the serotonin transporter (SERT) may serve an important function as a key site of antidepressant drug action. Several postmortem studies have found a reduction in the density of SERT sites in the brains of depressed patients (1,2). In vivo neuroimaging studies of SERT binding in patients with MDD have been few.

The development of radiopharmaceuticals selective for imaging SERT has been of considerable interest. Selective serotonin reuptake inhibitors (SSRIs) such as fluoxetine, sertraline, citalopram, and paroxetine have been labeled with ¹¹C (3-6) or ¹⁸F (7-9) for PET, but many of these agents were found not to be suitable for imaging SERT because of their high degree of nonspecific binding to other receptors (10-12). Previously, $[^{11}C](+)McN5652$ has been used for quantitative PET of SERT in the human brain (13,14). Using $[^{11}C](+)$ McN5652, members of our research group recently demonstrated that drug-free patients with MDD had significantly more SERT binding sites in the left frontal cortex (P = 0.013) and right cingulate cortex (P =(0.043) than did healthy controls (15). However, [¹¹C](+)McN5652 is difficult to produce, and its uptake can be difficult to quantify. ¹¹C-labeled 3-amino-4-(2-dimethylaminomethylphenylsulfanyl)-benzonitrile (DASB) is another PET compound that binds to SERT and has recently been used in human studies. An ¹¹C-DASB PET study found no significant difference in SERT binding between drug-free MDD patients and healthy controls (16). However, this same study did show an 80% decrease in SERT binding in MDD patients after treatment with paroxetine for 4 wk. Further studies will need to evaluate the ability of ¹¹C-DASB to quantitatively measure SERT binding (17).

¹²³I-ADAM (2-((2-((dimethylamino)methyl)phenyl)thio)-5iodophenylamine) is a selective radioligand for imaging SERT using SPECT and provides several important

Received Dec. 10, 2004; revision accepted Feb. 16, 2005.

For correspondence or reprints contact: Andrew B. Newberg, MD, Division of Nuclear Medicine, 110 Donner Building, H.U.P., 3400 Spruce St., Philadelphia, PA 19104.

E-mail: andrew.newberg@uphs.upenn.edu

advantages over other PET and SPECT tracers (*18*). In contrast to PET agents for SERT, ¹²³I-ADAM can be manufactured in kits and has a long shelf life that simplifies the radiolabeling process. ¹²³I-ADAM was initially developed at the University of Pennsylvania and is now being used to study SERT in humans. This paper presents preliminary data on the use of ¹²³I-ADAM in patients with MDD compared with healthy controls.

MATERIALS AND METHODS

Subjects. All subjects signed consent forms approved by the human Institutional Review Board. The study was conducted under Investigational New Drug application 65,542 from the Food and Drug Administration. We studied 7 patients with unipolar MDD using the same ¹²³I-ADAM imaging protocol as for the control subjects. The patients with MDD included 3 men and 4 women ranging in age from 22 to 50 y (mean, 38 y). They were clinically assessed by a psychiatrist, met the DSM-IV criteria for MDD (19), and had had the disease for a mean of 10 y (range, 6 mo to 20 y). All patients received a score of ≥ 16 on the 21-item Hamilton Depression Rating Scale (20) and had been free from prior psychotropic medication for ≥ 5 elimination half-lives or ≥ 2 wk for monoamine oxidase inhibitors. More specifically, 2 patients were drug naïve, 2 patients had discontinued their non-SSRI antidepressant more than 3 wk previously, and the other 3 patients had discontinued their SSRI antidepressant at least 6 mo previously. Patients were excluded if they had a primary Axis I diagnosis other than MDD, current alcohol or drug abuse, a significant medical (e.g., uncontrolled diabetes or hypertension), neurologic (e.g., seizure disorder), or comorbid psychiatric (e.g., dementia) condition that could affect cerebral function or were actively suicidal or required hospitalization.

We also studied 6 healthy controls, who included 2 men and 4 women ranging in age from 24 to 56 y (mean, 37 y). Control subjects had no prior history of MDD or any other DSM-IV Axis I diagnosis. All received a 21-item Hamilton score of ≤ 6 , and none had a significant underlying medical, neurologic, or psychiatric condition that could affect cerebral function. Subjects were excluded if they had a history of allergic reaction to iodine or shellfish. Women in both groups could not be breast feeding, and any woman of childbearing age had to have tested negatively for pregnancy within 48 h before injection of ¹²³I-ADAM.

Scanning Procedure. Subjects were initially given 18 drops of concentrated Lugol's solution to block the thyroid. An intravenous catheter was inserted and capped. Intravenous administration of 185 MBq (5 mCi) of ¹²³I-ADAM then took place. Because ¹²³I-ADAM was administered under an Investigational New Drug application, all subjects had their vital signs and electrocardiogram monitored from 10 min before injection to 10 min after injection. After injection of the ¹²³I-ADAM, the intravenous catheter was removed. Subjects returned to the nuclear medicine imaging room 4 h after ¹²³I-ADAM administration for a 60-min brain scan. The reason for this delay is that kinetic modeling data on ¹²³I-ADAM have demonstrated that binding potential can be estimated using the reference regions without arterial sampling at this time (*21*). After the scan, the imaging was complete and patients could begin treatment for MDD from the referring physician.

Image Processing and Analysis. SPECT scans of the brain were acquired on a triple-head γ -camera equipped with ultra-high-resolution fanbeam collimators (3000XP; Picker), which provide a spatial resolution of 6.7 mm in full width at half maximum at 10 cm. Images were reconstructed using a low-pass filter and Chang's first-order attenuation correction (coefficient of 0.11 cm⁻¹). The acquisition parameters include a continuous mode with 40 projection angles over a 120° arc to obtain data in a 128 × 128 matrix with a pixel width of 2.11 mm and a slice thickness of 3.56 mm with a center of rotation of approximately 14 cm.

All SPECT images were resliced according to the anteroposterior commissure, and regions of interest (ROIs) based on a previously described template (22) were placed on the scans. The initial use of this template was based on corresponding MRI scans of several control subjects. However, MRI scans were not obtained because of the preliminary nature of this investigation and the use of larger ROIs that did not require anatomic imaging for delineation. Future studies of smaller regions may necessitate MRI coregistration. These ROIs included the basal ganglia, medial temporal region, brain stem, and cerebellum. To reduce the effects of volume averaging in the axial direction, we did not place ROIs on the slices that contained the uppermost and lowermost portions of the structures they represented, thus limiting the small ROIs to the central aspect of structures they represented. The primary outcome measure was the SERT uptake ratio, in which the ROI was compared with a reference region (cerebellum) at 4-5 h after administration, when the distribution of ¹²³I-ADAM had approached a transient, near-equilibriumlike state that reflects the k3/k4 ratio and is related to the binding potential. Use of this outcome measure allowed for a quantitative assessment of SERT binding as described previously (23).

Statistical Analysis. All statistics were analyzed using the Minitab statistical program. Measures of ¹²³I-ADAM uptake ratios in the midbrain, medial temporal lobes, and basal ganglia were compared between the MDD and control groups using the Student *t* test. Correlation coefficients between ¹²³I-ADAM uptake ratios and depression scores were determined using a linear regression model. Values were adjusted for age on the basis of the average yearly decline observed in the healthy control group.

RESULTS

In healthy controls, a significant decrease (r = -0.98; P < 0.001) in ¹²³I-ADAM binding was observed with age (Fig. 1). This decrease in ¹²³I-ADAM binding corresponded to a decrease of approximately 3.0% per decade in SERT binding. Midbrain ¹²³I-ADAM binding was significantly less in MDD patients than in healthy controls (1.81 ± 0.07 and 1.95 ± 0.13 , respectively, P = 0.01) (Fig. 2). This difference remained statistically significant (P = 0.03) even after adjustment for age, since the mean age was slightly less for the controls than for the patients (36.7 y and 38.3 y, respectively, P = 0.77). After age adjustment, 2 depression subjects had values close to the mean of controls. The 2 drug-naïve patients had the lowest uptake values. There were no such differences in SERT binding in the basal



FIGURE 1. Graph shows correlation between ¹²³I-ADAM binding in midbrain with increasing age (r = 0.98; P = 0.0002). Relative decline of 3.0% per decade was found for ¹²³I-ADAM binding.

ganglia or medial temporal lobe. Symptom severity as determined by the Hamilton Depression Rating Scale correlated significantly with age-adjusted ¹²³I-ADAM uptake ratios in the midbrain (r = 0.82; P = 0.02) (Fig. 3).

DISCUSSION

The results from this preliminary study revealed agerelated changes in our limited sample of healthy volunteers, with ¹²³I-ADAM uptake ratios in the midbrain correlating negatively with age for an average decline of 3.0% per decade. This result is comparable to one reported earlier (24) for a study using ¹²³I-labeled 2β-carbomethoxy-3β-(4iodophenyl)tropane (β-CIT) and is slightly lower than pre-



FIGURE 2. Transaxial ¹²³I-ADAM SPECT images are shown of a control subject (A) and a depression subject (B). Long arrows point to midbrain uptake, which is markedly less in depression subject than in control subject. Nonspecific binding in cerebellum is observed below midbrain uptake (posteriorly). Short arrows point to ¹²³I-ADAM binding in medial temporal region.



FIGURE 3. Graph correlates ¹²³I-ADAM binding in midbrain and degree of depressive symptoms as measured by Hamilton score. Binding correlated significantly (r = 0.82; P = 0.02) with lower uptake in patients with worst depressive symptoms.

vious findings from our research group with respect to the dopamine transporter using ^{99m}Tc-TRODAT SPECT (25).

The serotonergic system likely plays an important role in the pathophysiology of depression. This likelihood is based both on the efficacy data from SSRIs in the treatment of patients with depression and on postmortem studies and studies of animal models (2,26). Neuroimaging studies of the serotonergic system have been an important focus of recent research. Several SPECT and PET studies have demonstrated changes in the serotonergic system in patients with MDD. A SPECT study using ¹²³I-β-CIT revealed lower binding in the brain stem in drug-free depressed patients than in controls (27). Another ¹²³I-β-CIT SPECT study of depression, in patients with Wilson's disease, showed a significant correlation between Hamilton scores and SERT binding (28). A small 123I-B-CIT SPECT study of patients with seasonal affective disorder reported less SERT availability in patients than in healthy controls (29). However, ¹²³I-β-CIT binds to both serotonin and dopamine transporters. Findings are therefore more difficult to interpret, since the uptake in various regions on ¹²³I-β-CIT SPECT scans represents a combination of both dopamine and serotonin binding. In addition, ¹²³I-β-CIT typically requires a 2-d imaging protocol. For these reasons, there has been a strong impetus to find a better candidate for imaging SERT with SPECT. The current study describes initial work with a new ¹²³I isotope, ADAM, that selectively binds SERT. It is hoped that this tracer, if proven useful, may be more widely available for use in both research and clinical work. SPECT is generally more economical and widely available than PET, despite the relatively costly ¹²³I isotope.

The results from this preliminary investigation showed significantly lower midbrain ¹²³I-ADAM binding in MDD patients than in healthy controls. The possible pathophysiologic mechanism includes 1 of 2 possibilities. One scenario would be an overall decrease in serotonin levels and downregulation of the SERT concentration to attempt to

maintain normal levels of serotonin in the synapse. The alternative would be a disease-specific decrease in SERT concentration (either a direct decrease or a decrease related to other neurotransmitter systems) that may result in dysregulation of serotonin concentrations within the synapse. Future studies will be required to delineate these possible mechanisms. There were no such differences in binding in the basal ganglia or medial temporal regions, possibly because of the high variability among these values. This variability has also been demonstrated in test/retest studies of ¹²³I-ADAM uptake (30). These findings are somewhat consistent with those previously reported for a study using ¹²³I-β-CIT; that study found SERT binding to decrease in patients with depression. However, the studies with ¹²³I-β-CIT reported some decrease in SERT binding in the basal ganglia-a finding that we did not observe. This finding may in part be explained by the higher specificity of ¹²³I-ADAM for SERT, since ¹²³I-β-CIT also binds to the dopamine transporter. Thus, measures of striatal uptake of ¹²³Iβ-CIT may represent both serotonin and dopamine transporter binding. A PET study with ¹¹C-DASB did not show significant differences between depression patients and control subjects in cortical regions (16). In the present study, we did not observe significant differences in ¹²³I-ADAM in the temporal regions or striatum. The midbrain decrease in the present study does not agree with the data obtained with ¹¹C-DASB, although in that study the analysis of the midbrain was not as clearly determined. Therefore, future studies will be necessary to corroborate the current findings and to more fully evaluate other structures such as the basal ganglia. With regard to a more direct comparison of ¹²³I-ADAM and ¹¹C-DASB, both show high binding affinity for SERT (dissociation constant = 0.15 nmol/L for ADAM (31); inhibition constant = 1.1 nmol/L for DASB (32)). Both ligands also display highly selective binding toward SERT (1,000-fold greater than the binding toward other monoamine transporters). When the 2 tracers are compared with regard to the signal (specific vs. nonspecific binding), which can affect the imaging contrast, DASB has a higher ratio (9.0 to 1) than does ADAM (5.5 to 1) in studies on rats. However, for the purposes of the areas described in this paper, ¹²³I-ADAM was able to measure age-related changes in uptake and differences between controls and patients with depression.

Our preliminary study also found a significant correlation between age-adjusted ¹²³I-ADAM binding in the midbrain and Hamilton scores. This correlation further supports the relationship between decreased SERT binding and depression. However, because all studies of SERT binding in depression, including ours, have had small samples, more detailed measures in a larger number of subjects will be needed to elucidate the relationship between SERT binding and depression, particularly the extent of depressive symptoms. These findings support the potential role of SERT in the pathophysiology of depression and depressive symptoms. These findings also suggest that ¹²³I-ADAM SPECT may be useful in furthering understanding of the role of the serotonin system in depression and normal aging.

ACKNOWLEDGMENTS

This research was supported by grant R01 AG-17524 from the National Institutes of Health.

REFERENCES

- Arango V, Underwood MD, Gubbi AV, Mann JJ. Localized alterations in preand postsynaptic serotonin binding sites in the ventrolateral prefrontal cortex of suicide victims. *Brain Res.* 1995;688:121–133.
- Leake A, Fairbairn AF, McKeith IG, Ferrier IN. Studies on the serotonin uptake binding site in major depressive disorder and control post-mortem brain: neurochemical and clinical correlates. *Psychiatry Res.* 1991;39:155–165.
- Kilbourn MR, Hara MS, Mulholland GK, Jewett DM, Kuhl DE. Synthesis of radiolabeled inhibitors of pre-synaptic monoamine uptake systems: [¹⁸F]GBR 13119 (DA), [¹¹C]nisoxetine (NE) and [¹¹C]fluoxetine (5-HT). J Label Compds Radiopharm. 1989;26:412–414.
- Shiue C-Y, Shiue GG, Cornish KG, O'Rourke MF. PET study of the distribution of [¹¹C]fluoxetine in a monkey brain. *Nucl Med Biol.* 1995;22:613–616.
- Hume SP, Lammertsma AA, Bench CJ, et al. Evaluation of S-^{[11}C]citalopram as a radioligand for in vitro labeling of 5-hydroxytryptamine uptake sites. *Nucl Med Biol.* 1992;19:851–855.
- Dannals RF, Ravert HT, Wilson AA, Wagner Jr HN. Synthesis of a selective serotonin uptake inhibitor: [¹¹C]citalopram. *Appl Radiat Isot*. 1990;41:541–543.
- Das MK, Mukherjee J. Radiosynthesis of [F-18]fluoxetine as a potential radiotracer for serotonin reuptake sites. *Appl Radiat Isot.* 1993;44:835–842.
- Suehiro M, Wilson AA, Scheffel U, Dannals RF, Ravert HT, Wagner HN Jr. Radiosynthesis and evaluation of N-(3-[¹⁸F]fluoropropyl)-paroxetine as a radiotracer for in vivo labeling of serotonin uptake sites by PET. *Nucl Med Biol.* 1991;18:791–796.
- Hammadi A, Crouzel C. Synthesis of [¹⁸F]-(s)-fluoxetine, a selective serotonin uptake inhibitor. J Labelled Compds Radiopharm. 1993;32:703–710.
- Crouzel C, Guillaume M, Barre L, Lemaire C, Pike VW. Ligands and tracers for PET studies of the 5-HT system: current status. *Nucl Med Biol.* 1992;19:857– 870.
- Fletcher A, Pike VW, Cliffe IA. Visualization and characterization of 5-HT receptors and transporters in vivo and in man. Semin Neurosci. 1995;7:421–431.
- Scheffel U, Dannals RF, Suehiro M, et al. Development of PET/SPECT ligands for the serotonin transporter. *NIDA Res Monogr.* 1994;138:111–130.
- Suehiro M, Scheffel U, Dannals RF, Ravert HT, Ricaurte GA, Wagner HN. A PET radiotracer for studying serotonin uptake sites: carbon-11-McN-5652Z. *J Nucl Med.* 1993;34:120–127.
- Szabo Z, Kao PF, Mathews WB, et al. Positron emission tomography of 5-HT reuptake sites in the human brain with [¹¹C]McN5652 extraction of characteristic images by artificial neural-network analysis. *Behav Brain Res.* 1995;73:221–224.
- Reivich M, Amsterdam JD, Brunswick DJ, Yann Shiue C. PET brain imaging with [(11)C](+)McN5652 shows increased serotonin transporter availability in major depression. J Affect Disord. 2004;82:321–327.
- Meyer JH, Wilson AA, Ginovart N, et al. Occupancy of serotonin transporters by paroxetine and citalopram during treatment of depression: a [(11)C]DASB PET imaging study. *Am J Psychiatr.* 2001;158:1843–1849.
- Ichise M, Liow JS, Lu JQ, et al. Linearized reference tissue parametric imaging methods: application to [¹¹C]DASB positron emission tomography studies of the serotonin transporter in human brain. J Cereb Blood Flow Metab. 2003;23:1096– 1112.
- Oya S, Choi SR, Hou C, et al. 2-((2-((dimethylamino)methyl)phenyl)thio)-5iodophenylamine (ADAM): an improved serotonin transporter ligand. *Nucl Med Biol.* 2000;27:249–254.
- American Psychiatric Association. *Diagnostic and Statistical Manual of Mental Disorders: DSM-IV.* 4th ed. Washington, DC: American Psychiatric Association; 1994.
- Moberg PJ, Lazarus LW, Mesholam RI, et al. Comparison of the standard and structured interview guide for the Hamilton Depression Rating Scale in depressed geriatric inpatients. *Am J Geriatr Psychiatry*. 2001;9:35–40.
- Acton PD, Kushner SA, Kung MP, et al. Simplified reference region model for the kinetic analysis of [^{99m}Tc]TRODAT-1 binding to dopamine transporters in

nonhuman primates using single-photon emission tomography. *Eur J Nucl Med.* 1999;26:518–526.

- Resnick SM, Karp JS, Tretsky BI, Gur RE. Comparison of anatomically defined versus physiologically based regional localization: effects on PET-FDG quantitation. J Nucl Med. 1993;34:201–208.
- Acton PD, Choi S-R, Hou C, Ploessl K, Kung H. Quantification of serotonin transporters in nonhuman primates using [¹²³I] ADAM and SPECT. *J Nucl Med.* 2001;42:1556–1562.
- Pirker W, Asenbaum S, Hauk M, et al. Imaging serotonin and dopamine transporters with ¹²³I-beta-CIT SPECT: binding kinetics and effects of normal aging. *J Nucl Med.* 2000;41:36–44.
- Mozley PD, Acton PD, Barraclough ED, et al. Effects of age on dopamine transporters in healthy humans. J Nucl Med. 1999;40:1812–1817.
- Lira A, Zhou M, Castanon N, et al. Altered depression-related behaviors and functional changes in the dorsal raphe nucleus of serotonin transporter-deficient mice. *Biol Psychiatry*. 2003;15:54:960–971.
- 27. Malison RT, Price LH, Berman R, et al. Reduced brain serotonin transporter availability in major depression as measured by [123I]-2 beta-carbomethoxy-3

beta-(4-iodophenyl)tropane and single photon emission computed tomography. *Biol Psychiatry.* 1998;44:1090–1098.

- Eggers B, Hermann W, Barthel H, Sabri O, Wagner A, Hesse S. The degree of depression in Hamilton rating scale is correlated with the density of presynaptic serotonin transporters in 23 patients with Wilson's disease. *J Neurol.* 2003;250: 576–580.
- Willeit M, Praschak-Rieder N, Neumeister A, et al. [¹²³I]-beta-CIT SPECT imaging shows reduced brain serotonin transporter availability in drug-free depressed patients with seasonal affective disorder. *Biol Psychiatr.* 2000;47:482–489.
- Catafu AM, Perez V, Penengo MM, et al. Preliminary results of ¹²³I-ADAM SPECT long-term test-retest reliability in healthy volunteers [abstract]. *Neuro-image*. 2004;22(suppl 2):T68.
- Choi SR, Hou C, Oya S, et al. Selective in vitro and in vivo binding of [(125)I]ADAM to serotonin transporters in rat brain. *Synapse*. 2000;38:403–412.
- 32. Wilson AA, Ginovart N, Schmidt M, Meyer JH, Threlkeld PG, Houle S. Novel radiotracers for imaging the serotonin transporter by positron emission tomography: synthesis, radiosynthesis, and in vitro and ex vivo evaluation of [¹¹C]labeled 2-(phenylthio)araalkylamines. *J Med Chem.* 2000;43:3103–3110.

