# **Comparison of Somatostatin Receptor Agonist and Antagonist for Peptide Receptor Radionuclide Therapy: A Pilot Study**

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Preclinical and clinical studies have indicated that somatostatin receptor (sst)-expressing tumors demonstrate higher uptake of radiolabeled sst antagonists than of sst agonists. In 4 consecutive patients with advanced neuroendocrine tumors, we evaluated whether treatment with <sup>177</sup>Lu-labeled sst antagonists is feasible. Methods: After injection of approximately 1 GBq of <sup>177</sup>Lu-DOTA-[Cpa-c(DCys-Aph(Hor)-DAph(Cbm)-Lys-Thr-Cys)-DTyr-NH<sub>2</sub>] (<sup>177</sup>Lu-DOTA-JR11) and <sup>177</sup>Lu-DOTATATE, 3-dimensional voxel dosimetry analysis based on SPECT/CT was performed. A higher tumor-to-organ dose ratio for <sup>177</sup>Lu-DOTA-JR11 than for <sup>177</sup>Lu-DOTATATE was the prerequisite for treatment with <sup>177</sup>Lu-DOTA-JR11. Results: Reversible minor adverse effects of <sup>177</sup>Lu-DOTA-JR11 were observed. <sup>177</sup>Lu-DOTA-JR11 showed a 1.7–10.6 times higher tumor dose than <sup>177</sup>Lu-DOTATATE. At the same time, the tumor-to-kidney and tumor-to-bone marrow dose ratio was 1.1-7.2 times higher. All 4 patients were treated with <sup>177</sup>Lu-DOTA-JR11, resulting in partial remission in 2 patients, stable disease in 1 patient, and mixed response in the other patient. Conclusion: Treatment of neuroendocrine tumors with radiolabeled sst antagonists is clinically feasible and may have a significant impact on peptide receptor radionuclide therapy.

Key Words: antagonists; neuroendocrine tumors; somatostatin receptor targeting

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**R**adiolabeled somatostatin receptor (sst) agonists, for example, <sup>177</sup>Lu-DOTATATE, have become an integral part of therapeutic management in patients with neuroendocrine tumors (*1*). Radiolabeled sst antagonists are not established for tumor targeting, mainly because they do not internalize into tumor cells. Ginj et al., however, were the first to show in animal studies that radiolabeled sst antagonists are superior to agonists for targeting of tumors (*2*). A possible explanation may be that antagonists bind to a larger population of binding sites than agonists (*2*). Scatchard analysis in sst subtype 2 (sst<sub>2</sub>)-transfected HEK293 cells showed more than 10 times

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the number of binding sites for the sst antagonist <sup>111</sup>In-DOTA-[p-NO<sub>2</sub>-Phe-c(DCys-Tyr-DTrp-Lys-Thr-Cys)-DTyr-NH<sub>2</sub>] (<sup>111</sup>In-DOTA-BASS) than for the sst agonist [<sup>111</sup>In-DTPA<sup>0</sup>, Tyr<sup>3</sup>, Thr<sup>8</sup>]-octreotide. Furthermore, in vitro receptor autoradiography showed about 4 times higher accumulation of <sup>177</sup>Lu-DOTA-BASS in sst<sub>2</sub>-expressing human tumor samples than did the sst agonist (*3*). These results were confirmed clinically in a pilot imaging study with <sup>111</sup>In-DOTA-BASS and <sup>111</sup>In-pentetreotide (<sup>111</sup>In-DTPA-octreotide) (*4*). Unfortunately, <sup>111</sup>In-DOTA-BASS has a relatively low sst<sub>2</sub> affinity (*2*). To overcome this problem, the next generation of sst antagonists was synthesized to improve the receptor affinity. From a small library, <sup>177</sup>Lu-DOTA-[Cpa-c(DCys-Aph(Hor)-DAph(Cbm)-Lys-Thr-Cys)-DTyr-NH<sub>2</sub>] (<sup>177</sup>Lu-DOTA-JR11) showed the highest sst<sub>2</sub> affinity (*5*).

The purpose of this pilot study was to evaluate the feasibility of peptide receptor radionuclide therapy with the novel sst antagonist <sup>177</sup>Lu-DOTA-JR11. Before treatment, tumor and organ doses of <sup>177</sup>Lu-DOTATATE and <sup>177</sup>Lu-DOTA-JR11 were compared in the same patient after injection of a nontherapeutic test dose. A higher tumor-to-organ dose ratio for <sup>177</sup>Lu-DOTA-JR11 than for <sup>177</sup>Lu-DOTATATE was the prerequisite for treatment with <sup>177</sup>Lu-DOTA-JR11.

### MATERIALS AND METHODS

### Patients

Four consecutive patients with progressive neuroendocrine tumors and limited treatment options due to chronic grade 2 or 3 kidney disease were prospectively recruited. Patient characteristics are summarized in Table 1. Exclusion criteria were concurrent antitumor treatment [Table 1] (octreotide [Sandostatin; Novartis Pharmaceuticals] depot less than 4 wk before test injection and treatment), preexisting grade 3 and 4 hematologic toxicity, and pregnancy or breastfeeding. The institutional review board approved this study, and all subjects gave written informed consent in accordance with the Declaration of Helsinki.

# Radiochemistry

DOTA-JR11 was synthesized as previously described (5). DOTA-TATE was received from piChem (Austria). For the preparation of <sup>177</sup>Lu-DOTA-JR11 and <sup>177</sup>Lu-DOTATATE, the corresponding peptide conjugate was dissolved in 500  $\mu$ L of ascorbate buffer, pH 5.0, and <sup>177</sup>LuCl<sub>3</sub> was added. The solution was incubated at 95°C for 30 min. Quality control was performed by analytic reverse-phase high-performance liquid chromatography on a Phenomenex Jupiter C18 4- $\mu$ m, 250 × 4.6 mm column (eluents, A = 0.1% trifluoroacetic acid in water and B = acetonitrile; gradient, 0–25 min, 95%–50% A; flow, 0.75 mL/min). The labeling yield of <sup>177</sup>Lu-DOTATATE and <sup>177</sup>Lu-DOTA-JR11 was more than 99% and the radiochemical purity of <sup>177</sup>Lu-DOTA-JR11 was at least 93%.

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TABLE 1

Characteristics of Patients with Neuroendocrine Tumors and Chronic Kidney Insufficiency

Characteristic	Patient 1	Patient 2 Patient 3		Patient 4	
Age (y)	77	74	44	74	
Sex	F	М	F	F	
Diagnosis	Neuroendocrine carcinoma (bladder)	Neuroendocrine tumor (lung)	Neuroendocrine tumor (ileum)	Neuroendocrine tumor (ileum)	
Tumor grade	G3	G1	G2	G2	
First diagnosed	5 mo ago	3 y ago	5 y ago	11 mo ago	
Pretreatment evaluation					
ECOG performance status	2	0	1	0	
Remission status*	PD	PD	PD	PD	
Chronic kidney disease <sup>†</sup>	Grade 3	Grade 3	Grade 2	Grade 3	
Three-mo follow-up					
ECOG performance status	2	0	0	0	
Remission status*	Mixed response	PR	SD	PR	
Chronic kidney disease <sup>†</sup>	Grade 3	Grade 3	Grade 2	Grade 3	
Adverse events <sup>‡</sup>					
Anemia (reversible)	Grade 2	Grade 1	Grade 2	Grade 2	
Leukopenia (reversible)	Grade 2	Grade 1	Grade 2	Grade 0	
Thrombocytopenia (reversible)	Grade 0	Grade 3	Grade 0	Grade 0	
Maximum follow-up (mo)	15	12	13	12	
Remission status <sup>†</sup>	PD	PR	SD	PR	

\*Response was assessed with CT according to the Response Evaluation Criteria in Solid Tumors, version 1.1.

<sup>†</sup>Chronic kidney disease was graded according to the guidelines of the National Kidney Foundation.

<sup>‡</sup>Adverse events were graded according to the Common Terminology Criteria for Adverse Events, version 4.0, of the National Cancer Institute.

ECOG = Eastern Cooperative Oncology Group; PD = progressive disease; PR = partial response; SD = stable disease.





**FIGURE 1.** <sup>177</sup>Lu-DOTA-JR11 planar scans (A) and isodose curves (B) of patient 2 after injection of 1,065 MBq of <sup>177</sup>Lu-DOTA-JR11 and corresponding <sup>177</sup>Lu-DOTATATE planar scans (C) and isodose curves (D) after injection of 1,115 MBq of <sup>177</sup>Lu-DOTATATE. Planar scans (A and C) show results 24 and 72 h after injection of <sup>177</sup>Lu-DOTA-JR11 and <sup>177</sup>Lu-DOTATATE.



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 TABLE 2

 Summary of Tumor Dose Calculations and Treatment Response of Delineable Tumors

		Pretreatment evaluation					Thr	Three-mo			
			<sup>177</sup> Lu-DOTATATE <sup>177</sup> Lu-DOTA-JR11		R11	Treatment	follow-up CT				
Tumor no.	Tumor site	CT tumor volume (cm <sup>3</sup> )	Tumor dose (Gy/GBq)	T/K ratio	T/BM ratio	Tumor dose (Gy/GBq)	T/K ratio	T/BM ratio	<sup>177</sup> Lu-DOTA-JR11 total tumor dose* (Gy)	Tumor volume (cm <sup>3</sup> )	Tumor reduction (%)
Patient 1											
1	LN	23	2.0	1.6	22	7.4	3.3	56	59	13	45
2	LN	1.7	1.2	0.9	13	7.0	3.1	53	31	1.5	13
3	LN	22	1.4	1.1	15	5.7	2.5	44	47	5.9	73
4	LN	0.4	1.1	0.9	12	5.9	2.6	45	23	0.1	80
5	LN	0.9	2.0	1.6	22	7.4	3.3	56	39	0	100
Patient 2											
6	Liver	59	13	9.0	133	22	15	223	374	5.4	91
7	Liver	66	6.3	4.4	65	29	20	294	487	29	56
8	Lung	26	5.6	3.9	57	16	11	162	283	6.8	73
Patient 3											
9	LN	9.3	0.5	0.6	7.9	5.3	3.7	57	130	5.9	37
10	Liver	26	2.7	3.6	43	5.9	4.1	63	33	25	5
11	Liver	7.7	2.2	3.0	35	4.8	3.3	52	37	5.8	24
Patient 4											
12	Liver	0.4	4.6	3.2	68	20	9.3	245	302	0	100
13	Liver	2.2	1.5	1.0	22	4.2	1.9	51	39	0.4	82
Median		9.3	2.0	1.6	22	7.0	3.3	56	47	5.8	73
Interquartile range		1.7–26	1.2–4.6	1.0–3.6	15–57	5.7–16	3.1–9.3	52–162	37–283	0.4–6.8	37–82

\*Total treatment activities were 6.1 GBq for patient 1 (2 treatment cycles), 15.2 GBq for patient 2 (3 treatment cycles), 5.9 GBq for patient 3 (2 treatment cycles), and 13.7 GBq for patient 4 (3 treatment cycles).

T/K = tumor-to-kidney; T/BM = tumor-to-bone marrow; LN = lymph node.

Tumor volumes were calculated assuming ellipsoid shape. Respective tumor diameters were obtained from 3-dimensional CT reconstructions. Tumor doses were calculated using 3-dimensional voxel-based approach and are given as mean absorbed doses.

Sterile filtration of the final product was performed before application to the patient.

### **Test Injection: Pharmacokinetics and Dosimetry**

Tumor and organ doses of 177Lu-DOTA-JR11 and 177Lu-DOTATATE were compared in the same patient using a cross-over design in an interval of 3 wk. Blood sampling, whole-body imaging studies, and SPECT/CT of the abdomen were used to generate pharmacokinetic data. All studies were done with the same SPECT/CT scanner (BrightView XCT; Philips) equipped with medium-energy, parallel-hole collimators. Whole-body scanning and low-dose SPECT/CT were performed at 1, 3, 24, and 72 h after injection of a mean dose ( $\pm$ SD) of 175  $\pm$  15 µg (range, 160–200  $\mu$ g) (1,060  $\pm$  75 MBq [range, 990–1,130 MBq]) of  $^{177}$ Lu-DOTATATE and 150  $\pm$  20 µg (range, 130–165 µg) (975  $\pm$  115 MBq [range, 850-1,085 MBq]) of <sup>177</sup>Lu-DOTA-JR11. One hour before injection of both radiopeptides, an infusion of 1,000 mL of physiologic NaCl solution containing 20.7 mg of arginine per milliliter and 20.0 mg of lysine per milliliter was started and continued for 5 h to inhibit tubular reabsorption of radiopeptides. The patient's vital parameters such as blood pressure, pulse rate, oxygen saturation, and electrocardiogram were monitored for at least 50 min after injection of <sup>177</sup>Lu-DOTA-JR11.

To avoid organ- and tumor-activity overlap, a 3-dimensional quantification technique using SPECT/CT information was used for the calculation of tumor and kidney doses (IMALYTICS workstation and STRATOS software; Philips) (6). SPECT images were corrected for scatter and attenuation. A calibration factor was determined using a water-filled cylinder phantom with three  $^{177}$ Lu-filled spheres. Voxelwise residence time maps were calculated by integrating the time–activity curves using a monoexponential tail-fitting, and the mean absorbed tumor and kidney doses were calculated (7). Bone marrow doses were determined by blood-based red-marrow dose methodology (4). Blood samples were taken at 13 time points up to 22.5 h after injection. Urine samples were collected at 1.5, 3, 5, 8, and 21 h after injection to study the stability of  $^{177}$ Lu-DOTA-JR11. Two-dimensional dosimetry technique was used for the calculation of all remaining organs (OLINDA/EXAM 1.0 software; Microsoft) as described before (4).

### **Treatment: Response and Adverse Events**

Patients received 2–3 cycles of <sup>177</sup>Lu-DOTA-JR11 ( $105 \pm 35 \mu g$  [range,  $55-160 \mu g$ ] [4,120  $\pm$  1,260 MBq (range, 1,870–5,890 MBq)] per treatment cycle) in an interval of 8 wk. The amount of activity and number of treatment cycles was chosen on the basis of kidney dosimetry calculations. None of the patients received a kidney dose of more than 23 Gy. Kidney protection was performed in the same way as described above. The imaging and blood-sampling protocol were the same as for the test injections, with the same imaging and blood-sampling time points. As for the test



FIGURE 3. <sup>68</sup>Ga-DOTATATE PET images of patient 2 before (A) and 3 mo after (B) treatment with 15.2 GBq of <sup>177</sup>Lu-DOTA-JR11 and <sup>68</sup>Ga-DOTATATE PET images of patient 3 before (C) and 12 mo after (D) treatment with 5.9 GBq of <sup>177</sup>Lu-DOTA-JR11. Three-month follow-up scan of patient 2 (B) shows decreased uptake by metastatic liver and bone disease and by primary tumor in right lung (arrow). Twelve-month follow-up scan of patient 3 (D) shows decreased uptake by metastatic liver disease.

# RESULTS

# Pharmacokinetics, Dosimetry, and Response

The blood clearance was similar for 177Lu-DOTA-JR11 and 177Lu-DOTATATE:  $\alpha$  half-life, 8 ± 6 min versus 10 ± 6 min, and  $\beta$  halflife, 8.8  $\pm$  1.4 h versus 7.8  $\pm$  2.5 h; approximately 65% of the administered activity was cleared in the  $\alpha$  phase. Excretion was predominantly renal and no metabolites were found in the urine, indicating a high metabolic stability of <sup>177</sup>Lu-DOTA-JR11. <sup>177</sup>Lu-DOTA-JR11 showed a longer intratumoral residence time and higher tumor uptake than <sup>177</sup>Lu-DOTATATE in all 4 patients (between 1.3 and 2.8 times longer residence time and between 1.1 and 2.6

[Fig. 1] times higher tumor uptake), resulting in a 1.7–10.6 times higher tumor

[Fig. 2] dose (Figs. 1 and 2). <sup>177</sup>Lu-DOTA-JR11 has 1.1-7.2 times higher tumor-to-kidney and tumor-to-bone marrow dose ratios than 177Lu-DOTATATE. As a result, all 4 patients were treated with 2-3

[Table 2] treatment cycles of <sup>177</sup>Lu-DOTA-JR11. Table 2 shows the results of tumor dose calculations, tumor-to-kidney dose ratios, tumor-to-

[Fig. 3] bone marrow dose ratios, and 3-mo follow-up. Table 1 and Figure 3 show the remission status before our treatment, after 3-mo of follow-up, and after maximum follow-up. Organ and effective doses of [Table 3] <sup>177</sup>Lu-DOTA-JR11 and <sup>177</sup>Lu-DOTATATE are given in Table 3.

# Adverse Events

One patient experienced a short episode of flush just after injection of <sup>177</sup>Lu-DOTA-JR11. Another patient developed grade

injections, 3-dimensional voxelbased technique was used for the calculation of tumor and kidney doses. The doses of the remaining organs were calculated with 2-dimensional dosimetry technique as described above (4).

Initial staging, eligibility, and follow-up measurements were based on <sup>68</sup>Ga-DOTATATE PET/CT imaging, 99mTc-mercaptoacetyltriglycine renography, and laboratory and clinical results. Initial staging was performed less than 2 wk before the first test-injection. Blood tests were performed every 3 wk between treatment cycles and every 3-4 mo thereafter. Morphologic response and tubular kidney function were evaluated 3 and 12 mo after treatment by 68Ga-DOTATATE PET/CT and <sup>99m</sup>Tc-mercaptoacetyltriglycine renography using a standard protocol (8,9). The CT scans were performed without contrast medium to avoid further kidney toxicity. 68Ga-DOTA-TATE PET/CT scans were assessed independently by 1 experienced nuclear medicine physician and 1 experienced radiologist unaware of the date of the scan and patients' identity. Any discrepant readings were resolved by consensus.

# TABLE 3

Comparison of <sup>177</sup>Lu-DOTATATE and <sup>177</sup>Lu-DOTA-JR11 Organ and Effective Doses of 4 Patients with Neuroendocrine Tumors or Carcinomas

Organ	<sup>177</sup> Lu-DOTATATE	<sup>177</sup> Lu-DOTA-JR11
Adrenals	0.072 ± 0.019	0.11 ± 0.024
Brain	0.061 ± 0.018	0.10 ± 0.020
Breasts	0.061 ± 0.018	0.10 ± 0.020
Gallbladder wall	0.070 ± 0.020	0.11 ± 0.023
GI (LLI wall)	0.065 ± 0.019	0.11 ± 0.021
GI (small intestine)	0.066 ± 0.019	0.11 ± 0.021
GI (stomach wall)	0.069 ± 0.020	0.11 ± 0.024
GI (ULI wall)	0.066 ± 0.019	0.11 ± 0.021
Heart wall	0.065 ± 0.019	0.11 ± 0.021
Kidneys*	1.2 ± 0.35	1.8 ± 0.44
Liver	0.25 ± 0.096	0.33 ± 0.16
Lungs	0.065 ± 0.019	0.11 ± 0.021
Muscle	0.063 ± 0.019	0.10 ± 0.020
Ovaries	0.056 ± 0.009	0.10 ± 0.025
Pancreas	0.075 ± 0.021	0.12 ± 0.027
Red marrow	0.079 ± 0.018	0.10 ± 0.021
Osteogenic cells	0.22 ± 0.053	0.35 ± 0.068
Skin	0.060 ± 0.018	0.10 ± 0.019
Spleen	2.5 ± 1.5	$3.0 \pm 2.3$
Thymus	0.063 ± 0.019	0.10 ± 0.020
Thyroid	0.062 ± 0.019	0.10 ± 0.020
Urinary bladder wall	0.26 ± 0.13	0.37 ± 0.21
Uterus	0.066 ± 0.019	0.11 ± 0.021
Total body	0.083 ± 0.021	0.13 ± 0.031
Effective dose (Sv/GBq)	0.15 ± 0.046	0.20 ± 0.075

\*Only kidney doses were calculated using 3-dimensional voxelbased technique. Range of kidney doses was 0.71-1.45 Gy/GBg for <sup>177</sup>Lu-DOTATATE and 1.44–2.27 Gy/GBg for <sup>177</sup>Lu-DOTA-JR11.

GI = gastrointestinal; LLI = lower large intestine; ULI = upper large intestine.

Data are mean absorbed dose ± SD in Gy/GBq.

3 thrombocytopenia (41,000/mm<sup>3</sup>), which completely recovered within 8 wk after injection of <sup>177</sup>Lu-DOTA-JR11 (Table 1). There was no relevant decrease of tubular kidney function within 12 mo of follow-up (mercaptoacetyltriglycine clearance,  $135 \pm 11 \text{ mL/}$ min/1.73 m<sup>2</sup> vs. 126  $\pm$  13 mL/min/1.73 m<sup>2</sup>). Also, creatinine levels did not much change before and approximately 12 mo after treatment (1.32  $\pm$  0.13 mg/dL vs. 1.39  $\pm$  0.10 mg/dL).

# DISCUSSION

Our pilot study provided the first clinical evidence that radiolabeled sst antagonists may be superior to sst agonists for the treatment of neuroendocrine tumors. The sst2-receptor antagonist <sup>177</sup>Lu-DOTA-JR11 had a favorable pharmacokinetic and biodistribution profile (longer intratumoral residence time and higher tumor uptake) compared with the agonist <sup>177</sup>Lu-DOTATATE, resulting in 1.7-10.6 times higher tumor doses.

It is particularly encouraging that tumor-to-kidney and tumorto-bone marrow dose ratios were up to 6.2 and 7.2 times higher for the antagonist than for the agonist. The kidneys and the bone marrow are the major dose-limiting organs in peptide receptor radionuclide therapy (1). Therefore, severalfold higher tumor-tokidney and tumor-to-bone marrow dose ratios of <sup>177</sup>Lu-DOTA-JR11 could significantly improve the efficacy and toxicity profile of radionuclide therapy when using only 50% of the cumulative activity of <sup>177</sup>Lu-DOTATATE. According to our study results, the cumulative standard activity of 29.6 GBq of <sup>177</sup>Lu-DOTATATE (10) will result in a median tumor dose of 59 Gy, a mean bone marrow dose of 2.3 Gy, and a mean kidney dose of 36 Gy. When treating patients with <sup>177</sup>Lu-DOTA-JR11, the median tumor dose will be 104 Gy, the mean bone marrow dose will be 1.5 Gy, and the mean kidney dose will be 27 Gy if using only 50% of the standard dose (14.8 GBq) of <sup>177</sup>Lu-DOTATATE. Actually, efficacy (2 patients with a partial response, 1 with stable disease, and 1 with a mixed response) and toxicity profiles (no grade 4 toxicity) are encouraging in our first 4 patients after treatment with <sup>177</sup>Lu-DOTA-JR11.

The small number of patients is the most relevant limitation of this study. Nevertheless, the 3-dimensional voxel-based dosimetry approach together with the low-activity treatment approach in the same patient using a cross-over design allowed the direct comparison of <sup>177</sup>Lu-DOTA-JR11 and <sup>177</sup>Lu-DOTATATE dosimetry in 13 tumor lesions.

# CONCLUSION

This pilot study provided the first clinical evidence, to our knowledge, that treatment of neuroendocrine tumors with radiolabeled sst antagonists is clinically feasible. If the favorable tumor-to-organ dose ratios are confirmed in larger studies, radiopeptide treatment with sst antagonists may improve peptide receptor radionuclide therapy in neuroendocrine tumors. Systematic clinical studies with radiolabeled DOTA-JR11 for imaging and therapy of neuroendocrine tumors are in preparation.

### DISCLOSURE

The costs of publication of this article were defrayed in part by the payment of page charges. Therefore, and solely to indicate this fact, this article is hereby marked "advertisement" in accordance with 18 USC section 1734. Jean E.F. Rivier, Jean Claude Reubi, and Helmut R. Maecke, who are coinventors of somatostatinbased antagonistic radiopeptides, assigned all their patent rights to their respective academic institutions. This work was supported by the Swiss National Science Foundation (320000-118333) and the German Cancer Consortium (DKTK). No other potential conflict of interest relevant to this article was reported.

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