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EDITORIAL

Quantitating Tumor Glucose Metabolism with FDG and PET

The glucose analog 2-[¹⁸F]fluoro-2-deoxy-D-glucose (FDG) was first utilized with positron emission tomography (PET) in humans to quantify cerebral glucose metabolism (1-3), based on a tracer kinetic method initially developed for autoradiographic rat studies with ¹⁴C-deoxyglucose by Sokoloff et al. (4). The method has been extended, with appropriate modifications, to other organ systems, including the heart (5). Because of the high glycolytic rate of many malignancies, qualitative and quantitative PET FDG imaging evaluations of tumors of the central nervous system (CNS) and other organ systems have demonstrated the potential utility of the method to detect the presence of malignant tissue and to quantify changes in tumor glycolysis during and after treatment (6). While reported quantitative PET FDG tumor studies during and after treatment are limited in number, most of these investigations have concerned

radiotherapy, although some results with chemotherapy have also been reported (7-12). The majority of quantitative PET FDG tumor studies, other than those of astrocytomas (13, 14), have been based on nonkinetic evaluations of relative tumor FDG uptake, compared either to normal tissue or to injected dose per body weight (6,7,15).

Following facilitated diffusion from plasma to tissue, FDG is phosphorylated by hexokinase and trapped intracellularly as FDG-6-PO₄ (2,4,16,17), with, for most tissues, a very slow rate of dephosphorylation. Therefore, the 511 keV photons resulting from annihilation of the positron emitted by ¹⁸F originate both from FDG distributed between plasma and tissue, and from FDG-6-PO₄ within cells. After about 40 min following intravenous injection of FDG, the majority of the ¹⁸F label in the brain, heart, and most tumors originates from intracellular FDG-6-PO₄. In tissues with low rates of glucose-6-phosphatase mediated dephosphorylation, the accumulated amount of FDG-6-PO₄ is proportional to the glycolytic rate. Since by 40 min the majority of tissue activity

is in the form of FDG-6-PO₄, images of total ¹⁸F activity represent relative rates of glycolysis. This approximation is appropriate over a wide range of glycolytic rates, although it is less accurate at very low glycolytic rates.

One may therefore analyze PET FDG tumor studies on three levels: (1) qualitative inspection of static images, taken 40 min after injection, for identification of high local activities characteristic of aggressive tumors; (2) nonkinetic quantitative analyses of relative lesion activity concentration compared to injected dose per body weight or region of interest ratio methods; and (3) kinetic evaluations of tumor glucose metabolic characteristics, utilizing either the closed-form solution for the FDG model (Equation 2 below) and a priori estimates of model parameters (i.e., rate constants) with images obtained at a single time (the autoradiographic method) or employing a dynamic acquisition sequence and directly estimating model rate constants with nonlinear regression (Equation 1 below) (MR, in units of μmol/100 g/min) (2-4).

The kinetic methods then produce estimates of the tissue glucose meta-

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bolic rates with either of the following equations:

$$MR = \frac{C_p}{LC} \frac{K_1^* k_3^*}{(k_2^* + k_3^*)} = \frac{C_p}{LC} K, \quad \text{Eq. 1}$$

$$\text{where } K = \frac{K_1^* k_3^*}{(k_2^* + k_3^*)}$$

or, the autoradiographic method:

MR =

$$\frac{C_p \left[C_p^*(T) - \frac{K_1^*}{\alpha_2 - \alpha_1} ((k_2^* - \alpha_1) e^{-\alpha_1 t} + (\alpha_2 - k_2^*) e^{-\alpha_2 t}) \otimes C_p^*(t) \right]}{(LC) \frac{k_2^* + k_3^*}{\alpha_2 - \alpha_1} (e^{-\alpha_1 t} - e^{-\alpha_2 t}) \otimes C_p^*(t)}, \quad \text{Eq. 2}$$

where \otimes denotes operation of convolution, and

$$\alpha_1 = (k_2^* + k_3^* + k_4^* - \sqrt{(k_2^* + k_3^* + k_4^*)^2 - 4k_2^* k_3^*})/2$$

$$\alpha_2 = (k_2^* + k_3^* + k_4^* + \sqrt{(k_2^* + k_3^* + k_4^*)^2 - 4k_2^* k_3^*})/2. \quad \text{Eq. 3}$$

The rate constants K_1^* and k_2^* refer to forward and reverse capillary transport of FDG, while k_3^* and k_4^* refer to phosphorylation of FDG and dephosphorylation of FDG-6-PO₄, respectively. C_p is the plasma-glucose concentration, $C_p^*(T)$ is the tissue concentration of FDG + FDG-6-PO₄ at time of imaging (T), $C_p^*(t)$ is the plasma activity concentration as a function of time (the input function), and the lumped constant (LC) is a term derived from the principles of competitive enzyme kinetics that describe the differing transport and phosphorylation characteristics of glucose and FDG (1-4).

Because the autoradiographic method (Equation 2) requires a priori population estimates of the model rate constants and the lumped constant, it is generally not applicable for neoplasms outside of the CNS for which population estimates of these parameters

are not yet available. If one assumes that LC in a given tumor is constant over time, then quantitative estimates of absolute changes in tumor glucose metabolism are possible with Equation 1 when the model rate constants are measured directly with a dynamic imaging sequence.

Enhanced aerobic glycolysis of malignant cells, first noted by Warburg (18,19), facilitates detection and quantification of tumor glucose metabolism with the FDG and PET. Advances in the understanding of the molecular biology of cancer also have implications for quantitative biochemical imaging with PET. Flier et al. (20) demonstrated that in cultured rodent fibroblasts transfected with *ras* or *src* oncogenes, the uptake of [³H]2-deoxy-D-glucose was significantly increased. The production of the transporter protein for glucose and the mRNA for this protein were also increased. Thus, amplified glucose transport capability may be one of the factors associated with the high glycolytic rate of neoplastic cells, and illustrates that tumor FDG kinetics (i.e., transport and phosphorylation) may differ from normal tissues in a variety of ways.

It is important to remember that the rate constants are not universal constants, but rather constants reflecting a particular steady state condition of transport and phosphorylation. Changes in rates of transport and metabolism occur with changes in the rate constants and precursor pool concentrations. In recognition of this, the original Sokoloff model was formulated to have a low sensitivity to the absolute value of the rate constants themselves. This was possible because the end product of metabolism, deoxyglucose-6-PO₄, was stoichiometrically accumulated and retained in tissue over the time of measurement. This permits a great deal of latitude in the use of average or population values for rate constants and in the qualitative interpretation of images. There are, however, limits to this flexibility that must be defined in various tissues and metabolic states.

In this issue of the *Journal*, Okazumi et al. (21) evaluated the FDG rate constants K_1^* , k_2^* , k_3^* , and k_4^* in 35 patients with liver tumors (23 with either hepatocellular carcinomas or cholangiocarcinomas, 10 with metastatic disease to the liver, and 2 with liver hemangiomas), in 12 normal volunteers and in 10 patients with cirrhosis of undescribed etiology. Utilizing a 60-min dynamic imaging sequence consisting of 2-min scans for the first 10-min interval and 10-min scans thereafter, they estimated the model rate constants with nonlinear regression methods, focusing their analysis on small regions of interest in areas of peak tumor metabolic activity. They did not include a tissue-blood volume term in their model, and arterial blood samples were used as the input function. Evidently to minimize the partial volume effect, all the tumors evaluated in this series were 3 cm or larger in diameter. Additionally, the authors directly assayed tissue hexokinase levels in resected specimens of hepatocellular carcinoma (seven cases), liver metastases (two cases) and one hemangioma.

While the authors investigated a variety of relationships between the rate constants in the patients in their series, the most important were the significant, if not striking, correlation between k_3^* and hexokinase activity ($r = 0.658$) in the above assayed cases, a threshold value for k_3^* of 0.025 min⁻¹, above which all lesions were malignant, k_4^* values near zero for cholangiocarcinoma and liver metastases, and k_4^* values of similar or greater magnitude than k_3^* for hepatocellular carcinoma, cirrhotic livers and controls. Because, as noted by the authors, the liver contains a high concentration of glucose-6-phosphatase activity, the relatively high values of k_4^* in tumors of liver cell origin and in normal and cirrhotic liver are not surprising. Interestingly, the variable intensity of net FDG uptake in hepatocellular carcinomas on late images could be explained by variable levels of k_4^* , even when k_3^* (and hexokinase) values were similar. The authors

proposed using the k^*_4/k^*_3 ratio as an index of differentiation of hepatocellular carcinoma (a higher value is more similar to normal liver tissue, a lower value suggests malignant dedifferentiation). The investigators also describe two cases of hepatocellular carcinoma treated with transcatheter arterial embolization (TAE) who had significant decreases in k^*_3 following intervention.

The work represents an interesting contribution to the PET FDG oncology literature, because so little published FDG kinetic data for non-CNS tumors exist. Okazumi et al. have also demonstrated the potential utility of analyzing FDG model microparameters in hepatic neoplasms, and have shown that metabolic characteristics of specific organ systems must be considered when analyzing FDG kinetics. Their results following transcatheter arterial embolization are interesting, but too limited in numbers of subjects to indicate more than the potential usefulness of FDG assays following interventions.

One omission from the results reported by Okazumi et al. was a description of the subjects' dietary states and measurements of their plasma-glucose levels. Equation 1 indicates that local glucose metabolic rates are a function of K , the plasma-glucose level and the lumped constant. While the lumped constant is not known for normal liver and liver pathological conditions, one may still assess changes in absolute rates of tumor glucose metabolism if (using Equation 1) it is assumed that LC for a given lesion does not change, and if the plasma-glucose concentration is measured. Significant variations in plasma-glucose concentrations could affect the values of the FDG rate constants.

Two of the most important applications of PET FDG studies in oncology promise to be the quantitative characterization of tumor glycolytic characteristics as a way of differentiating subtypes of neoplasms, as demonstrated by Okazumi et al., and, perhaps more importantly, quantitative

measurements of tumor glycolytic rates during and after treatment. Maximizing the accuracy and defining the precision of such measurements will be necessary, particularly if numerical results generated by one institution are to have significance for other sites. In oncology, where multicenter trials are often the only means to generate enough data to meaningfully assess clinical trials and treatment regimens, this process will be particularly important.

Factors to be considered in defining and improving the precision of measurements of tumor FDG uptake include:

1. Patient Preparation. While the impact of dietary preparation, including duration of fasting, has been evaluated for the heart and brain (5), little information exists concerning the impact of diet on FDG studies in tumors and other tissues. However, as discussed above, it is prudent to evaluate patients in a reproducible dietary state, such as fasting. With mediastinal malignancies, such as lymphomas, fasting will facilitate lesion detection by minimizing myocardial FDG uptake.

2. Tomograph Characteristics. This topic has been the subject of reviews (22), but a few critical parameters to consider include in plane and axial resolution, axial field of view (number of rings and slice thickness), and counting sensitivity. Measured attenuation correction methods are mandatory outside of the brain if truly quantitative measurements are to be performed.

A major issue is the use of whole-body PET tomography versus a limited axial range of transaxial, coronal and sagittal views. Since metastatic disease is an important factor in therapeutic decisions and prognosis, whole body tomographic studies provide a means, in a single examination, to determine the distribution of organ involvement of disease (23, 24). With FDG, these studies can be performed immediately after completing a quantitative kinetic study.

Because tumors will include a wide

range of physical sizes and will change over time, assessing the impact and, when possible, correcting for the partial volume induced errors in tumor radiopharmaceutical concentration measurements is important. Correlation and registration of PET results with anatomic imaging modalities such as MRI and CT will permit direct measurements of tumor recovery coefficients (the fraction of true isotope concentration recovered in a given region of interest). Another approach is to estimate the tumor size (and the recovery coefficient) and radioactive concentration together by assuming a defined shape or configuration of the lesion (25, 26). A third approach is to use PET dynamic imaging itself: object size (and recovery coefficient) can be included as a parameter in a kinetic model for an appropriate physically characterized tomography (27).

3. Model Formulation and Tumor Metabolic Characteristics. Nonkinetic measurements of tumor FDG uptake, such as the "standard uptake value" (SUV) and "differential uptake ratio" (DUR) (7,15) that are based on tissue activity levels and body weight are, to a certain extent, model independent. They obviate the need to address issues related to the FDG model per se, including the rate constants, lumped constant, and model configuration, but are time-dependent quantities that are still affected by all factors related to FDG kinetics and quantification. With appropriate validation and attention to reproducibility of the measurement protocol, the nonkinetic measurements have the advantage of technical simplicity. Additionally, if there is little loss of FDG-6- PO_4 from tissue (low values of k^*_4), the total tumor activity level will be proportional to the glycolytic rate. As illustrated by the work of Okazumi et al., however, some tumors may have more complex kinetics that can only be understood with a true kinetic acquisition and analytical approach. It must also be remembered that if k^*_4 is numerically small, considerably more time than a one hour acquisition

sequence is necessary to accurately estimate its value (13,28). Of course, if k^*_4 is small, it has little effect on the glycolytic estimates at shorter time intervals.

Refinements of the FDG model may, in some tumor systems, be necessary. Addition of a tissue vascular space to the model provides improved estimates of metabolism when the blood activity is a significant fraction of the tissue activity, and in addition, it yields physiological insight into the relative blood volume of tumors, as demonstrated in astrocytomas (13). Because the relative vascular volume on non-CNS tumors is probably variable in different tumors, this issue remains to be explored.

Another issue of potential significance to FDG tumor kinetic studies is tissue heterogeneity. While the effect of tissue heterogeneity has been investigated in some detail for PET measurements of cerebral blood flow with ^{15}O methods (29,30), only a few studies of tissue heterogeneity effects with cerebral deoxyglucose studies have been reported (31,32). Because some tumors will be markedly heterogeneous, understanding this effect could be important.

4. The Input Function. As discussed by Okazumi et al., the input fraction to the liver actually consists of two inputs: the hepatic arterial and portal venous systems. Approximating this input function with peripherally measured arterial concentrations is reasonable if model parameters are interpreted appropriately. The biphasic arrival of a bolus of activity to the liver permits kinetic estimations of the relative flow through the hepatic arterial and portal venous systems with tracers that are not highly extracted by the liver (33). In the context of FDG studies of hepatic neoplasms, it is the glycolytic characteristics of tumors that are of primary interest. Nevertheless, one must exercise appropriate caution interpreting the numerical value of the transport rate constant K^*_1 in this context. Model configurations incorporating the concentration gradient across the

capillary have also been developed (34) and may have utility in tumor studies.

Additional potential issues of concern relative to the input function include the advisability of approximating arterial concentrations with venous samples or arterialized venous samples, as previously investigated for kinetic studies of the brain (13). In some circumstances, the input function may be obtained directly from the images, with a rapid dynamic imaging sequence. While this is most feasible if the heart is in the field of view (35,36), modern PET systems are also capable of generating good quality input functions from the abdominal aorta, if partial volume corrections are applied (26).

All FDG tumor quantitative methods, including tracer kinetic models, must strike a balance between being comprehensive, but impractical, and

very simple, but potentially misleading. The autoradiographic FDG method has the advantage of yielding absolute estimates of glucose metabolism, but requires a priori estimates of representative population rate constants, a numerical value of the LC, and an understanding of the physiological state of tissue. These conditions are not met for oncology studies at this time. Kinetic studies with non-linear regression, like those performed by Okazumi, yield potentially useful numerical information, but are somewhat cumbersome computationally and are fairly labor-intensive in terms of regions of interest definition.

A potentially useful alternative is parametric imaging of relevant physiological and biochemical parameters. For tissues with low or defined values of k^*_4 , the Patlak graphical approach yields pixel by pixel estimates of K that are directly proportional to tissue

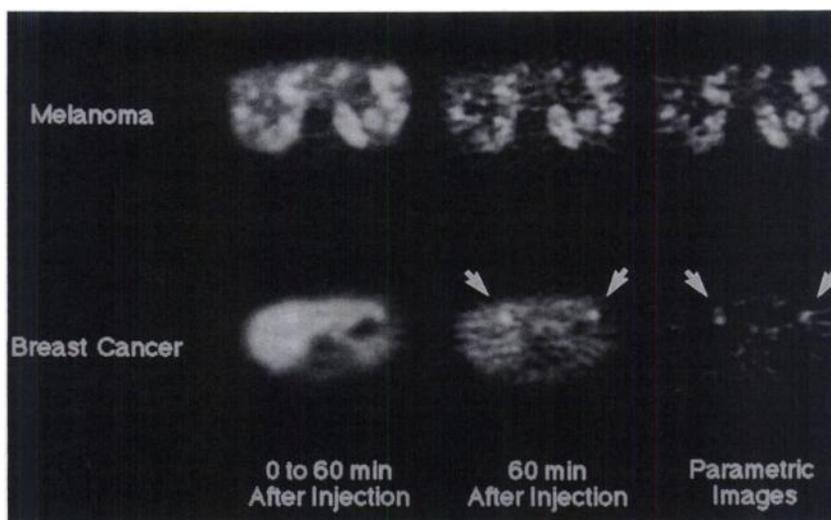


FIGURE 1 Representative transaxial FDG images from two patients with metastatic disease to the liver. The row of images above is from a patient with metastatic melanoma, and the images below are from a patient with metastatic breast cancer. Images on the left represent summed images from time of injection to 60 minutes after injection, images in the center are a single image at 60 minutes after injection, and images on the right are parametric images, scaled in units of the composite parameter K (for net uptake and phosphorylation of FDG, Equation 1) that is proportional to the glucose metabolic rate. Both patients had multiple hepatic metastases that are better defined on single frame image (center) than on the summed images (left). The melanoma patient had many metastases in the liver and spleen, while the breast cancer patient had several metastases, two of which are identified by arrows on the single frame and parametric images. The parametric images on the right illustrate better lesion contrast than the summed or single time images, because the method tends to minimize the image contribution of structures not progressively incorporating FDG, such as vascular structures and, in this case, normal liver because of its high glucose-6-phosphatase activity. The method can be appropriately modified to incorporate the effects of non-zero values of k^*_4 (35, 37, 38).

glucose metabolism (Equation 1). Choi et al. (35) have demonstrated the utility of this method in quantifying myocardial glucose metabolism.

Figure 1 illustrates this method applied to two patients with metastatic disease to the liver. The method (39) does not require nonlinear regression and is therefore computationally fast. It increases the contrast of tissues, such as tumors, with high glycolytic rates, relative to surrounding tissues, with lower metabolic rates, high local tissue vascular content, or other factors that result in a lower fraction of irreversibly bound FDG-6-PO₄ in tissue, including, in the case of normal liver, the high levels of glucose-6-phosphatase activity. Herholz (40) has also demonstrated the feasibility of parametric imaging of FDG parameters with pixel by pixel nonlinear regression, with appropriate filtering of data, to reduce noise, prior to fitting.

PET FDG studies of neoplasms produce both images of relative FDG uptake and, with appropriate processing, numerical estimates of tumor rate constants for capillary transport, phosphorylation, dephosphorylation and other parameters. Most fundamentally, these studies produce qualitative and quantitative evaluations of tumor glycolytic rates, and will improve our understanding of the most appropriate and reliable methods to employ PET for evaluating the accelerated glycolytic rates associated with malignant transformation. The potential implications for oncological applications of these numerical results are just now beginning to become apparent.

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(continued from p. 332)

SELF-STUDY TEST

Radiobiology and Radiation Protection

ANSWERS

ITEMS 1-5: Effects of Acute Whole-Body Radiation Exposure

ANSWERS: 1, T; 2, F; 3, T; 4, F; 5, F

In general, whole-body doses over 100 rads have significant effects on immune system responsiveness. A whole-body exposure of 100 rads will reduce the peripheral blood lymphocyte count by about 50%. In fact, the immunosuppressive properties of whole-body radiation have been used to prevent rejection of transplanted organs.

The dose in humans that produces permanent sterilization is about 500-600 rads. Such an effect is highly unlikely from a whole-body radiation exposure because a dose of this magnitude is likely to be lethal before sterility is manifest. In males, doses as low as 15-30 rads markedly reduce the sperm count at about 8 wk after exposure. The sperm count slowly recovers over the next several months. At doses above 100-150 rads, the sperm count begins to fall earlier, and after falling practically to zero may recover, but very slowly.

Even with high doses of radiation the likelihood of radiation-induced cancer in an irradiated individual is small. For a whole-body dose of 100 rads the lifetime risk of radiation-induced fatal cancer is about 1%. The risk of radiation-induced genetic effects in the offspring of such irradiated individuals would be quite small. In fact, the study of 18,946 children born to parents who were A-bomb survivors (with a mean dose of 117 rads received jointly by the two parents) showed no statistically significant increase in stillbirths, congenital defects, premature death, and abnormal blood proteins.

Epilepsy and bleeding of gums would be quite unlikely after a dose of 100 rads; these effects generally occur after doses of about 400 rads.

ITEMS 6-10: Nonstochastic Effects

ANSWERS: 6, T; 7, F; 8, T; 9, T; 10, F

Nonstochastic effects of radiation are those for which the severity, rather than the probability, of an effect varies with the dose, and for which a threshold may occur. Nonstochastic effects of radiation include nonmalignant damage to the skin, cell depletion of the bone marrow, induction of cataracts, and gonadal cell damage leading to impaired fertility. Because the thresholds for these effects are well above the dose equivalent limits for occupational exposure, these nonstochastic effects can be prevented.

Stochastic effects (carcinogenesis) appear to saturate at high doses—the likely explanation for this phenomenon is cell killing. Many nonstochastic effects, on the other hand, specifically occur as a result of cell killing.

ITEMS 11-14: Genetically Significant Dose

ANSWERS: 11, F; 12, F; 13, F; 14, T

The genetically significant dose (GSD) is *not* the dose of radiation each person receives from birth to death and is *not* the dose of radiation that can be shown to lead to a genetic death. Rather, the GSD is an index of the presumed genetic impact of radiation exposure on the population. The GSD is defined as the dose that, if received by every member of the population, would be expected to produce the same total genetic injury to the population as is produced by the actual doses received by various individuals. The GSD for medical radiations is calculated from the frequency of the particular examination in a certain age group of the population, the corresponding gonadal doses and the appropriate weighting factors that take into account the expectancy of offspring in the population. Because the presumed genetic injury is *only* associated with the offspring of irradiated individuals, estimation of GSD from the

gonadal doses received by these individuals requires that these doses be weighted for the probability of offspring, i.e., not only must there be gonadal radiation, there must be a probability of offspring for it to have a genetic effect. A nuclear medicine procedure resulting in gonadal radiation exposure to a 70-yr-old woman would not contribute to the GSD because the probability of offspring is nil. The annual contributions to the GSD from background, diagnostic radiology, and nuclear medicine procedures in the U.S. are: 82, 20, and 2-4 mrem/year, respectively.

Reference

1. Mettler FA, Williams AG, Apar JA, Kelsey CA. Estimation of the genetically significant dose from nuclear medicine examinations in the United States: 1980. *Health Phys* 1986;51:377-379.

ITEMS 15-19: Genetic "Doubling Dose"

ANSWERS: 15, T; 16, F; 17, F; 18, T; 19, F

By definition, the doubling dose is the amount of radiation that would be expected to add as many new mutations as occur spontaneously. Thus, the higher the doubling dose, the lower would be the risk of mutation from any particular radiation dose. The doubling dose is the reciprocal of the relative mutation risk, the fraction by which each added rad of radiation dose would increase the mutation rate above the spontaneous level. Thus, a relative mutation rate of 0.01/rad, a risk of 1/100 per rad, would give a doubling dose of 100 rads. A doubling dose would not double the incidence of mutations in the next generation but would require several generations to be fully expressed, i.e., to reach a new equilibrium. This is because elevations in radiation dose must persist over many generations to result in a new and higher mutation burden in the gene pool of the population. Mutant genes are eliminated from the population faster as the number of mutant genes in the population increases. Eventually (after perhaps ten or more generations) a balance will occur between the rate of increase and elimination of mutations and a new "equilibrium" will be established.

The BEIR-1980 estimate of a doubling dose of 50-250 rads was obtained from data on mice because no genetic effects have been observed in humans.

References

1. Searle AG. Hereditary damage. *Radiat Environ Biophys* 1979;17:41-46.
2. Selby PB. Genetic effects of low-level irradiation. In: Fullerton GD, Kopp DT, Waggenger RG, Webster EW, eds. *Biological Risks of Medical Irradiation*. Medical Physics Monograph No. 5. New York: American Institute of Physics, 1980:1-20.

ITEMS 20-24: Genetic Effects of Radiation

ANSWERS: 20, T; 21, F; 22, F; 23, F; 24, T

Mutations are almost always detrimental to the organism. Any gene, presumably, is the bearer of some bit of valuable genetic information, a particular command that must be executed if the cell is to function properly. In its mutated form the gene's "action" will be missing.

Because there is no direct evidence in humans of radiation-induced genetic damage (even in the progeny of the A-bomb survivors) it has been necessary to rely on animal studies to estimate the risk to humans. Animal studies have revealed that the type and magnitude of the genetic effect depends on: (1) the stage of germ cell development at irradiation (immature germ cells appear to be capable of repair, whereas, in mature germ cells there is little or no repair); (2) dose rate (lower dose rates and fractionation produce fewer mutations); and (3) the interval between

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