Title: Metal artifact reduction of CT scans to improve PET/CT

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ABSTRACT

In recent years different metal artifact reduction (MAR) methods have been developed for computed tomography (CT). These methods have only recently been introduced for positron emission tomography/computed tomography (PET/CT) even though they could be beneficial for interpretation, segmentation and quantification of the PET/CT images. In this study, phantom and patient scans were analyzed visually and quantitatively to measure the effect on PET images of iterative metal artifact reduction (iMAR) of CT data. Methods The phantom consisted of two types of hip prostheses in a solution of ¹⁸F-flurodeoxyglucose (¹⁸F-FDG) and water. ¹⁸F-FDG PET/CT scans of 14 patients with metal implants (either dental implants, hip prostheses, shoulder prostheses or pedicle screws) and ⁶⁸Ga-labeled prostate-specific membrane antigen (⁶⁸Ga-PSMA) PET/CT scans of 7 patients with hip prostheses were scored by two experienced nuclear medicine physicians to analyze clinical relevance. For all patients a lesion was located in the field of view of the metal implant. Phantom and patients were scanned in an mCT PET/CT scanner (Siemens Healthcare). The standard low-dose CTs were processed with the iMAR algorithm. The PET data were reconstructed using attenuation correction provided by both standard CT and iMAR-processed CT. Results For the phantom scans cold artifacts were visible on the PET image. There was a 30% deficit in ¹⁸F-FDG

concentration, which was restored by iMAR processing, indicating that metal artifacts on CT images induce quantification errors in PET data. The iMAR algorithm was useful for most patients. When iMAR was used the confidence in interpretation increased or stayed the same, with an average improvement of 28±20% (scored on a scale of 0–100% confidence). The standardized uptake value (SUV) increase or decrease depended on the type of metal artifact. The mean difference in absolute values of SUV_{mean} of the lesions was 3.5±3.3%. **Conclusion** The iMAR algorithm increases the confidence of the interpretation of the PET/CT scan and influences the SUV. The added value of iMAR depends on the indication for the PET/CT scan, location and size/type of the prosthesis, and location and extent of the disease.

Keywords PET/CT, iterative metal artifact reduction, ¹⁸F-FDG, ⁶⁸Ga-PSMA, TOF-MLACF

INTRODUCTION

Metal implants can significantly degrade the quality of CT images (1,2). Artifacts are visible on the images due to beam hardening, Compton scattering and noise (1,3). This is especially of importance when the region of interest is located near the implant, and the artifacts affect the reconstructed distribution of Hounsfield units (HU) (4). CT images are used to derive an attenuation correction map for the PET reconstruction, which means that CT influences the PET image in combined PET/CT imaging (2,3,5-9). If the region of interest is located near the implant, the metal not only distorts the CT image but also influences the quantification of radiotracer uptake and can reduce the quality of the interpretation of the scan (2,10). In regard to ¹⁸F-FDG PET/CT, dark and bright streak artifacts can cause underand overestimation of ¹⁸F-FDG activity concentration around the prosthesis (2,3,5-8). This can have implications not only for detection and staging of the disease, as metal artifacts can cause difficulty with the interpretation of small lesions located near the implant (2,3,8), but can also influence quantitative accuracy of therapy response monitoring (2,3) and PET-based radiotherapy planning (3). Dental implants (2,9), in particular, may impede diagnosis and therapy planning in head and neck cancer, and with regard to

prostate cancer, detection of metastases using ⁶⁸Ga-PSMA can be hampered by the presence of one or two hip prostheses.

In recent years different MAR methods have been developed for CT. The main two classes are projection completion based methods, where projections through metal are considered as missing data, and statistically based iterative methods, where (iterative) filtering techniques are deployed (4,5,11). Nowadays application of metal artifact reduction in stand-alone CT is common practice, however the use of metal artifact reduction of CT for attenuation correction in combined PET/CT is still emerging. Recently, an iMAR tool was introduced for combined PET/CT systems. The tool suppresses streak artifacts by decreasing the HUs in areas where values have been overestimated and by increasing HUs in areas of underestimation (10). This method combines both classes, projection completion and iterative filtering, resulting in a more accurate SUV for the PET reconstructions (10). To our knowledge, the article of Schabel et al. is the only other study describing this algorithm in combined PET/CT imaging (10). In their research a phantom has been scanned on both a CT scanner (with iMAR capabilities) and a PET/CT scanner. These authors analyzed the iMAR algorithm in combination with dual-energy-based strategies and showed

that the iMAR tool is a promising approach to improve image quality and PET quantification (10). However, their study did not include patient scans.

The aim of the present study is to analyze the effect of CT metal artifact reduction on PET scans during combined PET/CT imaging in both phantom PET/CT data and patient scans. The effect on PET/CT interpretation by the nuclear medicine physicians and the effect of iMAR on SUV were evaluated for patients with different types of metal implants.

MATERIALS AND METHODS

Phantom scan

The phantom, depicted in Figure 1A, consisted of two types of hip prostheses (cobalt steel and Ti-Al-V with ceramic head) and two solid steel balls (2.5 cm and 3.8 cm diameter) in a solution of ¹⁸F-FDG and water in a 9-liter container with sponges to position the prostheses and balls. Background radiotracer concentration was approximately 3.2 kBq/ml, similar to values seen in patient scans. The phantom was scanned in a Biograph 40 mCT PET/CT scanner (Siemens Healthcare). The phantom was in the same position on the patient bed in the CT and PET measurements;

however, to investigate the effect of motion on the iMAR algorithm an additional phantom scan was performed where a second CT scan was acquired after the phantom was translated 3 mm laterally in the region of the prosthetic femoral heads. We reconstructed one PET bed position using the misaligned CT, using a 2 mm voxel size (400x400 matrix size) and no post-reconstruction filter to make the image as sharp as possible and artifacts as plainly visible as possible.

Patient scans

PET/CT images of 21 patients with metal implants in the field of view of clinical interest were included in the study. The institutional review board of the Radboud university medical center approved this retrospective study and the requirement to obtain informed consent was waived.

In 14 patients an ¹⁸F-FDG PET/CT scan and in 7 patients a ⁶⁸Ga-PSMA PET/CT scan was performed. The administered ¹⁸F-FDG activity depended on the patient's weight, resulting in an average and standard deviation of 164±132 MBq with a mean incubation time (and standard deviation) of 61±5 min. For ⁶⁸Ga-PSMA-HBED-CC the administered activity also depended on the patient's weight; resulting in 135±26 MBq using an incubation time of 66±17 min. Patients were scanned in a Biograph 40 mCT PET/CT scanner

(Siemens Healthcare) with extended field of view (TrueV). This scanner has been accredited by the European Association of Nuclear Medicine Research Ltd. (12). Included in this study were patients with one or more metal implants with a (strong suspicion of) lesion(s) near the implant, located in the area of the metal artifacts, scanned between January 2015 and October 2016; and consecutive patients with (the strong suspicion of) a ⁶⁸Ga-PSMA avid lesion(s) near the implant, located in the area of the metal artifacts. The indication for the PET/CT scan differed per patient: patients were scanned for diagnosis of disease, staging, radiotherapy planning or response monitoring. Patient characteristics are summarized in Table 1.

CT acquisition and reconstruction

The low-dose CT scan was acquired for attenuation correction and anatomic reference. The X-ray tube voltage was chosen using Care kV (Siemens Healthcare), with a reference value of 120 kV. The tube current was modulated using Care Dose4D (Siemens Healthcare), with a reference current-time-product of 50 mAs per rotation. The CT data were acquired with a 0.5 s rotation time and 16x1.2 mm collimation.

CT projection data were processed without and with iMAR. In the first case, we employed kernels that we have used for several years in whole-

body PET/CT. In the second case, we used the iMAR algorithm (Siemens AG, Forchheim, Germany). This method, which has not yet been fully described in the peer-reviewed scientific literature, is based on a sinogram metal inpainting approach where the original sinogram values behind the metal are replaced. It consists of several steps. The first step is the so-called normalized MAR method described by Meyer et al. (13) In this step an initial prior image is estimated which consists of water and bone, posing a simplified representation of the patient anatomy without the metal artifacts. Artificial raw data is generated from this prior image and used to replace the original metal artifacts-affected raw data behind the metal. Due to the fact that the metal artifacts are mainly long range, low frequency artifacts (e.g. dark streaks) can be identified and the high frequency structural information around the metal can be used from the original image to restore correct anatomical information in the MAR image. This is the second step of the iMAR algorithm and is called the frequency-split technique (14). The resulting MAR corrected image can be used again to estimate a more accurate prior image so that the first two steps can be performed iteratively (up to five loops depending on the chosen preset). In addition to this very aggressive sinogram in-painting approach (which is very effective for strong metal artifacts due to photon starvation) the iMAR algorithm also incorporates a method which corrects the beam hardening

effects for metal artifacts originating from smaller pieces of metal. The beam hardening corrected sinogram values are adaptively combined with the in-painted values depending on the metal attenuation strength. To guarantee best results for different types of metal implants different parameter configurations are offered to the user (so called presets; neuro coils, dental fillings, spine, shoulder, hip or extremity implants, pacemaker or thoracic coils) to facilitate the different iMAR correction components. In Supplemental Figure 1 a flowchart of the different steps is shown.

The HU values are converted to PET attenuation coefficients (mumaps) using the standard procedure described by Carney et al. (15) Since streaks artifacts are decreased in soft tissue and bone, an improved PET mumap is expected in regions close to metal. In regions not affected by metal artifact, CT images and PET mumaps are quantitatively identical to the ones not based on iMAR. The effect of the iMAR algorithm on CT images is shown for three patients with different types of implants in Supplemental Figure 2. Two CT reconstructions were made for each patient, one without and one with the iMAR tool.

CT scans were reconstructed using a 5 mm slice thickness for the ¹⁸F-FDG images. The CT images of the ⁸⁶GA-PSMA scan were reconstructed with 3 mm slice thickness, conform to clinical protocol.

PET acquisition and reconstruction

The duration of the acquisition of the ¹⁸F-FDG PET scans depended on the protocol. In the whole body protocol (head to feet), patients were scanned with 3 minutes per bed position, while in the standard protocol (head to hip) they were scanned with 4 minutes per bed position. The ⁶⁸Ga-PSMA patients were scanned with 4 minutes per bed position for the bed positions covering the pelvis, other bed positions were scanned for 3 minutes. Standard clinical reconstruction parameters were used for two PET images reconstructed with the standard CT and iMAR CT, using a 3D ordered subset expectation maximization algorithm with a spatially varying pointspread function incorporating time-of-flight (TOF) information (UltraHD PET), 3 iterations and 21 subsets, and slice width which was matched with the CT. A 3D Gaussian filter kernel with a full width at half maximum of 3.0 mm was used as postreconstruction filtering, and a transaxial matrix size of 200x200 was used (4x4 mm² pixel size). A third non-attenuation corrected (AC) PET reconstruction was made with similar reconstruction parameters, except for the 3D ordered subset expectation maximization algorithm

without spatially varying point-spread function and TOF (3 iterations and 24 subsets).

Image analysis

For the image analysis the two types of CT and three types of PET reconstructions were used. The PET/CT images were visually scored by two experienced nuclear medicine physicians, and were also quantitatively analyzed. The physicians scored the standard, iMAR and non-AC PET/CT images, by answering several questions (Table 2).

The quantitative analyses consisted of measurements on both CT and PET images. In each patient scan, 6 circular regions of interest (ROIs) were placed with a diameter of 15 mm. Two ROIs were drawn in a region where dark streaks were visible on CT (underestimated HU), two where light streaks were present (overestimated HU) and two on a soft tissue location where no metal artifact was present (background HU). The ROIs on CT and PET were matched for each patient. In addition to the SUV_{mean} of these 6 ROIs, the SUV_{mean} of the lesions located near the implant was also calculated. The spherical ROIs had diameters between 15 and 40 mm. The type of metal artifact differed for these lesions and to illustrate the effect of the iMAR algorithm the absolute difference in SUV_{mean} is given for these

measurements. The differences are displayed graphically as Bland Altman plots.

Statistical analysis

Not all the paired groups were normally distributed and statistical analysis of the ROI measurements was performed with the Wilcoxon signed-rank test. The inter-rater agreement between the two physicians was not measured with the Cohen's kappa coefficient due to the dichotomous nature of the questions, however the fraction of agreement is given. Statistical analysis was performed using SPSS Statistics 22 (IBM). Statistical significance was defined for a P value less than 0.05.

RESULTS

Coronal PET sections from the phantom with and without iMAR are shown in Figures 1B and C. The section included the region of greatest attenuation between the two prosthetic femoral heads, where a severe metal artifact was anticipated, but only partly included the prostheses' stems and the region between them, where a lesser artifact was anticipated. Cold artifacts, where reconstructed ¹⁸F-FDG concentrations

were lower than expected, were seen clearly, indicating that metal artifacts on the CT image influenced quantification of PET data. Figure 1B illustrates an artifact with up to 30% deficit in ¹⁸F-FDG concentration, whereas 1C indicates that the deficit was removed by iMAR processing.

The two experienced nuclear medicine physicians scored and compared the standard PET/CT and the iMAR PET/CT. The non-AC PET image was also available and taken into account. The images were scored by answering nine questions ranging from interpretation of the images to confidence and recommended follow-up procedure (see Table 2). The metal artifacts affected the interpretation of the standard PET/CT for most patients with dental and hip implants (both ¹⁸F-FDG and ⁶⁸Ga-PSMA tracers) (observer agreement: 16 out of 21), and the iMAR tool was useful for most patients with dental, hip and shoulder implants (observer agreement: 19 out of 21). For patients with metal screws in vertebrae the iMAR algorithm was less helpful; the artifacts on the posterior side of the implant were mainly reduced while the anterior artifacts were not (this is where the ¹⁸F-FDG-avid lymph nodes were located). This could be caused by the spine preset that is dominated by the beam hardening correction component of the algorithm, it could be too weak depending on the shape of the metal implant.

For most patients the iMAR algorithm did not change the diagnosis or staging of the disease (observer agreement: 16 out of 21) due to the extensiveness of the disease, however it did increase the confidence of the interpretation with on average an increase of 28±20% (on a scale of 0–100% confidence of interpretation). The confidence in interpretation increased or remained the same when iMAR was used; in no case did iMAR reduce confidence. For the patients included in the present study, the use of iMAR for ⁶⁸Ga-PSMA PET scans did not change disease stage, because distant metastases were present in four out of seven patients. Yet, detection of very small lesions is possible with these scans and because artifacts are located in the area where regional prostate nodal metastasis can be expected (obturator nodes, para-ilical nodes) the iMAR reconstruction is specifically beneficial when no distant metastases are present. The uncorrected PET images are not beneficial in these circumstances, because of the difficulty detecting small lesions for these images. Examples of the effect of iMAR on PET and PET/CT images are given in Figures 2 and 3.

The results of the quantitative ROI measurements are shown in Figure 4. The Bland Altman plots show the effect of metal artifacts on HU and SUV_{mean}. The mean HU and SUV of the background ROIs were unaffected by the iMAR algorithm, while the values of the ROIs located closer to the

implant were affected depending on the type of metal artifact. For the ROIs with an underestimated HU, located in a dark streak, the average HU increased from -355±180 to -27±59 HU (p<0.001), while the HU of ROIs located in the bright streaks (overestimated HU) decreased from 489±419 to 85±75 (p<0.001). The ROIs in the unaffected areas (background) showed no significant difference between the standard and iMAR CT. The effect of the metal artifact was not only visible on the CT images, but was also measurable on the PET scans. The effect on SUV_{mean} was significant: SUV_{mean} in areas with SUV underestimation increased from 0.9±0.7 to 1.1±0.8 g/cm³ (p<0.001), while SUV_{mean} in ROIs with an overestimated SUV decreased from 1.4±1.1 to 1.2±0.9 g/cm³ (p<0.001). For the background measurements on the PET scans there was no significant difference between the SUV_{mean} of the standard PET reconstruction and the iMAR PET reconstruction. The ROI measurements of the lesions showed a SUV_{mean} range between the two reconstructions of -0.71 to 0.38 g/cm³, the difference in SUV_{mean} can both be positive or negative because of the type of artifact. The mean absolute difference in SUV_{mean} is 3.5±3.3 %, indicating that metal artifacts also have an impact on quantitative measurements of lesions.

The possibility of motion between CT and PET is of particular concern in the case of a metal prosthesis (6). Qualitative inspection of our patient

scans gave reason to expect that such motion had occurred (in the area of the implant) in one patient with dental implants. However, the clinical impact was difficult to predict. As mentioned in the Materials and Methods section, we were able to induce a motion artifact in the phantom scan to analyze the consequences of 3 mm mismatch between PET and CT. The inconsistent attenuation correction resulted in an eight-fold overestimation of tracer concentration at the edge of the prosthesis, and gave an incorrect impression that radiotracer was present inside the metal prostheses. This is shown in a coronal section in Figure 5A.

DISCUSSION

The present study reports on the first clinical results of a newly available MAR tool for PET/CT. The iMAR algorithm improves the CT images by reducing metal artifacts, creating a more realistic representation of the anatomy of the patient. Our study shows that the iMAR CT has an impact on PET attenuation correction, not only resulting in an increase of confidence in interpretation of the PET/CT images, but also (although in a lesser extent) in a more accurate quantification of radiopharmaceutical uptake in lesions located near the metal implant. This exploratory study shows that the iMAR algorithm benefits image reconstruction in different types of metal

implants, tracers and for different indications. These range from staging, radiotherapy planning and response monitoring in head and neck cancer patients with dental implants to diagnosis and staging in prostate cancer for patients with metal hip implants (due to uncertainty of the presence of lymph node metastases in cold areas).

Even though precautions are generally taken to reduce the occurance of a mismatch between PET and CT, it still occurs and can have clinical consequences. When metal implants are present, a movement of just 3 mm can induce a big difference in the calculated tracer concentration. The uptake pattern surrounding the prostheses are of importance to distinguish between different diagnoses, for instance to distinguish between infection and loosening of the implant or screws. It is therefore important to verify that no mismatch between PET and CT is present for patients with metal implants, especially for patients with dental implants since motion is more common in this area. In future investigations, we think the best solution to reduce these artifacts caused by movement between PET and CT acquisition, might involve a modern approach to PET reconstruction in which iterative reconstruction is used to simultaneously estimate radiotracer concentration and attenuation correction factors, for instance

the TOF maximum likelihood attenuation correction factors method (TOF-MLACF) (16). To test the possibility that this approach might remove mismatch-related artifacts, we applied the TOF-MLACF algorithm to the phantom scan for the bed position that contained the femoral heads. Figure 5B and C show the corresponding coronal sections after 1 and 10 iterations of the algorithm. After 1 iteration the artifact was reduced to less than about a 2-fold increase above background. After 2 iterations the artifact was less than a 1.5-fold increase (not shown). After 10 iterations, the artifact was entirely removed, and tracer concentration was correctly assigned a value close to 0.

In the present exploratory study 21 patients, with different indications, type of implants and disease, were included to give an indication for which patients the metal artifact reduction could be of importance. Further research is necessary to evaluate metal artifact reduction in specific patient populations and its effect in regard to the use of different tracers. It was clear that the use of iMAR improved the confidence of the nuclear medicine physicians in general which will reduce time reviewing the scans and diminish insecurities towards the referring clinician. We therefore would like to advocate to consider the use of iMAR in all patients with metal implants when performing a PET/CT.

CONCLUSION

The newly available iMAR algorithm benefits confidence in image interpretation and has a positive impact on quantitative accuracy. The added diagnostic value depends on the location and size of the prosthesis/metal implant, in combination with the indication for the PET/CT scan, and location and extent of the disease. It can influence lesion detection in case of staging and detection of disease, and quantification of the lesion in case of radiotherapy planning and therapy response monitoring.

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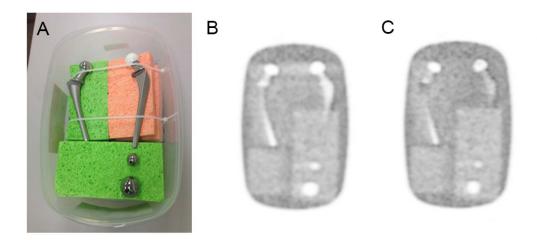


FIGURE 1. Phantom (A) consisting of two types of hip prostheses in a solution of ¹⁸F-FDG and water: a cobalt steel hip prosthesis and a Ti-Al-V hip prosthesis with ceramic head. Furthermore, the phantom included two solid steel balls of 2.5 and 3.8 cm in diameter. The phantom was scanned in an mCT PET/CT scanner (Siemens). The cold artifact caused by the metal are present in the standard PET reconstruction (B), while on the iMAR PET reconstruction (C) these artifacts were not visible.

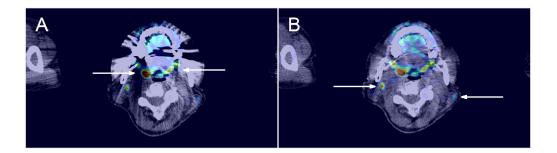


FIGURE 2. Patient with uptake in the palatine tonsils (arrows in A), and ¹⁸F-FDG-avid lymph nodes (arrows in B). The metal artifacts are visible on the standard PET/CT reconstruction (A), while the iMAR PET/CT reconstruction (B) shows less distortion.

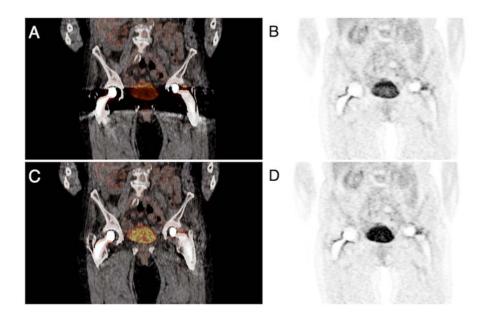


FIGURE 3. Patient with possible malignant cyst in right ovary, which was not interpretable due to the metal artifacts. The effect of the metal artifact on CT is also visible on PET. The region between both hip implants show a lower activity for the standard PET/CT (A-B), which is clearly visible in the bladder, while the iMAR PET/CT (C-D) shows an image closer to the true distribution of ¹⁸F-FDG.

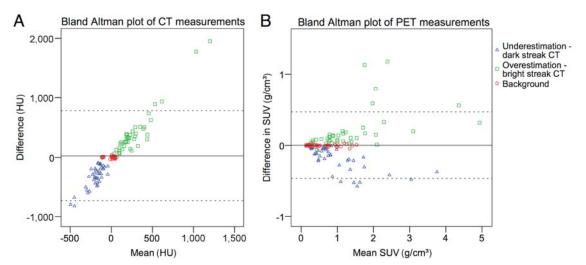


FIGURE 4. Bland Altman plots of ROI measurements, shown for the HU measurements on CT (A) and SUV_{mean} measurements for the PET reconstructions (B). In the figure the difference between the two measurements (standard - iMAR) is plotted against the mean of these two measurements. The effect of the algorithm in not only visible on the CT image, but also influences the PET image.



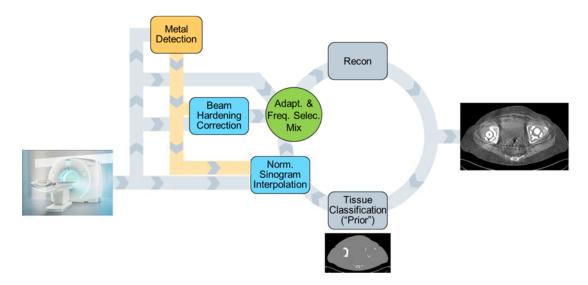
FIGURE 5. PET images of the phantom when CT was misaligned by 3 mm (laterally in the region of the prosthetic femoral heads). (A) Normal, CT attenuation based reconstruction. (B-C) 1 and 10 iterations of the TOF-MLACF reconstruction.

TABLE 1. Summary of Patient Characteristics

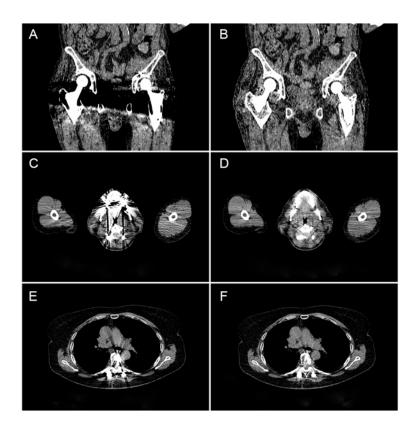
 Characteristic	Value±SD	
 Sex		
Males	12	
Females	9	
Age (y)	65.4±8.6	
Weight (kg)	80.8±21.4	
Radiopharmaceutical		
¹⁸ F-FDG	14	
⁶⁸ Ga-PSMA	7	
Type of prosthesis		
Dental implants	6	
Hip prostheses	12	
Shoulder prostheses	1	
Screws in vertebrae	2	
Indication PET/CT scan		
Staging/detection of	16	
disease		
Radiotherapy	1	
planning		
Therapy response	4	
monitoring		

TABLE 2. Results of the scoring of the PET/CT images by the nuclear medicine physicians (reader 1/reader 2). *Answered with yes

	Dental	Нір	Нір	Shoulder	Vertebra			
	implants	implants	implants	implant	screws			
		¹⁸ F-FDG	⁶⁸ Ga-					
	(n=6)	(n=5)	PSMA	(n=1)	(n=2)			
			(n=7)					
When normal CT was used, did metal artifacts								
affect your interpretation of the PET/CT image in a	5/6	4/5	6/7	0/1	0/1			
negative way?*								
When iMAR CT was used, did metal artifacts affect								
your interpretation of the PET/CT image in a	0/0	0/0	0/0	0/0	0/1			
negative way?*								
Was iMAR helpful?*	5/6	5/5	7/7	1/1	0/1			
Was NAC PET helpful?*	2/2	3/3	0/2	0/0	0/0			
Did the visual interpretation indicate that PET and								
CT were well aligned or misaligned? Answered	6/4	5/3	7/7	1/1	2/2			
with well aligned								
How much confidence do you have in your								
interpretation of the PET/CT image? (scale 0–	30/37	22/28	41/30	10/0	1/5			
100%) Improvement of: (%)								
Did the diagnosis/staging of the disease change								
when the iMAR CT was used?*	1/0	2/1	1/1	0/0	0/0			
When normal CT was used, were more diagnostic	0/2	1/1	1/0	0/0	0/0			
tools needed because of the metal artifacts?*								



SUPPLEMENTAL FIGURE 1. Flowchart of the different steps of the iMAR algorithm.



SUPPLEMENTAL FIGURE 2. Standard CT (A, C and E) and iMAR CT (B, D and F) for three patients with different types of metal implants (hip implants (A-B), dental implants (C-D) and pedicle screws (E-F)).